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BSI Standards Publication

## Document management — Portable document format

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Part 2: PDF 2.0

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## National foreword

This British Standard is the UK implementation of ISO 32000-2:2017.

The UK participation in its preparation was entrusted to Technical Committee IDT/1, Document Management Applications.

A list of organizations represented on this committee can be obtained on request to its secretary.

This publication does not purport to include all the necessary provisions of a contract. Users are responsible for its correct application.

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**Compliance with a British Standard cannot confer immunity from legal obligations.**

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Date

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## **Document management — Portable document format —**

### **Part 2: PDF 2.0**

*Gestion de documents — Format de document portable —  
Partie 2: PDF 2.0*



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ISO 32000-2:2017(E)

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## Foreword

ISO (the International Organization for Standardization) is a worldwide federation of national standards bodies (ISO member bodies). The work of preparing International Standards is normally carried out through ISO technical committees. Each member body interested in a subject for which a technical committee has been established has the right to be represented on that committee. International organizations, governmental and non-governmental, in liaison with ISO, also take part in the work. ISO collaborates closely with the International Electrotechnical Commission (IEC) on all matters of electrotechnical standardization.

The procedures used to develop this document and those intended for its further maintenance are described in the ISO/IEC Directives, Part 1. In particular the different approval criteria needed for the different types of ISO documents should be noted. This document was drafted in accordance with the editorial rules of the ISO/IEC Directives, Part 2 (see [www.iso.org/directives](http://www.iso.org/directives)).

Attention is drawn to the possibility that some of the elements of this document may be the subject of patent rights. ISO shall not be held responsible for identifying any or all such patent rights. Details of any patent rights identified during the development of the document will be in the Introduction and/or on the ISO list of patent declarations received (see [www.iso.org/patents](http://www.iso.org/patents)).

Any trade name used in this document is information given for the convenience of users and does not constitute an endorsement.

For an explanation on the voluntary nature of standards, the meaning of ISO specific terms and expressions related to conformity assessment, as well as information about ISO's adherence to the World Trade Organization (WTO) principles in the Technical Barriers to Trade (TBT) see the following URL: [www.iso.org/iso/foreword.html](http://www.iso.org/iso/foreword.html).

This document was prepared by Technical Committee ISO/TC 171, *Document management applications*, Subcommittee SC 2, *Application issues*, in collaboration with Technical Committee ISO/TC 130, *Graphic technology*.

A list of all the parts of ISO 32000 can be found on the ISO website.

## Introduction

### 0.1 PDF

PDF enables users to exchange and view electronic documents easily and reliably, independent of the environment in which they were created or the environment in which they are viewed or printed.

At the core of PDF is an advanced imaging model derived from the PostScript®<sup>1</sup> page description language. This PDF Imaging Model enables the description of text and graphics in a device-independent and resolution-independent manner at a complete, precise and professional level. Unlike PostScript, which is a programming language, PDF is based on a structured binary file format that is optimised for high performance in interactive viewing.

PDF includes objects such as annotations and hypertext links that are not part of the page content itself but are useful for interactive viewing and document interchange. PDF also includes data structures such as tagged PDF, XMP and an associated files mechanism, that are useful for document management and content reuse.

PDF files can be created natively in PDF form, converted from other electronic formats. Since PDF supports a wide range of image and compression technologies, it is a suitable format for documents digitised from paper, microform, or other hard copy formats. Businesses, governments, libraries, archives and other institutions and individuals around the world use PDF to represent considerable bodies of important information. Since its introduction in 1993, aided by the explosive growth of the Internet, PDF has become widely used for the electronic exchange of documents.

There are several specific applications of PDF that have evolved in which limiting the use of some features of PDF while requiring the use of others, enhances the usefulness of PDF. The following International Standards address specialised uses of PDF:

- PDF/X (ISO 15930) is the industry standard for the intermediate representation of printed material in electronic prepress systems for conventional printing applications.
- PDF/A (ISO 19005) is the industry standard for the archiving of digital documents.
- PDF/UA (ISO 14289) is the industry standard for accessible PDF documents and processors.
- PDF/E (ISO 24517) provides a mechanism for representing engineering documents and exchanging engineering data.
- PDF/VT (ISO 16612-2) is for high volume printing of personalised documents including variable data.

As corporations, government agencies, and educational institutions streamline their operations by replacing paper-based workflows with electronic exchange of information, the impact and opportunity for the application of PDF will continue to grow at a rapid pace.

