American National Standard for Financial Services

ANS X9.82: Part 3–2007

Random Number Generation

Part 3: Deterministic Random Bit Generators

Accredited Standards Committee X9, Incorporated
Financial Industry Standards

Date Approved:

American National Standards Institute

Contents

Foreword

The Accredited Standards Committee on Financial Services (ASC X9) has developed several cryptographic standards to protect financial information. Many of these standards require the use of Random Number Generators to generate random and unpredictable cryptographic keys and other critical security parameters. This Standard, *Random Number Generation*, defines techniques for the generation of random numbers that are used when other ASC standards require the use of random numbers for cryptographic purposes.

While the techniques specified in this Standard are designed to generate random numbers, the Standard does not guarantee that a particular implementation is secure. It is the responsibility of the financial institution to put an overall process in place with the necessary controls to ensure that the process is securely implemented. Furthermore, the controls should include the application with appropriate validation tests in order to verify compliance with this Standard.

Approval of an American National Standard requires verification by ASC that the requirements for due process, consensus, and other criteria for approval have been met by the standards developer.

Consensus is established when, in the judgment of the ASC Board of Standards Review, substantial agreement has been reached by directly and materially affected interests. Substantial agreement means much more than a simple majority, but not necessarily unanimity. Consensus requires that all views and objections be considered, and that a concerted effort be made toward their resolution.

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Introduction

This Standard defines techniques for the generation of random numbers that **shall** be used whenever ASC X9 Standards require the use of a random number or bitstring for cryptographic purposes. The Standard consists of four parts:

- Part 1: Overview and Basic Principles
- Part 2: Entropy Sources
- Part 3: Deterministic Random Bit Generator Mechanisms
- Part 4: Random Bit Generator Construction

This part of ANS X9.82 (Part 3) defines mechanisms for the generation of random bits using deterministic methods.

NOTE The user's attention is called to the possibility that compliance with this Standard may require use of an invention covered by patent rights.

By publication of this Standard, no position is taken with respect to the validity of this claim or of any patent rights in connection therewith. The patent holder has, however, filed a statement of willingness to grant a license under these rights on reasonable and nondiscriminatory terms and conditions to applicants desiring to obtain such a license. Details may be obtained from the standards developer.

Suggestions for the improvement or revision of this Standard are welcome. They should be sent to the X9 Committee Secretariat, Accredited Standards Committee X9, Inc., Financial Industry Standards, P.O. Box 4035, Annapolis, MD 21403 USA.

This Standard was processed and approved for submittal to ANSI by the Accredited Standards Committee on Financial Services, X9. Committee approval of the Standard does not necessarily imply that all the committee members voted for its approval.

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The X9F1 Cryptographic Tool Standards and Guidelines group that developed this standard had the following members:

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Random Number Generation
Part 3: Deterministic Random Bit Generator Mechanisms

1 Scope

The Standard consists of four parts:

- Part 1: Overview and Basic Principles
- Part 2: Entropy Sources
- Part 3: Deterministic Random Bit Generator Mechanisms
- Part 4: Random Bit Generator Construction

Part 1 should be read for a basic understanding of this Standard before reading Part 3. This part of ANSI X9.82 (Part 3) defines mechanisms for the generation of random bits using deterministic methods. The DRBG mechanisms are not sufficient by themselves to define a Random Bit Generator (RBG); Parts 2 and 4 of this Standard provide further requirements for the design of an RBG.

Part 3 includes:

1. A model for a deterministic random bit generator (DRBG),
2. Requirements for DRBG mechanisms,
3. Specifications for DRBG mechanisms that are based on hash functions or block ciphers, or are based on number theoretic problems,
4. Implementation issues, and
5. Assurance considerations.

A DRBG is based on a DRBG mechanism as specified in this part of the Standard and includes a source of entropy input. Part 3 specifies several diverse DRBG mechanisms, all of which provided acceptable security when this Standard was approved. However, in the event that new attacks are found on a particular class of mechanisms, a diversity of approved mechanisms will allow a timely transition to a different class of DRBG mechanism.

Random number generation does not require interoperability between two entities, e.g., communicating entities may use different DRBG mechanisms without affecting their ability to communicate. Therefore, an entity may choose a single appropriate DRBG mechanism for its applications; see Annex D for a discussion of DRBG selection.

The precise structure, design and development of a random bit generator is outside the scope of this Standard.

2 Conformance

An implementation of a DRBG mechanism may claim conformance with ANS X9.82 if it implements the mandatory provisions of Part 1 and the mandatory requirements of one or more of the DRBG mechanisms specified in this part of the Standard. An implementation of a DRBG may claim conformance with ANS X9.82 as an RBG if the following are implemented: the mandatory provisions of Part 1, the mandatory requirements of one or more of the DRBG mechanisms specified in this part of the Standard, an entropy source from Part 2 and the appropriate mandatory requirements of Part 4.