

# ANSI/CEA Standard

Modular Communications Interface  
for Energy Management

ANSI/CEA-2045

February 2013



## NOTICE

Consumer Electronics Association (CEA<sup>®</sup>) Standards, Bulletins and other technical publications are designed to serve the public interest through eliminating misunderstandings between manufacturers and purchasers, facilitating interchangeability and improvement of products, and assisting the purchaser in selecting and obtaining with minimum delay the proper product for his particular need. Existence of such Standards, Bulletins and other technical publications shall not in any respect preclude any member or nonmember of CEA from manufacturing or selling products not conforming to such Standards, Bulletins or other technical publications, nor shall the existence of such Standards, Bulletins and other technical publications preclude their voluntary use by those other than CEA members, whether the standard is to be used either domestically or internationally.

Standards, Bulletins and other technical publications are adopted by CEA in accordance with the American National Standards Institute (ANSI) patent policy. By such action, CEA does not assume any liability to any patent owner, nor does it assume any obligation whatever to parties adopting the Standard, Bulletin or other technical publication.

*Note: The user's attention is called to the possibility that compliance with this standard may require use of an invention covered by patent rights.*

*By publication of this standard, no position is taken with respect to the validity of this claim or of any patent rights in connection therewith. The patent holder has, however, filed a statement of willingness to grant a license under these rights on reasonable and nondiscriminatory terms and conditions to applicants desiring to obtain such a license. Details may be obtained from the publisher.*

This document does not purport to address all safety problems associated with its use or all applicable regulatory requirements. It is the responsibility of the user of this document to establish appropriate safety and health practices and to determine the applicability of regulatory limitations before its use.

This document is copyrighted by the Consumer Electronics Association (CEA<sup>®</sup>) and may not be reproduced, in whole or part, without written permission. Federal copyright law prohibits unauthorized reproduction of this document by any means. Organizations may obtain permission to reproduce a limited number of copies by entering into a license agreement. Requests to reproduce text, data, charts, figures or other material should be made to CEA.

(Formulated under the cognizance of the **CEA R7.8 Modular Communication Interface for Energy Management Subcommittee.**)

Published by  
©CONSUMER ELECTRONICS ASSOCIATION 2013  
Technology & Standards Department  
[www.CE.org](http://www.CE.org)

All rights reserved

## **FOREWORD**

This document was developed by the Consumer Electronics Association's R7.8 Modular Communications Interface for Energy Management subcommittee.

(This page intentionally left blank.)

## Contents

<b>1</b>	<b>Introduction .....</b>	<b>1</b>
<b>2</b>	<b>Scope .....</b>	<b>2</b>
2.1	References .....	3
2.1.1	Normative References .....	3
2.1.2	Normative References List.....	3
2.1.3	Normative References Acquisition .....	3
2.1.4	Informative References.....	3
2.1.5	Informative References List .....	3
2.1.6	Informative References Acquisition.....	4
2.2	Compliance .....	4
2.3	Acronyms & Abbreviations .....	5
<b>3</b>	<b>Physical/Electrical Interface .....</b>	<b>5</b>
3.1	Removal and Exchange of a UCM .....	5
3.2	Block Diagram .....	6
<b>4</b>	<b>Serial Protocol .....</b>	<b>6</b>
4.1.1	Message Type Field .....	7
4.1.2	Payload Length Field .....	8
4.1.3	Checksum Field .....	8
4.1.4	Bit and Byte Order.....	8
4.1.5	Message Synchronization and Timing.....	8
4.1.6	SGD Handling of Conflicting Messages .....	12
<b>5</b>	<b>Simple Implementation .....</b>	<b>12</b>
<b>6</b>	<b>Data-Link Messages .....</b>	<b>12</b>
6.1.1	Link NAK Error Codes .....	15
6.1.2	Interface Power Limit Negotiation.....	16
6.1.3	Bit Rate Negotiation.....	17
6.1.4	Message Type Supported Query.....	18
6.1.5	Power-Up and State Reset .....	19
6.1.6	Security .....	19
6.2	Setting Slot Numbering.....	19
<b>7</b>	<b>Basic DR Application (Message Type = 0x08, 0x01).....</b>	<b>19</b>
7.1.1	Basic Message Fixed Length.....	25
7.1.2	Event Duration Field.....	25
7.1.3	Grouped Messages.....	26
7.2	Usage and Details of Basic DR Application Messages.....	26
7.2.1	Request for Power Level (Opcode 0x06).....	26
7.2.2	Relative Price Commands (Opcode 0x07 and 0x08) .....	27

