

This is a preview of "AAMI TIR52:2014 (R20...". Click here to purchase the full version from the ANSI store.

Technical Information Report



PREVIEW COPY

This is a preview edition of an AAMI guidance document and is intended to allow potential purchasers to evaluate the content of the document before making a purchasing decision.

For a complete copy of this AAMI document, contact AAMI at +1-977-249-8226 or visit www.aami.org.

AAMI
TIR52:2014/
(R)2017

Environmental monitoring
for terminally sterilized
healthcare products

This is a preview of "AAMI TIR52:2014 (R20...". Click here to purchase the full version from the ANSI store.



PREVIEW COPY

This is a preview edition of an AAMI guidance document and is intended to allow potential purchasers to evaluate the content of the document before making a purchasing decision.

For a complete copy of this AAMI document, contact AAMI at +1-977-249-8226 or visit www.aami.org.



**Environmental monitoring for terminally sterilized
healthcare products**

Advancing Safety in Healthcare Technology

PREVIEW COPY

This is a preview edition of an AAMI guidance document and is intended to allow potential purchasers to evaluate the content of the document before making a purchasing decision.

For a complete copy of this AAMI document, contact AAMI at +1-977-249-8226 or visit www.aami.org.

Approved 10 March 2014 and reaffirmed 19 October 2017 by
AAMI

Abstract: This TIR assists in establishing an environmental monitoring program that is meaningful, manageable and defensible, and provides guidance to avoid adverse environmental conditions during the manufacture of terminally sterilized healthcare products.

Keywords: sterilization, microbiological, particulate, sampling

AAMI Technical Information Report

A technical information report (TIR) is a publication of the Association for the Advancement of Medical Instrumentation (AAMI) Standards Board that addresses a particular aspect of medical technology.

Although the material presented in a TIR may need further evaluation by experts, releasing the information is valuable because the industry and the professions have an immediate need for it.

A TIR differs markedly from a standard or recommended practice, and readers should understand the differences between these documents.

Standards and recommended practices are subject to a formal process of committee approval, public review, and resolution of all comments. This process of consensus is supervised by the AAMI Standards Board and, in the case of American National Standards, by the American National Standards Institute.

A TIR is not subject to the same formal approval process as a standard. However, a TIR is approved for distribution by a technical committee and the AAMI Standards Board.

Another difference is that, although both standards and TIRs are periodically reviewed, a standard must be acted on—reaffirmed, revised, or withdrawn—and the action formally approved usually every five years but at least every 10 years. For a TIR, AAMI consults with a technical committee about five years after the publication date (and periodically thereafter) for guidance on whether the document is still useful—that is, to check that the information is relevant or of historical value. If the information is not useful, the TIR is removed from circulation.

A TIR may be developed because it is more responsive to underlying safety or performance issues than a standard or recommended practice, or because achieving consensus is extremely difficult or unlikely. Unlike a standard, a TIR permits the inclusion of differing viewpoints on technical issues.

CAUTION NOTICE: This AAMI TIR may be revised or withdrawn at any time. Because it addresses a rapidly evolving field or technology, readers are cautioned to ensure that they have also considered information that may be more recent than this document.

All standards, recommended practices, technical information reports, and other types of technical documents developed by AAMI are *voluntary*, and their application is solely within the discretion and professional judgment of the user of the document. Occasionally, voluntary technical documents are adopted by government regulatory agencies or procurement authorities, in which case the adopting agency is responsible for enforcement of its rules and regulations.

Comments on this technical information report are invited and should be sent to AAMI, Attn: Standards Department, 4301 N. Fairfax Drive, Suite 301, Arlington, VA 22203-1633

For a complete copy of this AAMI document, contact AAMI at
+1-977-249-8226 or visit www.aami.org.

