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PD IEC/TR 80001-2-2:2012



BSI Standards Publication

Application of risk management for IT-networks incorporating medical devices

Part 2-2: Guidance for the disclosure and communication of medical device security needs, risks and controls

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TECHNICAL REPORT



**Application of risk management for IT-networks incorporating medical devices –
Part 2-2: Guidance for the disclosure and communication of medical device
security needs, risks and controls**

INTERNATIONAL
ELECTROTECHNICAL
COMMISSION

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CONTENTS

FOREWORD.....	4
INTRODUCTION.....	6
1 Scope.....	7
2 Normative references	8
3 Terms and definitions	8
4 Use of SECURITY CAPABILITIES.....	12
4.1 Structure of a SECURITY CAPABILITY entry.....	12
4.2 Guidance for use of SECURITY CAPABILITIES in the RISK MANAGEMENT PROCESS	12
4.3 Relationship of ISO 14971-based RISK MANAGEMENT to IT security RISK MANAGEMENT.....	13
5 SECURITY CAPABILITIES	14
5.1 Automatic logoff – ALOF	14
5.2 Audit controls – AUDT.....	14
5.3 Authorization – AUTH.....	15
5.4 Configuration of security features – CNFS.....	16
5.5 Cyber security product upgrades – CSUP.....	16
5.6 HEALTH DATA de-identification – DIDT.....	17
5.7 Data backup and disaster recovery – DTBK.....	17
5.8 Emergency access – EMRG	17
5.9 HEALTH DATA integrity and authenticity – IGAU	18
5.10 Malware detection/protection – MLDP	18
5.11 Node authentication – NAUT	18
5.12 Person authentication – PAUT	19
5.13 Physical locks on device – PLOK	19
5.14 Third-party components in product lifecycle roadmaps – RDMP	20
5.15 System and application hardening – SAHD.....	20
5.16 Security guides – SGUD.....	21
5.17 HEALTH DATA storage confidentiality – STCF	21
5.18 Transmission confidentiality – TXCF.....	22
5.19 Transmission integrity – TXIG	22
6 Example of detailed specification under SECURITY CAPABILITY: Person authentication – PAUT.....	22
7 References.....	23
8 Other resources.....	25
8.1 General.....	25
8.2 Manufacture disclosure statement for medical device security (MDS2)	25
8.3 Application security questionnaire (ASQ).....	25
8.4 The Certification Commission for Healthcare Information Technology (CCHIT).....	25
8.5 http://www.cchit.org/get_certified HL7 Functional Electronic Health Record (EHR).....	26
8.6 Common criteria – ISO/IEC 15408.....	26
9 Standards and frameworks	26
Annex A (informative) Sample scenario showing the exchange of security information.....	27
Annex B (informative) Examples of regional specification on a few SECURITY CAPABILITIES	48

This is a preview of "PD IEC/TR 80001-2-2:....". [Click here to purchase the full version from the ANSI store.](#)

Annex C (informative) SECURITY CAPABILITY mapping to C-I-A-A	52
Bibliography.....	53
Table 1 – Relationship of IT security and ISO 14971-based terminology	13
Table C.1 – Sample mapping by a hypothetical HDO	52

This is a preview of "PD IEC/TR 80001-2-2:...". [Click here to purchase the full version from the ANSI store.](#)

INTERNATIONAL ELECTROTECHNICAL COMMISSION

APPLICATION OF RISK MANAGEMENT FOR IT-NETWORKS INCORPORATING MEDICAL DEVICES –

Part 2-2: Guidance for the disclosure and communication of medical device security needs, risks and controls

FOREWORD

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IEC 80001-2-2, which is a technical report, has been prepared a Joint Working Group of subcommittee 62A: Common aspects of electrical equipment used in medical practice, of IEC technical committee 62: Electrical equipment in medical practice and ISO technical committee 215: Health informatics.

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The text of this technical report is based on the following documents:

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Full information on the voting for the approval of this technical report can be found in the report on voting indicated in the above table.

This publication has been drafted in accordance with the ISO/IEC Directives, Part 2.

Terms used throughout this technical report that have been defined in Clause 3 appear in SMALL CAPITALS.

The committee has decided that the contents of this publication will remain unchanged until the stability date indicated on the IEC web site under "<http://webstore.iec.ch>" in the data related to the specific publication. At this date, the publication will be

- reconfirmed,
- withdrawn,
- replaced by a revised edition, or
- amended.

