

ANSI/AWWA B112-19 (Revision of ANSI/AWWA B112-15)

American Water Works Association Dedicated to the World's Most Important Resource[®]

AWWA Standard

Microfiltration and Ultrafiltration Membrane Systems

Effective date: May 1, 2019. First edition approved by AWWA Board of Directors Jan. 24, 2015. This edition approved Jan. 24, 2019. Approved by American National Standards Institute Feb. 1, 2019.





AWWA Standard

This document is an American Water Works Association (AWWA) standard. It is not a specification. AWWA standards describe minimum requirements and do not contain all of the engineering and administrative information normally contained in specifications. The AWWA standards usually contain options that must be evaluated by the user of the standard. Until each optional feature is specified by the user, the product or service is not fully defined. AWWA publication of a standard does not constitute endorsement of any product or product type, nor does AWWA test, certify, or approve any product. The use of AWWA standards is entirely voluntary. This standard does not supersede or take precedence over or displace any applicable law, regulation, or code of any governmental authority. AWWA standards are intended to represent a consensus of the water industry that the product described will provide satisfactory service. When AWWA revises or withdraws this standard, an official notice of action will be placed in the Official Notice section of *Journal AWWA*. The action becomes effective on the first day of the month following the month of *Journal AWWA* publication of the official notice.

American National Standard

An American National Standard implies a consensus of those substantially concerned with its scope and provisions. An American National Standard is intended as a guide to aid the manufacturer, the consumer, and the general public. The existence of an American National Standard does not in any respect preclude anyone, whether that person has approved the standard or not, from manufacturing, marketing, purchasing, or using products, processes, or procedures not conforming to the standard. American National Standards are subject to periodic review, and users are cautioned to obtain the latest editions. Producers of goods made in conformity with an American National Standard are encouraged to state on their own responsibility in advertising and promotional materials or on tags or labels that the goods are produced in conformity with particular American National Standards.

CAUTION NOTICE: The American National Standards Institute (ANSI) approval date on the front cover of this standard indicates completion of the ANSI approval process. This American National Standard may be revised or withdrawn at any time. ANSI procedures require that action be taken to reaffirm, revise, or withdraw this standard no later than five years from the date of ANSI approval. Purchasers of American National Standards may receive current information on all standards by calling or writing the American National Standards Institute, 25 West 43rd Street, Fourth Floor, New York, NY 10036; 212.642.4900, or emailing info@ansi.org.



All rights reserved. No part of this publication may be reproduced or transmitted in any form or by any means, electronic or mechanical, including scanning, recording, or any information or retrieval system. Reproduction and commercial use of this material is prohibited, except with written permission from the publisher. Please send any requests or questions to permissions@awwa.org.

ISBN-13, print: 978-1-62576-341-9

elSBN-13, electronic: 978-1-61300-513-2

DOI: http://dx.doi.org/10.12999/AWWA.B112.19

All rights reserved. No part of this publication may be reproduced or transmitted in any form or by any means, electronic or mechanical, including scanning, recording, or any information or retrieval system. Reproduction and commercial use of this material is prohibited, except with written permission from the publisher.

Copyright © 2019 by American Water Works Association Printed in USA

Committee Personnel

The AWWA Standards Subcommittee on Microfiltration and Ultrafiltration Membrane Systems for Water Treatment, which developed and reviewed revisions to this standard, had the following personnel at the time of subcommittee approval:

Scott D.N. Freeman, *Chair* Russell R. Ferlita, *Vice Chair*

General Interest Members

D.R. Brown, CDM Smith, Denver, Colo.

F.G. Edwards, University of Arkansas Department of Civil Engineering, Fayetteville, Ark.

S.D.N. Freeman, Black & Veatch, Madison, Wis.

M.C. Graves, Freese and Nichols Inc., Austin, Tex.

Producer Members

F. Knops, Pentair, Enschede, The NetherlandsW.P. Kosar, Jr., Arkema Inc., King of Prussia, Pa.K.P. Lange-Haider, Dow Water & Process Solutions, St. Paul, Minn.J. Swiezbin, Pall Corp., Port Washington, N.Y.