Published by

AAMI
4301 N. Fairfax Drive, Suite 301
Arlington, VA 22203-1633
www.aami.org

© 2014 by the Association for the Advancement of Medical Instrumentation

All Rights Reserved

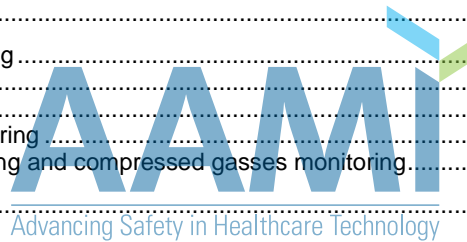
Publication, reproduction, photocopying, storage, or transmission, electronically or otherwise, of all or any part of this document without the prior written permission of the Association for the Advancement of Medical Instrumentation is strictly prohibited by law. It is illegal under federal law (17 U.S.C. § 101, *et seq.*) to make copies of all or any part of this document (whether internally or externally) without the prior written permission of the Association for the Advancement of Medical Instrumentation. Violators risk legal action, including civil and criminal penalties, and damages of \$100,000 per offense. For permission regarding the use of all or any part of this document, complete the reprint request form at www.aami.org or contact AAMI at 4301 N. Fairfax Drive, Suite 301, Arlington, VA 22203-1633. Phone: +1-703-525-4890; Fax: +1-703-525-1067.

Printed in the United States of America

ISBN 978-1-57020-515-6

Contents

	Page
Glossary of equivalent standards.....	iv
Committee representation.....	v
Foreword.....	viii
1 Scope.....	1
2 Normative references.....	1
3 Terms and definitions.....	1
4 General principles.....	2
5 Factors to consider when establishing an EM program.....	3
6 Sampling plans.....	5
7 EM methods.....	5
7.1 Airborne particulate monitoring.....	5
7.2 Microbial monitoring.....	6
7.2.1 Air microbial monitoring.....	6
7.2.2 Surface microbial monitoring.....	6
7.2.3 Water microbial monitoring and compressed gasses monitoring.....	7
8 Microbial characterization.....	7
9 Setting alert and action levels.....	8
10 Trending results.....	8
11 Investigation of EM excursions.....	9
11.1 General.....	9
11.2 Concepts of an investigation.....	9
11.3 Actions taken as a result of an investigation.....	10
12 Planned and unplanned interruptions.....	10
12.1 Characterizing the impact of an interruption.....	10
12.2 Activities prior to resuming manufacturing following an interruption.....	11
12.3 Documentation.....	11
13 Water monitoring.....	11
14 Compressed gasses.....	12
Bibliography.....	13
Tables	
Table 1—Common Isolates From Controlled Environments.....	8



PREVIEW COPY

This is a preview edition of an AAMI guidance document and is intended to allow potential purchasers to evaluate the content of the document before making a purchasing decision. For a complete copy of this AAMI document, contact AAMI at +1-977-249-8226 or visit www.aami.org.

Glossary of equivalent standards

International Standards adopted in the United States may include normative references to other International Standards. AAMI maintains a current list of each International Standard that has been adopted by AAMI (and ANSI). Available on the AAMI website at the address below, this list gives the corresponding U.S. designation and level of equivalency to the International Standard.

www.aami.org/standards/glossary.pdf



PREVIEW COPY

This is a preview edition of an AAMI guidance document and is intended to allow potential purchasers to evaluate the content of the document before making a purchasing decision.

For a complete copy of this AAMI document, contact AAMI at +1-977-249-8226 or visit www.aami.org.

Committee representation

Association for the Advancement of Medical Instrumentation

Microbiological Methods Working Group

This AAMI technical information report was developed and approved by the AAMI Microbiological Methods Working Group under the auspices of the AAMI Sterilization Standards Committee.

At the time this document was published, the **AAMI Microbiological Methods Working Group** had the following members:

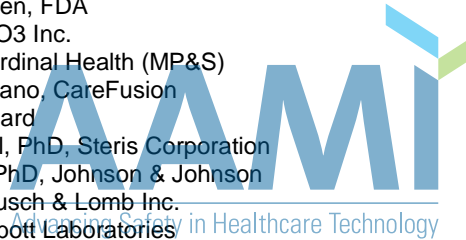
Chairs: M Carolyn Braithwaite, Terumo BCT
Martell Kress Winters, BS SM, Nelson Laboratories Inc.