PDF, together with software for creating, viewing, printing and processing PDF files in a variety of ways, fulfils a set of requirements for electronic documents including:

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- preservation of document fidelity independent of the device, platform, and software,
- merging of content from diverse sources — Web sites, word processing and spreadsheet programs, scanned documents, photos, and graphics — into one self-contained document while maintaining the integrity of all original source documents,
- an extensible metadata model at the document and object level,
- collaborative editing of documents from multiple locations or platforms,
- digital signatures to certify authenticity,
- security and permissions to allow the creator to retain control of the document and associated rights,
- accessibility of content to those with disabilities,
- extraction and reuse of content for use with other file formats and applications, and
- electronic forms to gather and/or represent data within business systems.

## 0.2 ISO 32000 and PDF

PDF was developed and specified by Adobe Systems Incorporated beginning in 1993 and continuing until 2007 when ISO 32000-1 was first prepared. The Adobe Systems version PDF 1.7 was the basis for ISO 32000-1. The ISO 32000 series has been created as a multi-part document, of which this is Part 2. This allows future parts to be created without rendering ISO 32000, or applications based on it, obsolete. See clause 5, "Version designations" for how the version numbers of PDF (1.0, 1.1, 1.2, [...] 2.0) relate to one another.

The primary purpose of this document is to define well-formed PDF documents (conforming PDF files).

In carefully specifying what constitutes a well-defined PDF document, it is natural to describe why a particular feature is to be included in the file and what effect it is designed to have on PDF processing software. So, although the primary objective of this document is to describe the content of conforming PDF documents, it also serves secondary purposes of defining exactly how a PDF component is constructed, suggesting why a producer might choose to use the various PDF constructs, as well as what behaviour is elicited from software consuming that PDF file. The choice of which specific set of features a particular PDF processor supports is not specified.

PDF files represent electronic documents. Over time, it was natural to add features that take advantage of PDF's nature, and the power of computer viewing devices. The size of the PDF documentation has more than quadrupled since its first introduction, and the number of features that a PDF processor is expected to support has grown to be large.

## 0.3 Changes introduced in this document

Starting with PDF 2.0 the term "conforming reader" is no longer used. The terms "interactive PDF processor", "PDF reader" and "PDF writer" are used instead, and have a conditional conformance definition. See 6.1, "Conforming PDF documents" for further discussion of this change.

This specification includes many changes from ISO 32000-1:2008, however only significant new features are marked as being new in PDF 2.0.

PDF 2.0 includes the following new features:

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- 7.6.7, "Unencrypted wrapper document"
- 8.6.5.9, "Use of black point compensation";
- 12.5.6.24, "Projection annotations";
- 12.8.3.4, "CADES signatures as used in PDF";
- 12.8.4, "Long term validation of signatures";
- 12.8.4.3, "Document Security Store (DSS)" and 12.8.5, "Document timestamp (DTS) dictionary";
- 12.10, "Geospatial features";
- 13.7, "Rich media" annotations;
- 14.7.4, "Namespaces" for tagged PDF;
- 14.9.6, "Pronunciation hints";
- 14.12, "Document parts";
- 14.13, "Associated files";
- Support for PRC (see 13.6, "3D Artwork");
- Support for UTF-8.

PDF 2.0 adds many new capabilities to existing features in PDF, including:

- Transparency and blend mode attributes for annotations;
- Stamp Annot intent;
- Polygon/Polyline real paths;
- 256-bit AES encryption;
- ECC-based certificates;
- Unicode-based passwords;
- Document requirement extensions;
- New value for tab order of fields and annotations;
- Page-level OutputIntents;
- Referenced (external) OutputIntents;
- Thumbnails for embedded files;
- Halftone Origin (HTO);
- Measurement & Point Data for image & form XObjects;
- L (length) key for inline image data;
- Viewer preferences enforcement (of print scaling);
- 3D measurements;
- GoToDp action;
- RichMediaExecute action;
- Extension to GoTo and GoToR to support linking to a specific structure element;
- Extension to Signature Field Locks and Signature Seed Values;
- Extensions to 3D viewing conditions, incl. transparency;

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- Ref (reference) structure elements;
- PageNum and Bates artifact types;
- New list types for structured lists;
- "Short" (short name) attribute for table header cells
- Extensions to OutputIntents (MixingHints and SpectralData).