User Members

A.M. Bankston, Division of Water Treatment & Distribution Services, Minneapolis, Minn.R.R. Ferlita, City of Dunedin, Dunedin, Fla.J.T. Morris, Metropolitan Water District, San Marino, Calif.A. Rogers, Keokuk Municipal Waterworks, Keokuk, Iowa

The AWWA Standards Committee on Membranes, which reviewed and approved this standard, had the following personnel at the time of committee approval:

Scott D.N. Freeman, *Chair* Russell R. Ferlita, *Vice Chair*

General Interest Members

D.R. Brown, CDM Smith, Denver, Colo. W.J. Conlon, Parsons Corp., Tampa, Fla. F.G. Edwards, University of Arkansas Department of Civil Engineering, Fayetteville, Ark.
S.D.N. Freeman, Black & Veatch, Madison, Wis.
M.C. Graves, Freese and Nichols Inc., Austin, Tex.
R.S. Hughes,^{*} Standards Council Liaison, Valley Forge, Pa.
T.J. McCandless,^{*} Standards Engineer Liaison, Denver, Colo.
D.J. Paulson, Water Think Tank, LLC, Minnetonka, Minn.
A.J. Slotterback, Burns & McDonnell, Kansas City, Mo.
H. Steiman, Newton, Mass.

Producer Members

P.M. Gallagher, Evoqua Water Technologies LLC, Tewksbury, Mass.
F. Knops, Pentair, Enschede, The Netherlands
W.P. Kosar, Jr., Arkema Inc., King of Prussia, Pa.
K.P. Lange-Haider, Dow Water & Process Solutions, St. Paul, Minn.
B. Mack, Veolia Water Solutions & Technology, Natick, Mass.
M. Singh, Koch Membrane Systems Inc., Wilmington, Mass.
J. Swiezbin, Pall Corp., Port Washington, N.Y.

User Members

A.M. Bankston, Division of Water Treatment & Distribution Services, Minneapolis, Minn.

R.R. Ferlita, City of Dunedin, Dunedin, Fla.

J.T. Morris, Metropolitan Water District, San Marino, Calif.

A. Rogers, Keokuk Municipal Waterworks, Keokuk, Iowa

H. Seah, Public Utilities Board, Singapore

T. Suydam, San Diego County Water Authority, Escondido, Calif.

^{*} Liaison, nonvoting.

Contents

All AWWA standards follow the general format indicated subsequently. Some variations from this format may be found in a particular standard.

SEC. PAGE Foreword Ι Introduction..... vii I.A Background vii I.B History..... vii Π Special Issues viii III Use of This Standard viii III.A Purchaser Options and Alternatives ... ix III.B Modification to Standard ix IV Major Revisions..... ix V Comments ix

Standard

1 General

1.1	Scope	1
1.2	Purpose	1
1.3	Application	1
2	References	2
3	Definitions	2
4	Requirements	
4.1	Materials	8
4.2	System Requirements	8
4.3	Data to Be Provided by System Supplier	9

SEC.	F	AGE
4.4	Water Flow and Water Quality Data Requirements	13
4.5	Performance Criteria	13
4.6	Products/Components	16
5	Verification	
5.1	Installation	20
5.2	Start-Up and Commissioning	20
5.3	Training	21
5.4	Field Testing	21
5.5	Basis for Rejection	22
6	Delivery, Storage, And Handling	
6.1	Packaging	22
6.2	Shipping, Handling, and Storage	22
6.3	Affidavit of Compliance	23
Appe	ndixes	
А	Bibliography	25
В	System Description Table	27
Table	25	
1	Guide to AWWA Membrane Standards and Typical Membrane Characteristics	viii
2	Feedwater Quality Data to Be Provided	14
B.1	MF/UF Systems	27

This page intentionally blank.

Foreword

This foreword is for information only and is not a part of ANSI*/AWWA B112.