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INTRODUCTION

IEC 80001-1, which deals with the application of RISK MANAGEMENT to IT-networks incorporating medical devices, provides the roles, responsibilities and activities necessary for RISK MANAGEMENT. This technical report provides additional guidance in how SECURITY CAPABILITIES might be referenced (disclosed and discussed) in both the RISK MANAGEMENT PROCESS and stakeholder communications and agreements.

The informative set of common, high-level SECURITY CAPABILITIES presented here is intended to be the starting point for a security-centric discussion between vendor and purchaser or among a larger group of stakeholders involved in a MEDICAL DEVICE IT-NETWORK project. Scalability is possible across a range of different sized RESPONSIBLE ORGANIZATIONS as each evaluates RISK under the capabilities and decides what to include or not include according to its RISK tolerance and resource planning. This technical report might be used in the preparation of documentation designed to communicate product SECURITY CAPABILITIES and options. This documentation could be used by the RESPONSIBLE ORGANIZATION as input to their IEC 80001 PROCESS or to form the basis of RESPONSIBILITY AGREEMENTS among stakeholders. Other IEC-80001-1 technical reports will provide step-by-step guidance in the RISK MANAGEMENT PROCESS. Furthermore, the SECURITY CAPABILITIES encourage the disclosure of more detailed security controls – perhaps those specified in one or more security standards as followed by the RESPONSIBLE ORGANIZATION or the MEDICAL-DEVICE manufacturer (for example, ISO 27799:2008, ISO/IEC 27001:2005, ISO/IEC 27002:2005, ISO/IEC 27005:2011, the ISO 22600 series, the ISO 13606 series, and ISO/HL7 10781:2009, which covers the Electronic Health Record System Functional Model). This report remains agnostic as to the underlying controls framework; it only proposes a structure for the disclosure and communication among the RESPONSIBLE ORGANIZATION (here called the healthcare delivery organization – HDO), the MEDICAL DEVICE manufacturer (MDM) and the IT-vendor.

The capabilities outlined here comprise a disclosure set of controls which support the maintenance of confidentiality and the protection from malicious intrusion that might lead to compromises in integrity or system/data availability. Capabilities can be added to or further elaborated as the need arises. Controls are intended to protect both data and systems but special attention is given to the protection of both PRIVATE DATA and its subset called HEALTH DATA. Both of these special terms have been defined to carefully avoid any law-specific references (e.g., EC Sensitive Data or USA ePHI).

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1 Scope

This part of IEC 80001 creates a framework for the disclosure of security-related capabilities and RISKS necessary for managing the RISK in connecting MEDICAL DEVICES to IT-NETWORKS and for the security dialog that surrounds the IEC 80001-1 RISK MANAGEMENT of IT-NETWORK connection. This security report presents an informative set of common, high-level security-related capabilities useful in understanding the user needs, the type of security controls to be considered and the RISKS that lead to the controls. INTENDED USE and local factors determine which exact capabilities will be useful in the dialog about RISK.

The capability descriptions in this report are intended to supply:

- a) health delivery organizations (HDOs),
- b) MEDICAL DEVICE manufacturers (MDMs), and
- c) IT vendors

with a basis for discussing RISK and their respective roles and responsibilities toward its management. This discussion among the RISK partners serves as the basis for one or more RESPONSIBILITY AGREEMENTS as specified in IEC 80001-1.

The present report provides broad descriptions of the security-related capabilities with the intent that any particular device or use of a device will have to have at least one additional level of specification detail under each capability. This will often be site and application-specific and may invoke RISK and security controls standards as applicable.

At this introductory stage of IEC 80001-1 standardization, the SECURITY CAPABILITIES in this report provide a common, simple classification of security controls particularly suited to MEDICAL IT NETWORKS and the incorporated devices. The list is not intended to constitute or to support rigorous IT security standards-based controls and associated programs of certification and assurance such as might be found in other ISO standards (e.g., ISO/IEC 15408 with its Common Criteria for Information Technology Security Evaluation). The present report does not contain sufficient detail for exact specification of requirements in a request for proposal or product security disclosure sheet. However, the classification and structure can be used to organize such requirements with underlying detail sufficient for communication during the purchase and integration PROCESS for a MEDICAL DEVICE or IT equipment component. Again, this report is intended to act as a basis for discussion and agreement sufficient to initial integration project RISK MANAGEMENT. Additionally, security only exists in the context of the organizational security policies. Both:

- a) the security policies of the healthcare delivery organization (HDO), and
- b) the product and services security policies of the MEDICAL DEVICE manufacturer (MDM)

are outside of the scope of this report. In addition, the Technical Report does not address clinical studies where there is a need for securing the selective disclosure of PRIVATE DATA or HEALTH DATA.