



BSI Standards Publication

## Drilling and foundation equipment — Safety

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Part 3: Horizontal directional drilling equipment (HDD)

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## National foreword

This British Standard is the UK implementation of EN 16228-3:2014+A1:2021. It supersedes BS EN 16228-3:2014, which is withdrawn.

The start and finish of text introduced or altered by amendment is indicated in the text by tags. Tags indicating changes to CEN text carry the number of the CEN amendment. For example, text altered by CEN amendment A1 is indicated by A1 A1.

The UK participation in its preparation was entrusted to Technical Committee B/513/-/10, Drilling and piling machines - Safety.

A list of organizations represented on this committee can be obtained on request to its committee manager.

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**EUROPÄISCHE NORM**

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English Version

**Drilling and foundation equipment - Safety - Part 3:  
Horizontal directional drilling equipment (HDD)**

Machines de forage et de fondation - Sécurité - Partie 3  
: Machines de forage horizontal dirigé (HDD)

Geräte für Bohr- und Gründungsarbeiten - Sicherheit -  
Teil 3: Geräte für das gerichtete  
Horizontalbohrverfahren (HDD)

This European Standard was approved by CEN on 6 March 2014 and includes Amendment 1 approved by CEN on 22 November 2021.

CEN members are bound to comply with the CEN/CENELEC Internal Regulations which stipulate the conditions for giving this European Standard the status of a national standard without any alteration. Up-to-date lists and bibliographical references concerning such national standards may be obtained on application to the CEN-CENELEC Management Centre or to any CEN member.

This European Standard exists in three official versions (English, French, German). A version in any other language made by translation under the responsibility of a CEN member into its own language and notified to the CEN-CENELEC Management Centre has the same status as the official versions.



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## European foreword

This document (EN 16228-3:2014+A1:2021) has been prepared by Technical Committee CEN/TC 151 "Construction equipment and building material machines - Safety", the secretariat of which is held by DIN.

This European Standard shall be given the status of a national standard, either by publication of an identical text or by endorsement, at the latest by June 2022 and conflicting national standards shall be withdrawn at the latest by June 2022.

Attention is drawn to the possibility that some of the elements of this document may be the subject of patent rights. CEN shall not be held responsible for identifying any or all such patent rights.

This document supersedes A1 EN 16228-3:2014 A1.

This document includes Amendment 1 approved by CEN on 22 November 2021.

The start and finish of text introduced or altered by amendment is indicated in the text by tags A1 A1.

This document has been prepared under a Standardization Request given to CEN by the European Commission and the European Free Trade Association, and supports essential requirements of EU Directive(s) / Regulation(s).

For relationship with EU Directive(s) / Regulation(s), see informative Annex ZA, which is an integral part of this document.

This European Standard is divided into several parts and covers drilling and foundation equipment.

Part 1 contains requirements that are/may be common to all drilling and foundation equipment. Other parts contain additional requirements for specific machines that supplement or modify the requirements of part 1. Compliance with the clauses of part 1 together with those of a relevant specific part of this standard giving requirements for a particular machine provides one means of conforming with the essential health and safety requirements of the Directive concerned.

When a relevant specific part does not exist, part 1 can help to establish the requirements for the machine, but will not by itself provide a means of conforming to the relevant essential health and safety requirements of the Directive.

This European Standard, EN 16228, *Drilling and foundation equipment – Safety*, consists of the following parts:

- *Part 1: Common requirements*
- A1 *Part 2: Mobile drill rigs for civil and geotechnical engineering in soil or soil and rock mixture* A1
- *Part 3: Horizontal directional drilling equipment (HDD)*
- *Part 4: Foundation equipment*
- *Part 5: Diaphragm walling equipment*
- *Part 6: Jetting, grouting and injection equipment*

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— *Part 7: Interchangeable auxiliary equipment*

Any feedback and questions on this document should be directed to the users' national standards body. A complete listing of these bodies can be found on the CEN website.

According to the CEN-CENELEC Internal Regulations, the national standards organisations of the following countries are bound to implement this European Standard: Austria, Belgium, Bulgaria, Croatia, Cyprus, Czech Republic, Denmark, Estonia, Finland, France, Germany, Greece, Hungary, Iceland, Ireland, Italy, Latvia, Lithuania, Luxembourg, Malta, Netherlands, Norway, Poland, Portugal, Republic of North Macedonia, Romania, Serbia, Slovakia, Slovenia, Spain, Sweden, Switzerland, Turkey and the United Kingdom.

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## **Introduction**

This document is a type C standard as stated in EN ISO 12100.

The machinery concerned and the extent to which hazards, hazardous situations and events are covered are indicated in the scope of this document.

When provisions of this type C document are different from those, which are stated in type A or B documents, the provisions of this type C document take precedence over the provisions of the other documents, for machines that have been designed and built according to the provisions of this type C document.

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## 1 Scope

This European Standard, together with part 1, deals with all significant hazards for horizontal directional drilling equipment (HDD) when they are used as intended and under the conditions of misuse which are reasonably foreseeable by the manufacturer associated with the whole life time of the machine (see Clause 4).

The requirements of this part are complementary to the common requirements formulated in [EN 16228-1:2014+A1:2021](#), but adds or replaces the requirements for application for horizontal directional drills.

This document does not repeat the requirements from [EN 16228-1:2014+A1:2021](#), but adds or replaces the requirements for application for horizontal directional drills.

A machine is considered a horizontal directional drill if it is designed to drill in a shallow arc for the installation of pipes, conduits, and cables and typically has a drill string entry angle of less than 45° relative to the operating surface of the earth.

## 2 Normative references

The following documents, in whole or in part, are normatively referenced in this document and are indispensable for its application. For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments) applies.

[EN 474-1:2006+A6:2019](#), *Earth-moving machinery — Safety — Part 1: General requirements*

[EN 12999:2011+A2:2018](#), *Cranes — Loader cranes*

[EN 16228-1:2014+A1:2021](#), *Drilling and foundation equipment — Safety — Part 1: Common requirements*

EN ISO 2867:2011, *Earth-moving machinery — Access systems (ISO 2867:2011)*

EN ISO 3411:2007, *Earth-moving machinery — Physical dimensions of operators and minimum operator space envelope (ISO 3411:2007)*

EN ISO 3449:2008, *Earth-moving machinery — Falling-object protective structures — Laboratory tests and performance requirements (ISO 3449:2005)*

EN ISO 3471:2008, *Earth-moving machinery — Roll-over protective structures — Laboratory tests and performance requirements (ISO 3471:2008)*

EN ISO 5353:1998, *Earth-moving machinery, and tractors and machinery for agriculture and forestry — Seat index point (ISO 5353:1995)*

EN ISO 6682:2008, *Earth-moving machinery — Zones of comfort and reach for controls (ISO 6682:1986, including Amd 1:1989)*

EN ISO 7731:2008, *Ergonomics — Danger signals for public and work areas — Auditory danger signals (ISO 7731:2003)*

EN ISO 12100:2010, *Safety of machinery — General principles for design — Risk assessment and risk reduction (ISO 12100:2010)*

[EN ISO 14120:2015](#), *Safety of machinery — Guards — General requirements for the design and construction of fixed and movable guards (ISO 14120:2015)*