I. Introduction.

I.A. *Background*. The purpose of ANSI/AWWA B112 is to provide purchasers with a standard for the purchase and installation of microfiltration (MF) and ultrafiltration (UF) membrane systems.

Membranes are made from a variety of polymeric and inorganic materials, although polymeric varieties currently predominate. Measurements of membrane performance, including separation and output, are not universally standardized by regulatory agencies. However, some standards groups have published standardized measurement methods, and the industry has developed common and accepted approaches. This is one of the purposes of the testing requirements outlined in the USEPA *Membrane Filtration Guidance Manual* (USEPA 2005) associated with the Long Term 2 Enhanced Surface Water Treatment Rule (USEPA 2006) as well as in NSF[†]/ANSI 419, *Public Drinking Water Equipment Performance—Filtration*.

Regulatory concerns may or may not be the primary drivers for the use of membranes by a municipality, but in all cases the regulations must be assessed for applicability. At present, US federal drinking water standards covering membrane treatment deal mainly with how much removal credit can be received from membrane treatment's use as a microbial barrier. Other issues such as acceptable water contact materials and meeting the primary and secondary contaminant levels in the finished water may also apply.

This standard should be considered as a list of minimum requirements for planning, procurement, selection, construction, and commissioning of MF- and UF-based treatment systems. However, its proper application requires this standard to be coupled with a thorough professional review of site-specific water treatment conditions.

I.B. *History.* The AWWA Standards Council authorized a new AWWA standard for MF and UF systems in September 2010 and assigned the task of development to the AWWA Standards Committee on Membranes. The first edition was approved Jan. 24, 2015, and this edition was approved on Jan. 24, 2019.

A guide to the AWWA membrane systems standards is presented in Table 1.

^{*} American National Standards Institute, 25 West 43rd Street, Fourth Floor, New York, NY 10036.

[†] NSF International, 789 North Dixboro Road, Ann Arbor, MI 48105.

					Typical	
			≥3-µm Particle		Molecular	Salt
	Applicable	Nominal	or Surrogate		Weight	(NaCl)
	AWWA	Pore Size	Organism	Virus (MS2	Cutoff	Rejection
Membrane Type	Standard	(µm)	Removal	Phage) Removal	(daltons)	(%)*
Microfiltration (MF)	B112	0.1 to 0.5	≥99.9% (≥3 log)	<90% (<1 log)	≥200,000	None
Ultrafiltration (UF)	B112	0.005 to 0.1	≥99.9% (≥3 log)	$\geq 90\%$ ($\geq 1 \log$)	10,000 to 200,000	None
Nanofiltration (NF)* ^{,†}	B114	0.001 (approximate conceptual value)	Same as UF, but typically not designed for verifiable removal	Same as UF, but typically not designed for verifiable removal	~200 to >500	0% to 95%
Reverse osmosis (RO) [†]	B114	0.001 (approximate conceptual value)	Same as UF, but typically not designed for verifiable removal	Same as UF, but typically not designed for verifiable removal	<200 to 500	>95%
Electrodialysis/ ion-exchange membranes (IEMs)	B116	Not applicable	Not applicable: demineralized product does not pass through a membrane barrier	Not applicable: demineralized product does not pass through a membrane barrier	Not applicable	>45%
Membrane bioreactors (MBRs)	B130	\$	Ş	Ş	\$	\$

Table 1	Guide to AWWA membrane standards and typ	oical membrane
characteri	istics	

* NF is similar to RO with the key differences being that NF has lower sodium chloride rejection than RO, and NF exhibits greater selectivity in the types of ions that are removed such that NF allows a comparatively higher percentage of monovalent ions to pass to the permeate than multivalent ions.