The following clauses have been substantially rewritten for PDF 2.0:

- 7.4.7, "JBIG2Decode filter";
- 10.1 – 10.3, "Rendering";
- 11, "Transparency";
- 12.8, "Digital signatures";
- 14.3, "Metadata";
- 14.8, "Tagged PDF";
- 14.9, "Repurposing and accessibility support".

PDF 2.0 includes many important corrections, extensions and clarifications for existing features, including:

- Corrections for many typing errors including bad symbols and truncated formulae.
- Updates and changes in normative references and the bibliography.
- Improved cross referencing for clauses, tables and figures within this document.
- Clarification for processing dashed and degenerate lines, clarification for processing text objects and blending colour spaces within the transparency framework, clarifications and enhancements for annotation appearances, stamp annotations extension and polyline annotation enhancement.
- Strengthened encryption including introduction of elliptic curve cryptography, more control over forms tab ordering, enforced viewer preferences, rich text, improvements to digital signatures for long term signatures, 3D viewing improvements including 3D projections, revised blend formulae for **ColorBurn** and **ColorDodge**, additional structure tags to improve accessibility, requirement for metadata streams to be XMP and support for hyperlinks in rich text.
- Clarification for PDF version numbering, resource inheritance, required and optional signature dictionary **SubFilter** keys, artifacts, developer-defined extensions, word breaking and page sizes, which file to show when first opening a collection, scope of header attributes, precedence of CID font widths, when a **CIDToGIDMap** is used with Type 2 CID fonts, deprecate sound and movie actions and annotations in favour of newer methods, rendering intent and ImageMask, precedence of Type 1 encoding methods, the wording used to define delimiters with respect to << and >>, Identity CMaps and CIDFonts, a special case when closing and filling a path, that clipping follows filling rules and that operating on an undefined path generates an error.
- Clarification and terminology improvements among Type 1, TrueType, CFF and OpenType fonts; thumbnails for embedded files.
- Specification of XFA used for rich text in annotations.
- The rewrite of 14.8, "Tagged PDF" includes clarification of the child/parent/sibling relationships between tags, simplifies and extends the standard tag set, and adds the use of namespaces for custom tag sets (see also 14.7.4, "Namespaces" for new namespace functionality).

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A repository of referenced documents has been established by the PDF Association (<https://reference.pdfa.org/iso/32000>). Not all referenced documents can be found there due to copyright restrictions.

Some features present in earlier versions of PDF have been deprecated in PDF 2.0, including:

- XFA (incl. NeedsRendering);
- Movie, Sound and TrapNet annotations;
- Movie and Sound actions;
- Info dictionary;
- Assistive technology restrictions via DRM;
- ProcSet;
- OS-specific file specifications;
- OS-specific additions to Launch actions;
- Names for XObjects;
- Names for Fonts;
- Arrays of Blend Modes;
- Alternate Presentations;
- Open prepress interface (OPI);
- CharSet (For Type 1 fonts);
- CIDSet (for CID fonts);
- Prepress viewer preferences (ViewArea, ViewClip, etc.);
- NeedAppearances;
- adbe.pkcs7.sha1;
- adbe.x509.rsa\_sha1;
- Encryption of FDF files;
- Suspects flag in MarkInfo dictionary
- UR signatures.

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## **Document management — Portable Document Format — Part 2: PDF 2.0**

**IMPORTANT** — The electronic file of this document contains colours which are considered to be useful for the correct understanding of the document. Users who need a paper copy of this document will therefore benefit from using a colour printer.

### **1 Scope**

This document specifies a digital form for representing electronic documents to enable users to exchange and view electronic documents independent of the environment in which they were created or the environment in which they are viewed or printed. It is intended for developers of software that creates PDF files (PDF writers), software that reads existing PDF files and (usually) interprets their contents for display (PDF readers), software that reads and displays PDF content and interacts with the computer users to possibly modify and save the PDF file (interactive PDF processors) and PDF products that read and/or write PDF files for a variety of other purposes (PDF processors). (PDF writers and PDF readers are more specialised classifications of interactive PDF processors and all are PDF processors).

This document does not specify the following:

- specific processes for converting paper or electronic documents to the PDF file format;
- specific technical design, user interface implementation, or operational details of rendering;
- specific physical methods of storing these documents such as media and storage conditions;
- methods for validating the conformance of PDF files or PDF processors;
- required computer hardware and/or operating system.