



BSI Standards Publication

**Information technology — Security techniques — Requirements for bodies providing audit and certification of information security management systems**

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## National foreword

This British Standard is the UK implementation of EN ISO/IEC 27006:2020. It is identical to ISO/IEC 27006:2015+A1:2020. It supersedes BS ISO/IEC 27006:2015+A1:2020, which is withdrawn.

The start and finish of text introduced or altered by amendment is indicated in the text by tags. Tags indicating changes to ISO/IEC text carry the number of the ISO/IEC amendment. For example, text altered by ISO/IEC amendment 1 is indicated by A1 A1.

The UK participation in its preparation was entrusted to Technical Committee IST/33, Information security, cybersecurity and privacy protection.

A list of organizations represented on this committee can be obtained on request to its committee manager.

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### Amendments/corrigenda issued since publication

Date	Text affected
30 April 2020	Implementation of ISO/IEC amendment 1:2020
31 December 2020	This corrigendum renumbers BS ISO/IEC 27006:2015+A1:2020 as BS EN ISO/IEC 27006:2020
31 March 2021	Implementation of CEN correction notice 24 February 2021: correction to European foreword to include mention of amendment 1:2020

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Date	Text affected
31 March 2021	Addition of ISBN in national foreword

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## EUROPÄISCHE NORM

November 2020

ICS 03.120.20; 35.030

English Version

# Information technology - Security techniques - Requirements for bodies providing audit and certification of information security management systems (ISO/IEC 27006:2015, including Amd 1:2020)

Technologies de l'information - Techniques de  
sécurité - Exigences pour les organismes procédant  
à l'audit et à la certification des systèmes de  
management de la sécurité de l'information  
(ISO/IEC 27006:2015, y compris Amd 1:2020)

Informationstechnik - IT-Sicherheitsverfahren -  
Anforderungen an Institutionen, die Audits und  
Zertifizierungen von Informationssicherheits-  
Managementsystemen anbieten (ISO/IEC  
27006:2015, einschließlich Amd 1:2020)

This European Standard was approved by CEN on 16 November 2020.

CEN and CENELEC members are bound to comply with the CEN/CENELEC Internal Regulations which stipulate the conditions for giving this European Standard the status of a national standard without any alteration. Up-to-date lists and bibliographical references concerning such national standards may be obtained on application to the CEN-CENELEC Management Centre or to any CEN and CENELEC member.

This European Standard exists in three official versions (English, French, German). A version in any other language made by translation under the responsibility of a CEN and CENELEC member into its own language and notified to the CEN-CENELEC Management Centre has the same status as the official versions.

CEN and CENELEC members are the national standards bodies and national electrotechnical committees of Austria, Belgium, Bulgaria, Croatia, Cyprus, Czech Republic, Denmark, Estonia, Finland, France, Germany, Greece, Hungary, Iceland, Ireland, Italy, Latvia, Lithuania, Luxembourg, Malta, Netherlands, Norway, Poland, Portugal, Republic of North Macedonia, Romania, Serbia, Slovakia, Slovenia, Spain, Sweden, Switzerland, Turkey and United Kingdom.



EUROPEAN COMMITTEE FOR STANDARDIZATION  
COMITÉ EUROPÉEN DE NORMALISATION  
EUROPÄISCHES KOMITEE FÜR NORMUNG

**CEN-CENELEC Management Centre: Rue de la Science 23, B-1040 Brussels**

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## European foreword

The text of ISO/IEC 27006:2015, including Amd 1:2020, has been prepared by Technical Committee ISO/IEC JTC 1 "Information technology" of the International Organization for Standardization (ISO) and has been taken over as EN ISO/IEC 27006:2020 by Technical Committee CEN/CLC/JTC 13 "Cybersecurity and Data Protection" the secretariat of which is held by DIN.

This European Standard shall be given the status of a national standard, either by publication of an identical text or by endorsement, at the latest by May 2021, and conflicting national standards shall be withdrawn at the latest by May 2021.

Attention is drawn to the possibility that some of the elements of this document may be the subject of patent rights. CEN shall not be held responsible for identifying any or all such patent rights.

According to the CEN-CENELEC Internal Regulations, the national standards organizations of the following countries are bound to implement this European Standard: Austria, Belgium, Bulgaria, Croatia, Cyprus, Czech Republic, Denmark, Estonia, Finland, France, Germany, Greece, Hungary, Iceland, Ireland, Italy, Latvia, Lithuania, Luxembourg, Malta, Netherlands, Norway, Poland, Portugal, Republic of North Macedonia, Romania, Serbia, Slovakia, Slovenia, Spain, Sweden, Switzerland, Turkey and the United Kingdom.

