

This is a preview of "AAMI TIR68:2018". Click here to purchase the full version from the ANSI store.

# Technical Information Report



## AAMI TIR68: 2018

PREVIEW COPY

This is a preview edition of an AAMI guidance document and is intended to allow potential purchasers to evaluate the content of the document before making a purchasing decision.

For a complete copy of this AAMI document, contact AAMI at +1-877-249-8226 or visit [www.aami.org](http://www.aami.org).

Low and intermediate-level disinfection in healthcare settings for medical devices and patient care equipment and sterile processing environmental surfaces

This is a preview of "AAMI TIR68:2018". Click here to purchase the full version from the ANSI store.



## PREVIEW COPY

This is a preview edition of an AAMI guidance document and is intended to allow potential purchasers to evaluate the content of the document before making a purchasing decision.

For a complete copy of this AAMI document, contact AAMI at +1-877-249-8226 or visit [www.aami.org](http://www.aami.org).



## **Low and intermediate-level disinfection in healthcare settings for medical devices and patient care equipment and sterile processing environmental surfaces**

This is a preview edition of an AAMI guidance document and is intended to allow potential purchasers to evaluate the content of the document before making a purchasing decision.

For a complete copy of this AAMI document, contact AAMI at +1-877-249-8226 or visit [www.aami.org](http://www.aami.org).

Developed by  
**AAMI**

Approved 9 July 2018 by  
**AAMI**

**Abstract:** Provides guidance on the selection and use of low and intermediate-level disinfectants and disinfection processes for safe and effective use.

**Keywords:** low-level disinfection, intermediate-level disinfection, environmental surfaces

## AAMI Technical Information Report

A technical information report (TIR) is a publication of the Association for the Advancement of Medical Instrumentation (AAMI) Standards Board that addresses a particular aspect of medical technology.

Although the material presented in a TIR may need further evaluation by experts, releasing the information is valuable because the industry and the professions have an immediate need for it.

A TIR differs markedly from a standard or recommended practice, and readers should understand the differences between these documents.

Standards and recommended practices are subject to a formal process of committee approval, public review, and resolution of all comments. This process of consensus is supervised by the AAMI Standards Board and, in the case of American National Standards, by the American National Standards Institute.

A TIR is not subject to the same formal approval process as a standard. However, a TIR is approved for distribution by a technical committee and the AAMI Standards Board.

Another difference is that, although both standards and TIRs are periodically reviewed, a standard must be acted on—reaffirmed, revised, or withdrawn—and the action formally approved usually every five years but at least every 10 years. For a TIR, AAMI consults with a technical committee about five years after the publication date (and periodically thereafter) for guidance on whether the document is still useful—that is, to check that the information is relevant or of historical value. If the information is not useful, the TIR is removed from circulation.

A TIR may be developed because it is more responsive to underlying safety or performance issues than a standard or recommended practice, or because achieving consensus is extremely difficult or unlikely. Unlike a standard, a TIR permits the inclusion of differing viewpoints on technical issues.

**CAUTION NOTICE:** This AAMI TIR may be revised or withdrawn at any time. Because it addresses a rapidly evolving field or technology, readers are cautioned to ensure that they have also considered information that may be more recent than this document.

All standards, recommended practices, technical information reports, and other types of technical documents developed by AAMI are *voluntary*, and their application is solely within the discretion and professional judgment of the user of the document. Occasionally, voluntary technical documents are adopted by government regulatory agencies or procurement authorities, in which case the adopting agency is responsible for enforcement of its rules and regulations.

Comments on this technical information report are invited and should be sent to AAMI, Attn: Standards Department, 4301 N. Fairfax Drive, Suite 301, Arlington, VA 22203-1633.

This is a preview edition of an AAMI guidance document and is intended for informational purposes only. It is not intended for use as a legal document. Purchasers to evaluate the content of the document before making a purchasing decision.

For a complete copy of this AAMI document, contact AAMI at  
+1-877-249-8226 or visit [www.aami.org](http://www.aami.org).