7.2.3	Time Remaining in Present Price Period (Opcode 0x09) .....	28
7.2.4	Operating State Monitoring (Opcodes 0x12 and 0x13) .....	29
<b>8</b>	<b>Intermediate DR Application (Message Type = 0x08, 0x02)</b> .....	<b>30</b>
8.1	Usage and Details of Intermediate DR Application Messages.....	32
8.1.1	Info Request.....	32
8.1.2	Get/Set UTC Time.....	36
8.1.3	Get/Set Energy Price .....	37
8.1.4	Get/Set Tier .....	38
8.1.5	Get/Set Temperature Offset .....	40
8.1.6	Get/Set Set Point.....	41
8.1.7	Autonomous Cycling .....	42
8.1.8	Demand Reduction – Terminate Cycling.....	43
8.2	Demand Response Event Schedules .....	44
8.2.1	Send Scheduled Events Request .....	44
8.3	Energy Consumption.....	44
8.3.1	Commodity Read.....	45
8.3.2	Get/Set CommodityType .....	46
<b>9</b>	<b>Commissioning and Network Messages (Message Type = 0x08, 0x04)</b> .....	<b>48</b>
<b>10</b>	<b>Pass-Through of Standard Protocols</b> .....	<b>49</b>
10.1	Example Pass-Through Handling Instructions.....	50
10.1.1	USNAP 1.0 Protocol Pass-Through.....	50
10.1.2	SEP1.0 Pass-Through .....	51
10.1.3	ClimateTalk Pass-Through.....	51
10.1.4	General Internet Protocol Pass-Through .....	51
<b>11</b>	<b>Example Communication Exchanges</b> .....	<b>52</b>
<b>12</b>	<b>General Security Principles</b> .....	<b>53</b>
<b>13</b>	<b>Load Management Event Randomization</b> .....	<b>54</b>
<b>14</b>	<b>Compliance</b> .....	<b>55</b>
<b>15</b>	<b>Appendix A – Low Voltage DC Form Factor (normative)</b> .....	<b>55</b>
15.1	Overview .....	55
15.1.1	Limitations.....	55
15.2	Physical Layer.....	55
15.2.1	Power for UCM.....	55
15.2.2	Mechanical Interface .....	55
15.3	Data-Link .....	64
15.3.1	Messages.....	64
15.3.2	Operation .....	66
<b>16</b>	<b>Appendix B – AC Form Factor (normative)</b> .....	<b>71</b>
16.1	Physical Form .....	71
16.1.1	AC SGD and AC UCM Connector .....	71
16.1.2	AC Enclosure requirements.....	75

16.2	AC Power.....	79
16.3	Obtaining Message Sync .....	80
<b>17</b>	<b>Appendix C – Fletcher Checksum (normative) .....</b>	<b>81</b>
17.1	Calculating the Checksum .....	81
17.2	Decoding the Checksum.....	81
17.3	Example VB Code .....	81
<b>18</b>	<b>Appendix D – Guideline for Computing Average Price (informative) .....</b>	<b>82</b>
	Explanation for non-regulated utilities.....	84
<b>19</b>	<b>Appendix E – Product Safety Considerations (informative).....</b>	<b>86</b>

## Figures

Figure 2-1 – Illustrations of the Modular Communications Concept on a controlled device (left) or Energy Management Console (right).....	2
Figure 3-1 – Modular Interface - Block Diagram.....	6
Figure 4-1 – Data-Link Timing .....	9
Figure 4-2 – Basic/Intermediate DR Application Layer Timing .....	11
Figure 7-1 – Non-Linear Event Duration Scaling .....	26
Figure 7-2 – Non-Linear Relative Price Scaling .....	28
Figure 10-1 – Pass-Through Message .....	50
Figure 10-2– USNAP1.0 over Serial .....	51
Figure 10-3 – SEP1.0 over Serial .....	51
Figure 10-4 – ClimateTalk Over Serial .....	51
Figure 10-5 – Internet Protocol Pass-Through (IPV6 Example) .....	52
Figure 13-1 – Example of Randomization of Events by Communications Modules .....	54
Figure 15-1 – DC Form Factor PCB Dimensions .....	56
Figure 15-2 – DC Form Factor Housing Dimensions – Top View.....	58
Figure 15-3 – DC Form Factor Housing Dimensions – Side View .....	59
Figure 15-4 – DC Form Factor Housing Dimensions – End View.....	60
Figure 15-5 – Pin Assignment .....	61
Figure 15-6 – SPI Mode 0 Bit Timing .....	62

Figure 15-7 – SPI Data-Link Transaction Sequence: SGD-initiated message to the UCM.....	66
Figure 15-8 – SPI Data-Link Transaction Sequence: UCM-initiated message to the SGD.....	67
Figure 15-9 – SPI Data Transfer State Machine .....	69
Figure 16-1 – Panel Mount AC Connector Form Factor (Device Side Shown) and Pin-Out.....	72
Figure 16-2 – PCB-mount AC UCM connector (housing) .....	72
Figure 16-3 – Cable AC UCM Connector (housing) .....	72
Figure 16-4 – Panel Mount AC SGD Connector Form Factor dimensions.....	73
Figure 16-5 – PCB Mount Connector dimensions.....	74
Figure 16-6 – Cable Connector dimensions .....	74
Figure 16-7 – Contact dimensions for Cable Connector and PCB mount connector.....	75
Figure 16-8 – Reserved area and dimensions on SGD (receptacle).....	76
Figure 16-9 – Right side and top view of maximum UCM dimensions.....	77
Figure 16-10 – Left side and bottom view of maximum UCM dimensions .....	78
Figure 16-11 – Typical RS-485 Polarity and Byte Transfer.....	79
Figure 16-12 – RS-485 Connections .....	80