Members: Michael J. Brady, PhD, Toxikon Corporation
Rachel Brewer, Moog Medical Devices
Trabue D. Bryans, BryKor LLC
Sandra Budden, Alcon Laboratories Inc.
Claudia Camp, Stryker Instruments Division
Lisa Cook, B Braun of America Inc.
Gary N. Cranston, Consulting & Technical Services/PCS
Emily Craven, Nordion Inc.
Kimbrell Darnell, CR Bard
Douglas D. Davie, Sterilization Validation Services
David A. Dominguez, CareFusion
Mary Ann Drosnock, MS, Olympus America Inc.
Sylvie Dufresne, PhD, TSO3 Inc.
Steven J. Elliott, NAMSA
Gordon M. Ely, WuXi AppTec Inc.
Plamena Entcheva-Dimitrov, Regulatory Consultant
Niki Fidopiastis, Sterigenics International
Robert Fry, Baxter Healthcare Corporation
Naomi Gamm, St Jude Medical Inc.
James Gebo, Microtest Laboratories Inc.
Steve N. Goldstine, PhD, Steve Goldstine Consultants
Joyce M. Hansen, Johnson & Johnson
Thomas L. Hansen, Terumo Americas Corporate
Douglas F. Harbrecht, Sterility Assurance LLC
Evelis Hardy, Cardinal Health (MP&S)
Deborah A. Havlik, Hospira Worldwide Inc.
Teresa Higham, Zimmer Inc.
Victoria M. Hitchins, PhD, FDA/CDRH
Beth Jacques, RAC, Steris Corporation
Nupur Jain, Intuitive Surgical Inc.
Renate Johnson, Boston Scientific Corporation
Amy Karren, WL Gore & Associates Inc.
David King, Tandem Diabetes Care Inc.
Carolyn L. Kinsley, LexaMed Ltd
Roland C. Kippenhan, Medivators Inc.
Richard Lenz, Medtronic Inc WHQ Campus
Ronald G. Lulich, 3M Healthcare
Jo Ann Barbara Maltais, PhD, Maltais Consulting
David Ford McGoldrick, BS, Abbott Laboratories
Joseph M. Mello, Ethide Laboratories Inc.
Russell D. Mills, GE Healthcare
Gerry A. O'Dell, MS, Gerry O'Dell Consulting
David Opie, PhD, Noxilizer Inc.
Dave Parente, Ecolab
Matthew Russell, Cook Inc.
Manuel Saavedra, Jr., Kimberly-Clark Corporation

This is a preview of an AAMI guidance document and is intended to allow potential purchasers to evaluate the content of the document before making a purchasing decision. For a complete copy of this AAMI document, contact AAMI at 202-770-9000 or visit www.aami.org.

Michael J. Schoene, Bausch & Lomb Inc.
Harry L. Shaffer, Sterilization Consulting Services
Sopheak Srun, MPH SM(NRCM), Quality Tech Services Inc.
Radhakrishna S. Tirumalai, US Pharmacopeia Convention Inc.
Donald Tumminelli, HIGHPOWER Validation Testing & Lab Services Inc.
Evelyn Noemi Villalobos, Edwards LifeSciences
Scott Wasiluk, Covidien
Richard L. Weisman, Fresenius Medical Care Renal Therapies Group
Cheryl Work, Becton Dickinson & Company