Published by

AAMI  
4301 N. Fairfax Dr., Ste. 301  
Arlington, VA 22203-1633

© 2018 by the Association for the Advancement of Medical Instrumentation

All Rights Reserved

Publication, reproduction, photocopying, storage, or transmission, electronically or otherwise, of all or any part of this document without the prior written permission of the Association for the Advancement of Medical Instrumentation is strictly prohibited by law. It is illegal under federal law (17 U.S.C. § 101, *et seq.*) to make copies of all or any part of this document (whether internally or externally) without the prior written permission of the Association for the Advancement of Medical Instrumentation. Violators risk legal action, including civil and criminal penalties, and damages of \$100,000 per offense. For permission regarding the use of all or any part of this document, contact AAMI at 4301 N. Fairfax Dr., Ste. 301, Arlington, VA 22203-1633. Phone: (703) 525-4890; Fax: (703) 525-1067.

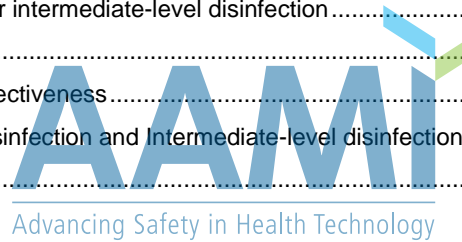
Printed in the United States of America

ISBN 978-1-57020-702-0

## Contents

Page

Glossary of equivalent standards.....	iv
Committee representation.....	v
Foreword.....	viii
Introduction.....	1
1 Scope.....	2
2 Definitions.....	3
3 Disinfectant classifications and general applications.....	4
4 Categories of devices.....	7
5 Personnel considerations.....	8
6 Processing items to allow safe handling.....	9
7 Considerations prior to low-level or intermediate-level disinfection.....	10
8 Cleaning considerations.....	11
9 Disinfectants, applications and effectiveness.....	14
10 Risk assessment for low-level disinfection and Intermediate-level disinfection processes.....	21
Bibliography.....	23



## PREVIEW COPY

This is a preview edition of an AAMI guidance document and is intended to allow potential purchasers to evaluate the content of the document before making a purchasing decision.

For a complete copy of this AAMI document, contact AAMI at +1-877-249-8226 or visit [www.aami.org](http://www.aami.org).

## Glossary of equivalent standards

International Standards adopted in the United States may include normative references to other International Standards. AAMI maintains a current list of each International Standard that has been adopted by AAMI (and ANSI). Available on the AAMI website at the address below, this list gives the corresponding U.S. designation and level of equivalency to the International Standard.

[www.aami.org/standards/glossary.pdf](http://www.aami.org/standards/glossary.pdf)



## PREVIEW COPY

This is a preview edition of an AAMI guidance document and is intended to allow potential purchasers to evaluate the content of the document before making a purchasing decision.

For a complete copy of this AAMI document, contact AAMI at +1-877-249-8226 or visit [www.aami.org](http://www.aami.org).

## Committee representation

### Association for the Advancement of Medical Instrumentation

#### Chemical Sterilants Hospital Practices Working Group

This technical information report was developed by the AAMI Chemical Sterilants Hospital Practices Working Group under the auspices of the AAMI Sterilization Standards Committee. Approval of the TIR does not necessarily mean that all working group members voted for its approval. At the time this TIR was published, the **AAMI Chemical Sterilants Hospital Practices Working Group** had the following members:

*Chair:* Janet Prust

*Members:* Anas Aljabo, SteriPro Canada Inc  
Nola Bayes, Sanford Health  
Marcia Benedict, STERIS Corporation  
Jon Burdach, PhD, Nanosonics Limited  
Jennifer Burrell, St. Luke's Hospital and Health Network  
Xiaolan Chen, Johnson & Johnson  
Nancy Chobin, RN, CSPDM, Sterile Processing University LLC  
Jacqueline Daley (Independent Expert)  
Mary Ann Drosnock, Healthmark Industries Company Inc  
Melinda Elammari (Independent Expert)  
Gordon Ely, MiMedx Group  
Sarah Fitzgerald, Hill-Rom Holdings  
Gloria Frost, Cardinal Health  
Zory Glaser, PhD, Johns Hopkins University School of Public Health  
Nupur Jain, Intuitive Surgical Inc  
Susan G. Klacik, CCSMC, FCS, ACE, International Association of Healthcare Central Service  
Materiel Management  
Doug Kruger (Independent Expert)  
Erin Kyle, Association of periOperative Registered Nurses  
Jean-Luc Lemyre, TSO<sub>3</sub> Inc  
Stacey MacArthur (Independent Expert)  
Jo Ann Maltais, Maltais Consulting  
Jason Marosi (Independent Expert)  
Elaine Mayhall, PhD, FDA/CDRH  
Candace McManus, PhD  
Astrid Merrifield, Boston Scientific Corporation  
Rusty Mills, GE Healthcare  
Frank Myers, UC San Diego Healthcare System  
Mike Neilson, Becton Dickinson & Company  
Richard Ormsbee, Cantel Inc  
Alpa Patel, Nelson Laboratories LLC  
Janet Prust, 3M Healthcare  
Cheron Rojo, Valley Children's Hospital  
Mandy Ryan, Stryker Instruments Division  
Mike Schoene, Bausch & Lomb Inc  
Rose Seavey, Seavey Healthcare Consulting, LLC  
Frank Sizemore, Wake Forest University Baptist Medical Center  
Joan Spear, B Braun of America Inc  
Karen Swanson, Connecticut Children's Medical Center  
Radhakrishna Tirumalai, US Pharmacopeia Convention Inc  
Dawn Tomac, Association for Professionals in Infection Control and Epidemiology  
Donald Tumminelli, HIGHPOWER Validation Testing & Lab Services Inc  
Sunny Vestal, Baylor Scott & White Medical Center  
Richard Warburton, ChemDAQ Inc  
Jill Warren, WuXi AppTec Inc  
Roberto Zumbado, Philips

*Alternates:*

- Dave Dion, Cardinal Health
- Christopher Dugard, FDA/CDRH
- Susan Flynn, 3M Healthcare
- Elyse Gaudreau, TSO<sub>3</sub> Inc
- Brent Geiger, Cantel Inc
- Rachel Hill, Becton Dickinson & Company
- David Hilliker, ChemDAQ Inc
- Nancy Kaiser, STERIS Corporation
- Kaumudi Kulkarni, Healthmark Industries Company Inc
- Patrick McCormick, Bausch & Lomb Inc
- Kathleen McMullen, Association for Professionals in Infection Control and Epidemiology
- Emily Mitzel, Nelson Laboratories LLC
- Navid Omidbakhsh, Johnson & Johnson
- Sasi Songthong, WuXi AppTec Inc
- Brian Wallace, Intuitive Surgical Inc
- Jon Wood, International Association of Healthcare Central Service Materiel Management
- Bryan Worwa, Boston Scientific Corporation

---

NOTE—Participation by federal agency representatives in the development of this technical information report does not constitute endorsement by the federal government or any of its agencies.

---

At the time this document was published, the **AAMI Sterilization Standards Committee** had the following members:

