STANDARD

ANSI/ASHRAE/NEMA Standard 201-2016

Facility Smart Grid Information Model

Approved by ASHRAE on April 29, 2016, by the National Electrical Manufacturer's Association on March 17, 2016, and by the American National Standards Institute on May 2, 2016.

ASHRAE Standards are scheduled to be updated on a five-year cycle; the date following the Standard number is the year of ASHRAE approval. The latest edition of an ASHRAE Standard may be purchased on the ASHRAE website (www.ashrae.org) or from ASHRAE Customer Service, 1791 Tullie Circle, NE, Atlanta, GA 30329-2305. E-mail: orders@ashrae.org. Fax: 678-539-2129. Telephone: 404-636-8400 (worldwide) or toll free 1-800-527-4723 (for orders in US and Canada). For reprint permission, go to www.ashrae.org/permissions.

© 2016 ASHRAE ISSN 1041-2336



This is a preview of "ANSI/ASHRAE/NEMA Sta...". Click here to purchase the full version from the ANSI store.

This is a preview of "ANSI/ASHRAE/NEMA Sta...". Click here to purchase the full version from the ANSI store.

ASHRAE STANDARD PROJECT COMMITTEE 201 Cognizant TC: 7.5, Smart Building Systems SPLS Liaisons: Steven Bruning, Hoy R. Bohanon, John Clark ASHRAE Staff Liaison: Susan C. LeBlanc NEMA Liaison: Andrei Moldoveanu

Amr E. Gado*

Steven T. Bushby*, Chair Stephen D. Kennedy*, Co-Vice Chair Sharon Dinges*, Co-Vice Chair Robert Hick*, Co-Vice Chair Robert J. Alvord Chandrashekhar Appanna* Peter A. Baselici Timothy O. Beight Joel Bender* Roger L. Boydstun Martin Burns James Butler Matthew Bye Francis Cleveland Michael Coop Abigail Daken* Gregory M. Dobbs Jonathan D. Douglas

Mike Gibson Matt Gillmore Krishnan Gowri David W. Guelfo Jason M. Hanna **Richard Harwell** Howard Holms Joseph W. Hughes Christopher Johnson Allen Jones* David Kaufman Christopher Kotting* Jerald Martocci Chuck McParland **Richard Morgan** John Nunneley Robert L. Old

Mary Ann Piette David R. Pospisil* Bin Qui **Devin Rauss** Steven Ray* **Tobin Richardson** Jeremy Roberts David Robin* Steven Rosenstock John I Ruiz* Chantipal Sourignavong Don Sturek John Teeter* Natarajan Venkatakrishnan Kenneth Wacks* Eric Winkler* Jacob Yackenovich* Scott Ziegenfus*

* Denotes members of voting status when the document was approved for publication

ASHRAE STANDARDS COMMITTEE 2015-2016

Douglass T. Reindl, *Chair* Rita M. Harrold, *Vice-Chair* James D. Aswegan Niels Bidstrup Donald M. Brundage John A. Clark Waller S. Clements John F. Dunlap James W. Earley, Jr. Keith I. Emerson Steven J. Emmerich Julie M. Ferguson Walter T. Grondzik Roger L. Hedrick Srinivas Katipamula Rick A. Larson Lawrence C. Markel Arsen K. Melikov Mark P. Modera Cyrus H. Nasseri Heather L. Platt David Robin Peter Simmonds Dennis A. Stanke Wayne H. Stoppelmoor, Jr. Jack H. Zarour Julia A. Keen, BOD ExO James K. Vallort, CO

Stephanie C. Reiniche, Senior Manager of Standards

SPECIAL NOTE

This American National Standard (ANS) is a national voluntary consensus Standard developed under the auspices of ASHRAE. *Consensus* is defined by the American National Standards Institute (ANSI), of which ASHRAE is a member and which has approved this Standard as an ANS, as "substantial agreement reached by directly and materially affected interest categories. This signifies the concurrence of more than a simple majority, but not necessarily unanimity. Consensus requires that all views and objections be considered, and that an effort be made toward their resolution." Compliance with this Standard is voluntary until and unless a legal jurisdiction makes compliance mandatory through legislation.