Alternates: Nancy Blaszkowski, Sterigenics International
Marjean Boyter, Fresenius Medical Care Renal Therapies Group
Charlie Christianson, St Jude Medical Inc.
J.C Fulghum, Hospira Worldwide Inc.
Scott A. Giraud, Medtronic Inc. WHQ Campus
Fatima Hasanain, Nordion Inc.
Nichole Jackson, Ecolab
Wade Johnston, Kimberly-Clark Corporation
Chris Kobus, GE Healthcare
Sharon K. Lappalainen, FDA
Helene Leblond, TSO3 Inc.
Reynaldo Lopez, Cardinal Health (MP&S)
Antonio Lopez-Feliciano, CareFusion
Mary S. Mayo, CR Bard
Gerald E. McDonnell, PhD, Steris Corporation
Anna M McLernon, PhD, Johnson & Johnson
Susan E Norton, Bausch & Lomb Inc.
Koyejo Obadina, Abbott Laboratories
Jody O'Grady, 3M Healthcare
Richard M. Ormsbee, Medivators Inc.
Michelle Pierce, NAMSA
Antonio Prado, Covidien
Michael G. Sprague, Ethide Laboratories Inc.
Larry J. Thompson, Zimmer Inc.
Donna Ventura, Moog Medical Devices
Brian Wallace, Intuitive Surgical Inc.
James Whitcomb, LexaMed Ltd



PREVIEW COPY

This is a preview edition of an AAMI guidance document and is intended for use by potential purchasers to evaluate the content of the document before making a purchasing decision.

NOTE—Participation by federal agency representatives in the development of this technical information report does not constitute endorsement by the federal government or any of its agencies.

+1-977-249-8226 or visit www.aami.org.

At the time this document was published, the **AAMI Sterilization Standards Committee** had the following members:

Chairs: Victoria M. Hitchins, PhD, FDA/CDRH
Michael H. Scholla, PhD, Dupont Protection Technologies

Members: Christopher Anderson, Boston Scientific Corporation
Trabue D. Bryans, BryKor LLC
Nancy Chobin, RN CSPDM, St Barnabas Healthcare System
Charles Cogdill, Covidien
Ramona Conner, RN MSN CNOR, Association of Perioperative Registered Nurses
Jacqueline Daley, Sinai Hospital of Baltimore
Kimbrell Darnell, CR Bard
Lisa Foster, Medpoint LLC
Joel R. Gorski, PhD, NAMSA
Joyce M. Hansen, Johnson & Johnson
Douglas F. Harbrecht, Sterility Assurance LLC
Deborah A. Havlik, Hospira Worldwide Inc.

Susan G. Klacik, CCSMC FCS ACE, IAHCSMM
Byron J. Lambert, PhD, Abbott Laboratories
Colleen Patricia Landers, RN, Timmins & District Hospital
Reynaldo Lopez, Cardinal Health (MP&S)
Jeff Martin, Alcon Laboratories Inc.
Patrick J. McCormick, PhD, Bausch & Lomb Inc.
Gerald E. McDonnell, PhD, Steris Corporation
Janet M. Prust, 3M Healthcare
Nancy Rakiewicz, Moog Medical Devices
Mark Seybold, Baxter Healthcare Corporation
Andrew Sharavara, PhD, Propper Manufacturing Co Inc.
Mark N. Smith, Getinge USA
James Sidney Wiggs, BSN CRCST, Legacy Health System
Martell Kress Winters, BS SM, Nelson Laboratories Inc.
William E. Young
William T. Young, Sterigenics International

Alternates:

Lloyd Brown, Covidien
Peter A. Burke, PhD, Steris Corporation
Dave Dion, Cardinal Health (MP&S)
Gordon M. Ely, WuXi AppTec Inc.
Thomas J. Frazar, Johnson & Johnson
Martha M. Kadas, Sterigenics International
Jim Kaiser, Bausch & Lomb Inc.
Natalie Lind, IAHCSMM
Ralph Makinen, Boston Scientific Corporation
Mary S. Mayo, CR Bard
David Ford McGoldrick, BS, Abbott Laboratories
Jerry R. Nelson, PhD, Nelson Laboratories Inc.
Patrick Polito, Moog Medical Devices
Karen Polkinghorne, Dupont Protection Technologies
Shaundra L. Rechsteiner, NAMS
Mike Sadowski, Baxter Healthcare Corporation
Craig A. Wallace, 3M Healthcare

This is a preview edition of an AAMI guidance document and is intended to allow potential purchasers to evaluate the content of the document before making a purchasing decision.