*Chair:*

- Michael H. Scholla, MS, PhD
- Patrick Weixel

*Members:*

- Anas Aljabo, PhD, SteriPro Canada Inc
- Brett Anderson, Cochlear Ltd
- Hank Balch, University Health System
- Richard Bancroft, STERIS Corporation
- Marie Brewer (Independent Expert)
- Trabue D. Bryans, BryKor LLC
- Jon Burdack, PhD, Nanosonics Limited
- Tim Carlson, Becton Dickinson & Company
- Phil Cogdill, Medtronic Inc
- Sean Cowell, WuXi AppTec Inc
- Lena Cordie, Qualitas Professional Services LLC
- Jacqueline Daley (Independent Expert)
- Gordon Ely, MiMedx Group
- Lisa Foster, Aduvo QS & SA Consulting
- Joel R. Gorski, PhD, NAIMSA
- Joyce Hansen, Johnson & Johnson
- Stephanie Homuth (Independent Expert)
- Clark Houghtling, Cosmed Group Inc
- Susan G. Klacik, CCSMC, FCS, ACE, International Association of Healthcare Central Service Materiel Management
- Erin Kyle, Association of periOperative Registered Nurses
- Byron J. Lambert, PhD, Abbott Laboratories
- Michelle Luebke, Baxter Healthcare Corporation
- Patrick J. McCormick, Bausch & Lomb Inc
- Gerry O'Dell, Gerry O'Dell Consulting
- Adrian Ponce, Verrix LLC
- Janet Prust, 3M Healthcare
- Nancy Rakiewicz, IUVO BioScience
- Michael H. Scholla, MS, PhD, Dupont Protection Technologies
- Linda Schultz, Northside Hospital Surgical Services Atlanta
- Kristen Singleton, Getinge USA
- Joan Spear, B Braun of America Inc
- Sid Wiggs (Independent Expert)
- Patrick Weixel, FDA/CDRH
- Stephen Yeadon, Boston Scientific Corporation



William E. Young, Sterigenics International  
Roberto Zumbado, Philips

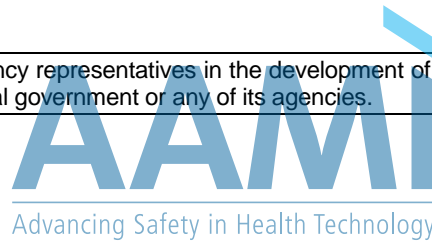
*Alternates:*

Stacy Bohl, Boston Scientific Corporation  
Greg Crego, IUVO BioScience  
Dan Floyd, DuPont Protection Technologies  
Bob Marrs, B Braun of America Inc  
Gerry McDonnell, PhD, Johnson & Johnson  
David McGoldrick, Abbott Laboratories  
Kimberly Patton, Becton Dickinson & Company  
Christine Render, Cosmed Group Inc  
Michael Sadowski, Baxter Healthcare Corporation  
Mark Smith, Getinge USA  
Craig Wallace, 3M Healthcare  
Lisa Ward, STERIS Corporation  
Jill Warren, WuXi AppTec Inc  
Martell Kress Winters, SM, Sotera Health  
Jon Wood, International Association of Healthcare Central Service  
Materiel Management

---

NOTE—Participation by federal agency representatives in the development of this technical information report does not constitute endorsement by the federal government or any of its agencies.

---



## PREVIEW COPY

This is a preview edition of an AAMI guidance document and is intended to allow potential purchasers to evaluate the content of the document before making a purchasing decision.

For a complete copy of this AAMI document, contact AAMI at +1-877-249-8226 or visit [www.aami.org](http://www.aami.org).

## Foreword

This technical information report was developed by the AAMI Hospital Chemical Sterilants Practices Working Group under the auspices of the AAMI Sterilization Standards Committee. The objective of this technical information report is to provide guidance on the selection and use of low and intermediate-level disinfectants and disinfection processes for safe and effective use by all healthcare personnel who perform low and intermediate-level disinfection processes on patient care medical devices, medical equipment, and their accessories, and who have responsibility for cleaning and disinfecting environmental surfaces in medical device processing areas.

As used within the context of this document, “should” indicates that among several possibilities one is recommended as particularly suitable, without mentioning or excluding others, or that a certain course of action is preferred but not necessarily required, or that (in the negative form) a certain possibility or course of action should be avoided but is not prohibited; “may” is used to indicate that a course of action is permissible within the limits of the technical information report; and “can” is used as a statement of possibility and capability. “Must” is used only to describe “unavoidable” situations, including those mandated by government regulation.

This technical information report should be considered flexible and dynamic. As technology advances and as new data are brought forward, the technical information report will be reviewed and, if necessary, revised.

Suggestions for improving this technical information report are invited. Comments and suggested revisions should be sent to Standards Dept, AAMI, 4301 N. Fairfax Drive, Suite 301, Arlington, VA 22203-1633.