ASHRAE obtains consensus through participation of its national and international members, associated societies, and public review.

ASHRAE Standards are prepared by a Project Committee appointed specifically for the purpose of writing the Standard. The Project Committee Chair and Vice-Chair must be members of ASHRAE; while other committee members may or may not be ASHRAE members, all must be technically qualified in the subject area of the Standard. Every effort is made to balance the concerned interests on all Project Committees.

The Senior Manager of Standards of ASHRAE should be contacted for

- a. interpretation of the contents of this Standard,
- b. participation in the next review of the Standard,
- c. offering constructive criticism for improving the Standard, or
- d. permission to reprint portions of the Standard.

DISCLAIMER

ASHRAE uses its best efforts to promulgate Standards and Guidelines for the benefit of the public in light of available information and accepted industry practices. However, ASHRAE does not guarantee, certify, or assure the safety or performance of any products, components, or systems tested, installed, or operated in accordance with ASHRAE's Standards or Guidelines or that any tests conducted under its Standards or Guidelines will be nonhazardous or free from risk.

ASHRAE INDUSTRIAL ADVERTISING POLICY ON STANDARDS

ASHRAE Standards and Guidelines are established to assist industry and the public by offering a uniform method of testing for rating purposes, by suggesting safe practices in designing and installing equipment, by providing proper definitions of this equipment, and by providing other information that may serve to guide the industry. The creation of ASHRAE Standards and Guidelines is determined by the need for them, and conformance to them is completely voluntary.

In referring to this Standard or Guideline and in marking of equipment and in advertising, no claim shall be made, either stated or implied, that the product has been approved by ASHRAE.

OASIS DISCLAIMER

Excerpts from OASIS [WS-Calendar v1.0 Committee Specification 01] and [OASIS Energy Market Information Exchange (EMIX) v1.0 Committee Specification] are reprinted with permission from OASIS Open, and are Copyright © OASIS Open 2009–2012. All Rights Reserved.

[WS-Calendar][and][EMIX] [is]/[are] subject to the terms of the OASIS IPR Policy, and all capitalized terms in the following text have the meanings assigned to them in that Policy, which may be found at the OASIS website: http://www.oasis-open.org/who/intellectualproperty.php.

[WS-Calendar] and [EMIX] and translations thereof may be copied and furnished to others, and derivative works that comment on or otherwise explain it or assist in its implementation may be prepared, copied, published, and distributed, in whole or in part, without restriction of any kind, provided that the above copyright notice and this section are included on all such copies and derivative works.

[WS-Calendar][and][EMIX] and the information contained therein is provided on an "AS IS" basis and OASIS DISCLAIMS ALL WARRANTIES, EXPRESS OR IMPLIED, INCLUDING BUT NOT LIMITED TO ANY WARRANTY THAT THE USE OF THE INFORMATION HEREIN WILL NOT INFRINGE ANY OWNERSHIP RIGHTS OR ANY IMPLIED WARRANTIES OF MERCHANTABILITY OR FITNESS FOR A PARTICULAR PURPOSE."

CONTENTS

1.	Purpose		3
2.	Scope		3
3.	Definitions		4
3	.1.	Terms Adopted from External Sources	4
3	.2.	Terms Defined for this Standard	5
3	.3.	Abbreviations and Acronyms Used in this Standard	8
4.	FSGIM Structure and Usage		9
4	.1.	Overview	9
4	.2.	How the FSGIM Component Model can be Applied to Real-World Examples	11
5.	Device and Model Components		
5	.1.	Facility Model Overview Diagram	
5	.2.	Device	
5	.3.	Meter Component	
5	.4.	Load Component	41
5	.5.	Generator Component	64
5	.6.	Energy Manager Component	
5	.7.	Model Elements from External Sources	247
6.	Coi	mmon Primitive Types, Classes, and Enumerations	
6	.1.	Time	
6	.2.	Enumerations	
6	.3.	Primitive Data Types	514
6	.4.	Measurements	514
6	.5.	Other Common Classes	
6	.6.	Elements Defined in the Common Primitive Types, Classes, and Enumerations Model	
7. Conformance Requirements			
7	.1.	Introduction	
7	.2.	Conformance Requirements	
7	.3.	Conformance Blocks	600
8. References			
9. Annex A – UML Model (Normative)			