## Tables

Table 4-1 – Protocol Data Unit Format .....	6
Table 4-2 – Message Type Assignments .....	7
Table 4-3 – Message Timing Requirements.....	10
Table 4-4 – Basic/Intermediate DR Application Layer Timing Parameters.....	11
Table 5-1 – Mandatory Message Summary .....	12
Table 6-1 – Data-Link Command Set.....	15
Table 6-2 – Link NAK Error Codes .....	16
Table 6-3 – Interface Power Level Indicator Codes .....	17
Table 6-4 – Bit Rate Indicator.....	18

Table 6-5 – Message Type Supported Query .....	18
Table 7-1 – Basic Application Data Format .....	20
Table 7-2 – Basic DR Application Command Set .....	25
Table 7-3 – Operating State Codes .....	29
Table 8-1 – Intermediate DR Application Command Set (Command Byte Description) .....	31
Table 8-2 – Intermediate DR Application Command Set .....	32
Table 8-3 – Response Code Values .....	32
Table 9-1 – Commissioning and Network Messages .....	49
Table 15-1 – Low Voltage Interface Signal Definitions .....	62
Table 15-2 – SPI Physical Timing Requirements .....	68

(This page intentionally left blank.)

## Modular Communications Interface for Energy Management

### 1 Introduction

Utilities worldwide are investing heavily in smart grid infrastructure that extends to homes and businesses, with the goal of improving grid reliability and efficiency through increased consumer awareness and participation. High hopes abound for grid connected homes and buildings to be better prepared and more willing to react to changing grid conditions. But, how do we enable grid connectivity today and into the future, in the midst of an evolutionary wave of standards competition and innovation?

This standard provides a solution to this problem through a modular communications interface (MCI) enabling any product to connect to any type of demand response system (Advanced Meter Reading (AMI), Smart Energy Profile (SEP), OpenADR), and/or home or building network. The concept is simple; encourage manufacturers to build an MCI interface into their products that can accept a simple communications module. Consumers and program managers are then free to select whatever communication solution works best for their particular environment.

The concept is relatively straightforward. Utilizing the RS-485 and Serial Peripheral Interface (SPI)<sup>1</sup> supported by most silicon chips today, the MCI protocol is capable of simply passing through standard protocols including Internet Protocol (IP), OpenADR, and SEP from the communications module to the end-device. Network security is supported through the selected transport protocol, such as Wi-Fi, ZigBee, HomePlug, Z-Wave, LonWorks, etc., in addition to network or application layer security.

Communications messaging supported by this MCI standard supports direct load control, TOU, CPP, RTP, peak time rebates, all kinds of block rates, and a range of ancillary services. The functionality of the removable modules can be tailored by utilities or other load managing entities to provide support for the unique needs in a given region or service territory, without impacting the end-devices.

The CEA-2045 Modular Communications Interface for Energy Management standard will enable a new generation of “smart grid ready” products that limit risks and constraints of proprietary communications technologies and evolving standards. This approach simplifies Home Area Network (HAN) device and network interoperability, fosters program and product innovation, and opens DR programs to a broader range of consumer products while respecting customer choice and a competitive market landscape.

---

<sup>1</sup> See <http://www.rs485.com/rs485spec.html> and [http://en.wikipedia.org/wiki/Serial\\_Peripheral\\_Interface\\_Bus](http://en.wikipedia.org/wiki/Serial_Peripheral_Interface_Bus)

## 2 Scope

This standard specifies a modular communications interface (MCI) to facilitate communications with residential devices for applications such as energy management. The MCI provides a standard interface for energy management signals and messages to reach devices. Such devices may include an energy management hub, an energy management controller, an energy management agent, a residential gateway, an energy services interface, a sensor, a thermostat, an appliance, or other consumer products.

The specific residential devices to use an MCI are not specified. For energy management the choice depends on the system and the network topology. If a hub topology is chosen, the MCI may be located on the hub. The connection between the hub and end devices such as appliances is not specified.

The MCI specifies a physical connection from a communication module to residential Smart Grid Devices and a communications protocol with OSI (Open System Interconnection) layer specifications including application layer messaging. An optional translation function is specified for connection to another communications medium. Examples include power line carrier or radio (RF), depending on the home area network installed or the connection to an energy management system access-network supplied by a service provider. This second medium is outside the scope of this standard. The MCI also specifies a pass-through mechanism through to allow for an alternate architecture in which the Smart Grid Device terminates the passed-through protocol (e.g., SEP, OpenADR, etc.).

CEA-2045 details the mechanical, electrical, and logical characteristics of a socket interface that allows communication devices (hereafter referred-to as UCMs – universal communication modules) to be separated from end devices (hereafter referred-to as SGDs – Smart Grid Devices). Although the potential applications of this technology are wide-ranging, it is intended at a minimum to provide a means by which residential products may be able to work with any load management system through user installable plug-in communication modules. Figure 1-1 illustrates the general concept.



Figure 2-1 – Illustrations of the Modular Communications Concept on a controlled device (left) or Energy Management Console (right)