### Endorsement notice

The text of ISO/IEC 27006:2015, including Amd 1:2020, has been approved by CEN as EN ISO/IEC 27006:2020 without any modification.

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## Foreword

ISO (the International Organization for Standardization) and IEC (the International Electrotechnical Commission) form the specialized system for worldwide standardization. National bodies that are members of ISO or IEC participate in the development of International Standards through technical committees established by the respective organization to deal with particular fields of technical activity. ISO and IEC technical committees collaborate in fields of mutual interest. Other international organizations, governmental and non-governmental, in liaison with ISO and IEC, also take part in the work. In the field of information technology, ISO and IEC have established a joint technical committee, ISO/IEC JTC 1.

The procedures used to develop this document and those intended for its further maintenance are described in the ISO/IEC Directives, Part 1. In particular the different approval criteria needed for the different types of document should be noted. This document was drafted in accordance with the editorial rules of the ISO/IEC Directives, Part 2 (see [www.iso.org/directives](http://www.iso.org/directives)).

Attention is drawn to the possibility that some of the elements of this document may be the subject of patent rights. ISO and IEC shall not be held responsible for identifying any or all such patent rights. Details of any patent rights identified during the development of the document will be in the Introduction and/or on the ISO list of patent declarations received (see [www.iso.org/patents](http://www.iso.org/patents)).

Any trade name used in this document is information given for the convenience of users and does not constitute an endorsement.

For an explanation on the meaning of ISO specific terms and expressions related to conformity assessment, as well as information about ISO's adherence to the WTO principles in the Technical Barriers to Trade (TBT) see the following URL: [Foreword - Supplementary information](#)

The committee responsible for this document is ISO/IEC JTC 1, *Information technology, SC 27, IT Security techniques*.

ISO/IEC 27006 was prepared by the Joint Technical Committee ISO/IEC JTC 1, *Information technology, Subcommittee SC 27, IT Security techniques*.

This third edition cancels and replaces the second edition (ISO/IEC 27006:2011), which has been technically revised.

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## Introduction

ISO/IEC 17021-1 sets out criteria for bodies operating audit and certification of management systems. If such bodies are to be accredited as complying with ISO/IEC 17021-1 with the objective of auditing and certifying information security management systems (ISMS) in accordance with ISO/IEC 27001:2013, some additional requirements and guidance to ISO/IEC 17021-1 are necessary. These are provided by this International Standard.

The text in this International Standard follows the structure of ISO/IEC 17021-1 and the additional ISMS-specific requirements and guidance on the application of ISO/IEC 17021-1 for ISMS certification are identified by the letters "IS".

The term "shall" is used throughout this International Standard to indicate those provisions which, reflecting the requirements of ISO/IEC 17021-1 and ISO/IEC 27001, are mandatory. The term "should" is used to indicate recommendation.

The primary purpose of this International Standard is to enable accreditation bodies to more effectively harmonize their application of the standards against which they are bound to assess certification bodies.

Throughout this International Standard, the terms "management system" and "system" are used interchangeably. The definition of a management system can be found in ISO 9000:2005. The management system as used in this International Standard is not to be confused with other types of systems, such as IT systems.

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# Information technology — Security techniques — Requirements for bodies providing audit and certification of information security management systems

## 1 Scope

This International Standard specifies requirements and provides guidance for bodies providing audit and certification of an information security management system (ISMS), in addition to the requirements contained within ISO/IEC 17021-1 and ISO/IEC 27001. It is primarily intended to support the accreditation of certification bodies providing ISMS certification.

The requirements contained in this International Standard need to be demonstrated in terms of competence and reliability by any body providing ISMS certification, and the guidance contained in this International Standard provides additional interpretation of these requirements for any body providing ISMS certification.

**NOTE** This International Standard can be used as a criteria document for accreditation, peer assessment or other audit processes.

## 2 Normative references

The following documents, in whole or in part, are normatively referenced in this document and are indispensable for its application. For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments) applies.

ISO/IEC 17021-1:2015, *Conformity assessment — Requirements for bodies providing audit and certification of management systems — Part 1: Requirements*

ISO/IEC 27000, *Information technology — Security techniques — Information security management systems — Overview and vocabulary*

ISO/IEC 27001:2013, *Information technology — Security techniques — Information security management systems — Requirements*

## 3 Terms and definitions

For the purposes of this document, the terms and definitions given in ISO/IEC 17021-1, ISO/IEC 27000 and the following apply.

### 3.1

#### **certification documents**

documents indicating that a client's ISMS conforms to specified ISMS standards and any supplementary documentation required under the system

## 4 Principles

The principles from ISO/IEC 17021-1, 4 apply.