FOREWORD

The effort to substantially modernize and transform the national electric grid and create what has become known as a "smart grid" is an enormous undertaking that reflects both the size and importance of the electric grid. Viewed in its entirety, it is an international effort involving hundreds of organizations and companies, and it will impact billions of people. The standards infrastructure that will be needed to support this transformation may include over one hundred standards by the time that it is fully in place. This standard is one part of that infrastructure.

Almost all electricity is consumed in a building of some kind – homes, retail establishments, offices, schools, factories, hospitals – the list goes on. This standard attempts to capture the breadth and diversity of these consumers by using the term "facility." A facility is any kind of building or collection of buildings, and all of the electrical loads or local generation sources contained within them or controlled by the facility owner.

Historically, electricity consumption has been viewed as a collection of dumb loads at the end of a distribution system. There has been almost no interaction between the "loads" and those responsible for electricity generations and distribution. The vision of the smart grid changes this picture radically. In a smart grid world, facilities become full partners in supporting and managing the electric grid. Facilities become generators using local renewable or other generation capacity. Facilities moderate electrical demand in response to fluctuations in the price or availably of electricity. Facilities communicate and negotiate with energy providers, sharing information about the facility's projected electrical demand or ability to respond to the energy provider's needs for maintaining grid stability and reliability.

In some respects all facilities have common characteristics and needs with respect to interactions with a smart grid, regardless of whether the facility is a commercial, institutional, or industrial building, or a private home. The Facility Smart Grid Information Model (FSGIM) standard attempts to capture this commonality and standardize the content of the information that a facility manager needs to have, or, in some cases, needs to exchange with the energy provider, in order to manage the facility. Energy providers benefit from the FSGIM standard because it enables interaction with all different types of facilities in a common way. Facility owners benefit because products can be designed for use in multiple facility types and products designed primarily for one type of facility, a home for example, can more easily be used in another, say a commercial building.

An information model is an abstraction, not an implementation. This abstract representation is a way to account for the reality that the technology used to manage a facility may be quite different depending on the type of facility. It is intended that the FSGIM will be used to develop or enhance other standards that define technology and communication protocol specific implementations of the model for particular markets.

The FSGIM was developed in the context of a much larger framework of smart grid standards. It builds on some of those standards in a way that is intended to maintain consistency and harmony with established and developing standards that impact the information needed to managing the facility, while at the same time capturing all of the key information needed in one place.

If the smart grid is to become a reality there must be smart facilities of all types that interact with it. The considerable time and talent that went into developing the FSGIM was invested in order to lay a solid foundation upon which to fulfill this vision.

1. PURPOSE

The purpose of this standard is to define an abstract, object-oriented information model to enable appliances and control systems in homes, buildings, and industrial facilities to manage electrical loads and generation sources in response to communication with a "smart" electrical grid and to communicate information about those electrical loads to utility and other electrical service providers.

2. SCOPE

This model provides the basis for common information exchange between control systems and end use devices found in single - and multi-family homes, commercial and institutional buildings, and industrial facilities that is independent of the communication protocol in use. It provides a common basis for electrical energy consumers to describe, manage, and communicate about electrical energy consumption and forecasts.

The model defines a comprehensive set of data objects and actions that support a wide range of energy management applications and electrical service provider interactions including:

- a) on-site generation,
- b) demand response,
- c) electrical storage,
- d) peak demand management,
- e) forward power usage estimation,
- f) load shedding capability estimation,
- g) end load monitoring (sub metering),
- h) power quality of service monitoring,
- i) utilization of historical energy consumption data, and
- j) direct load control.