[†] For NF and RO, rejection is generally based on test conditions for a single element, but there is some variation among membrane manufacturers and membrane models. In general, test conditions tend to vary as follows: (1) feed solutions: 500 to 700 mg/L sodium chloride, magnesium chloride, calcium chloride, or mixed solute solutions for NF; 1,500 to 2,000 mg/L sodium chloride for brackish water RO membranes; 32,000 to 38,000 mg/L sodium chloride for seawater RO membranes; (2) 25°C (77°F) temperature or corrected to that temperature; (3) 6 to 8 pH; (4) 8 to 20 percent recovery per element.

[§] For a description of typical MBR characteristics, please refer to AWWA Standard B130 Membrane Bioreactor Systems.

II. Special Issues. There is no consensus of opinion on the precise definitions of reverse osmosis (RO), nanofiltration (NF), ultrafiltration (UF), and microfiltration (MF). The definitions and typical membrane characteristics of the membrane types shown in this standard are considered applicable to this standard and its use.

III. Use of This Standard. It is the responsibility of the user of an AWWA standard to determine that the products described in that standard are suitable for use in the particular application being considered.

III.A. *Purchaser Options and Alternatives*. The following items should be provided by the purchaser:

- 1. Standard used—that is ANSI/AWWA B112, Microfiltration and Ultrafiltration Membrane Systems, of latest revision.
 - 2. Details of federal, state, and local requirements (Sec. 4.1.1).
 - 3. Required equipment (Sec. 4.2.1).
 - 4. Excluded systems and facilities (Sec. 4.2.2).
 - 5. Required net production rate (Sec. 4.3.1.b).
 - 6. Required documents for permitting (Sec. 4.3.1.u and 4.3.3.e).
 - 7. Record drawings format (Sec. 4.3.4).

8. Whether compliance with NSF/ANSI 60 or NSF/ANSI 61 or other standards, rules, or regulations in addition to the requirements of the Safe Drinking Water Act is required (Sec. 4.6.4, 4.6.4.1, 4.6.4.2).

- 9. Spare part requirements (Sec. 4.6.7.1).
- 10. Interface coordination requirements on project drawings (Sec. 4.6.8.1).
- 11. Electrical coordination requirements on project drawings (Sec. 4.6.8.4).
- 12. Instrumentation and control requirements on project drawings (Sec. 4.6.8.5).
- 13. Pneumatic requirements on project drawings (Sec. 4.6.8.6).
- 14. Flushing requirements (Sec. 5.1.2).
- 15. Installation requirements (Sec. 5.1.3).
- 16. Preservative flushing and disposal requirements (Sec. 5.1.4).
- 17. Requirements for approval of field testing (Sec. 5.4.1).
- 18. Demonstration testing requirements (Sec. 5.4.4).
- 19. Performance testing requirements (Sec. 5.4.5).
- 20. Basis for rejection (Sec. 5.5).
- 21. Affidavit of compliance (Sec. 6.3).

III.B. *Modification to Standard.* Any modification to the provisions, definitions, or terminology in this standard must be provided by the purchaser.

IV. Major Revisions.

- 1. Section I.A. Background was revised.
- 2. Section 3 Definitions was updated.
- 3. System Description Table in Appendix B was updated.

V. Comments. If you have any comments or questions about this standard, please contact AWWA Engineering & Technical Services at 303.794.7711; FAX at 303.795.7603, write to the department at 6666 West Quincy Avenue, Denver, CO 80235-309, or email at standards@awwa.org.

This page intentionally blank.



Microfiltration and Ultrafiltration Membrane Systems

SECTION 1: GENERAL

Sec. 1.1 Scope

This standard sets minimum requirements for microfiltration (MF) and ultrafiltration (UF) membrane systems for water and reclaimed water filtration systems. This standard does not cover the membranes used in biological wastewater treatment, such as membrane bioreactors.

Sec. 1.2 Purpose

The purpose of this standard is to provide a minimum set of requirements for MF and UF systems used for water and reclaimed water filtration systems. This standard is intended to assist with the design, procurement, installation, and commissioning of MF and UF systems.

Sec.1.3 Application

This standard can be referenced for design, procurement, installation, and commissioning of MF and UF systems used for water and reclaimed water filtration systems.