---

NOTE—This foreword does not contain provisions of the AAMI TIR68, *Low and intermediate-level disinfection in healthcare settings for medical devices and patient care equipment and sterile processing environmental surfaces* (AAMI TIR68:2018), but it does provide important information about the development and intended use of the document.

---

# PREVIEW COPY

This is a preview edition of an AAMI guidance document and is intended to allow potential purchasers to evaluate the content of the document before making a purchasing decision.

For a complete copy of this AAMI document, contact AAMI at +1-877-249-8226 or visit [www.aami.org](http://www.aami.org).

# Low and intermediate-level disinfection in healthcare settings for medical devices and patient care equipment and sterile processing environmental surfaces

## Introduction

Appropriate, efficacious cleaning and disinfection of noncritical medical devices and equipment surfaces and of critical and semi-critical medical devices prior to high-level disinfection or sterilization by means of intermediate-level disinfection or low-level disinfection are important aspects of infection prevention and control for both patients and healthcare user safety. Cleaning and the various levels of disinfection are intended to help prevent transmission of infectious organisms that can cause disease. Transmissions can include person-to-person transmission (e.g., methicillin resistant *Staphylococcus aureus* (MRSA)) and also transmission of environmental pathogens (e.g., *Pseudomonas aeruginosa*). Unfortunately, outbreaks in healthcare facilities are not an uncommon occurrence and numerous published outbreaks have been traced to contaminated medical devices, equipment and even the disinfectants used. The increase in emerging and re-emerging pathogens and drug resistant pathogens presents a higher risk to patients and as a result there is a heightened need for thorough understanding of appropriate disinfection procedures and protocols to help prevent transmission of these microorganisms.

Use of chemical disinfectants was one of the first processes implemented to reduce patient infection risk, beginning in the mid-19<sup>th</sup> century. Even though disinfectants have been used for a very long period of time and much is known regarding the use and limitations, it is the multitude of available types of products from manufacturers that makes proper use more challenging today than in the past. The same chemical disinfectant from two different manufacturers can have different formulations and use instructions. Some general use disinfectants such as alcohol and chlorine are also used as household antiseptics or disinfectants but with different concentrations and use applications and might not all have hospital appropriate claims or proper registrations. Hospital grade disinfectants require specific knowledge of the appropriate products, claims and use procedures for healthcare applications.

Current AAMI standards appropriately address critical and some semi-critical patient care items that are terminally sterilized or high level disinfected with the information available in ANSI/AAMI ST79, ANSI/AAMI ST41, ANSI/AAMI ST58 and ANSI/AAMI ST91. Existing information and guidelines for processing of non-critical devices from other organizations outside of AAMI are typically very broad and not focused on sterile processing area applications. This document is written to provide relevant information for safely cleaning and appropriately disinfecting medical devices and environmental surfaces. It is intended to provide an easy-to-use format for primary use by healthcare personnel responsible for processing medical devices, as well as by personnel responsible for cleaning and disinfecting the processing area. Historically, disinfection of many of these types of items was not completed by sterile processing staff. However, with the increased awareness of healthcare associated infections (HAIs) and documented outbreaks tied to improper cleaning and disinfection procedures, the role of sterile processing personnel and the need for their recognized expertise has expanded beyond sterilization related procedures and often includes responsibility for medical device disinfection practices throughout the health care facility.

Items requiring low or intermediate-level disinfection may include non-critical patient-contacting medical devices, non-critical patient care equipment, and environmental surfaces. Guidance for cleaning and disinfection of environmental surfaces outside of the sterile processing area, provided in documents from CDC, APIC, ASHE and other professional organizations, is not discussed here. However, information on the appropriate processes for environmental cleaning and disinfection of the sterile processing area is provided in this document and is included to address the specific requirements necessitated by the nature of the work performed (e.g. cleaning and decontamination of used and potentially infectious medical devices) in the area which can require additional considerations compared to other areas within the environment of care in the healthcare facility.