NOTE—Participation by federal agency representatives in the development of this technical information report does not constitute endorsement by the federal government or any of its agencies.

For a complete copy of this AAMI document, contact AAMI at +1-977-249-8226 or visit www.aami.org.

Foreword

This technical information report (TIR) was developed by the Microbiological Methods Working Group under the purview of the AAMI Sterilization Standards Committee.

Quality systems regulations require that an appropriate environment must be established, maintained, and monitored for the manufacture of medical devices.

The objective of this TIR is to provide guidance on the routine monitoring for viable and non-viable particulates in controlled environments used to produce healthcare products that are intended to be terminally sterilized.

References to bibliography entries appear throughout the document in brackets, e.g. [1].

As used within the context of this document, "should" indicates that among several possibilities, one is recommended as particularly suitable, without mentioning or excluding others, or that a certain course of action is preferred but not necessarily required, or that (in the negative form) a certain possibility or course of action should be avoided but is not prohibited. "May" is used to indicate that a course of action is permissible within the limits of the TIR. "Can" is used as a statement of possibility and capability. Finally, "must" is used only to describe "unavoidable" situations, including those mandated by government regulation.

Suggestions for improving this technical information report are invited. Comments and suggested revisions should be sent to Technical Programs, AAMI, 4301 N. Fairfax Drive, Suite 301, Arlington, VA 22203-1633.

NOTE—This foreword does not contain provisions of the AAMI TIR52:2014, *Environmental Monitoring for Terminally Sterilized Healthcare Products* (AAMI TIR52:2014), but it does provide important information about the development and intended use of the document.

Advancing Safety in Healthcare Technology

PREVIEW COPY

This is a preview edition of an AAMI guidance document and is intended to allow potential purchasers to evaluate the content of the document before making a purchasing decision.

For a complete copy of this AAMI document, contact AAMI at +1-977-249-8226 or visit www.aami.org.

Environmental monitoring for terminally sterilized healthcare products

1 Scope

This technical report addresses routine monitoring for viable (i.e. microorganisms) and non-viable particulates in controlled environments used to produce healthcare products that are intended to be terminally sterilized. As required by the current applicable quality system regulations, an appropriate environment must be established, maintained, and monitored for the manufacture of medical devices. The following types of viable and non-viable particulate monitoring are included in the scope of this technical report:

- a) Air (viable and non-viable particulates)
- b) Surfaces (viable particulates)
- c) Water (viable particulates)
- d) Compressed gasses (viable and non-viable particulates)

Personnel monitoring, product monitoring, differential pressures, and the effects of temperature and humidity on the manufacturing process are outside the scope of this technical report.

For requirements and guidance for establishing classified cleanrooms see ISO 14644.

2 Normative references

The following standards are indispensable for the application of this document. For dated references, only the edition cited applies.

ISO 14644-1, *Cleanrooms and associated controlled environments – Part 1: Classification of air cleanliness*

ISO 14644-2, *Cleanrooms and associated controlled environments – Part 2: Specifications for testing and monitoring to prove continued compliance with ISO 14644-1*

ISO 14644-5, *Cleanrooms and associated controlled environments – Part 5: Operations*

ISO 14698-1:2003, *Cleanrooms and associated controlled environments – Biocontamination control – Part 1: General principles and methods*

ISO 14698-2:2003, *Cleanrooms and associated controlled environments – Biocontamination control – Part 2: Evaluation and interpretation of biocontamination data*

3 Terms and definitions

For the purposes of this document, the terms and definitions given in ISO 14698-1, ISO 14698-2 and the following apply.

3.1 Action level: level set by the user in the context of controlled environments, which, when exceeded, requires immediate action.

[ISO 14644-7:2004]

3.2 Alert level: level set by the user in the context of a controlled environment, giving early warning of a drift from normal conditions, which, when exceeded, should result in increased attention in the process

[ISO 14644-7:2004]

3.3 Bioburden: the population of viable microorganisms on or in product.

[ISO/TS 11139:2006]