



September 2012

# EP27-A

## How to Construct and Interpret an Error Grid for Quantitative Diagnostic Assays; Approved Guideline

This guideline describes what an error grid is, why it is useful, and how to construct one and interpret the information.

Guidance is provided for manufacturers and for the clinical laboratory.

A guideline for global application developed through the Clinical and Laboratory Standards Institute consensus process.

# Clinical and Laboratory Standards Institute

*Setting the standard for quality in clinical laboratory testing around the world.*

The Clinical and Laboratory Standards Institute (CLSI) is a not-for-profit membership organization that brings together the varied perspectives and expertise of the worldwide laboratory community for the advancement of a common cause: to foster excellence in laboratory medicine by developing and implementing clinical laboratory standards and guidelines that help laboratories fulfill their responsibilities with efficiency, effectiveness, and global applicability.

## Consensus Process

Consensus—the substantial agreement by materially affected, competent, and interested parties—is core to the development of all CLSI documents. It does not always connote unanimous agreement, but does mean that the participants in the development of a consensus document have considered and resolved all relevant objections and accept the resulting agreement.

## Commenting on Documents

CLSI documents undergo periodic evaluation and modification to keep pace with advancements in technologies, procedures, methods, and protocols affecting the laboratory or health care.

CLSI's consensus process depends on experts who volunteer to serve as contributing authors and/or as participants in the reviewing and commenting process. At the end of each comment period, the committee that developed the document is obligated to review all comments, respond in writing to all substantive comments, and revise the draft document as appropriate.

Comments on published CLSI documents are equally essential, and may be submitted by anyone, at any time, on any document. All comments are addressed according to the consensus process by a committee of experts.

## Appeals Process

If it is believed that an objection has not been adequately addressed, the process for appeals is documented in the CLSI Administrative Procedures.

All comments and responses submitted on draft and published documents are retained on file at CLSI and are available upon request.

## Get Involved—Volunteer!

Do you use CLSI documents in your workplace? Do you see room for improvement? Would you like to get involved in the revision process? Or maybe you see a need to develop a new document for an emerging technology? CLSI wants to hear from you. We are always looking for volunteers. By donating your time and talents to improve the standards that affect your own work, you will play an active role in improving public health across the globe.

For further information on committee participation or to submit comments, contact CLSI.

Clinical and Laboratory Standards Institute  
950 West Valley Road, Suite 2500  
Wayne, PA 19087 USA  
P: 610.688.0100  
F: 610.688.0700  
[www.clsi.org](http://www.clsi.org)  
[standard@clsi.org](mailto:standard@clsi.org)

ISBN 1-56238-853-3 (Print)  
ISBN 1-56238-854-1 (Electronic)  
ISSN 1558-6502 (Print)  
ISSN 2162-2914 (Electronic)

EP27-A  
Vol. 32 No. 12  
Replaces EP27-P  
Vol. 29 No. 16

---

## How to Construct and Interpret an Error Grid for Quantitative Diagnostic Assays; Approved Guideline

Volume 32 Number 12

S. Nandagopalan, PhD  
R. Neill Carey, PhD, FACB  
Jacob B. Levine, MBA  
W. Gregory Miller, PhD  
Gene Pennello, PhD

### Abstract

Clinical and Laboratory Standards Institute document EP27-A—*How to Construct and Interpret an Error Grid for Quantitative Diagnostic Assays; Approved Guideline* describes what an error grid is, why it is useful, and how to construct it. An error grid illustrates the relationship between results obtained by one quantitative test to those obtained by a second one, while considering the diagnostic or therapeutic implications of the magnitude of the difference between the two results. Error grids inform users about the performance required to prevent potential patient harm. Once constructed, error grids can be populated with data from a measurement procedure comparison experiment. The proportion of data in each error grid zone is used to evaluate the clinical effectiveness of the measurement procedure

Clinical and Laboratory Standards Institute (CLSI). *How to Construct and Interpret an Error Grid for Quantitative Diagnostic Assays; Approved Guideline*. CLSI document EP27-A (ISBN 1-56238-853-3 [Print]; ISBN 1-56238-854-1 [Electronic]). Clinical and Laboratory Standards Institute, 950 West Valley Road, Suite 2500, Wayne, Pennsylvania 19087 USA, 2012.

The Clinical and Laboratory Standards Institute consensus process, which is the mechanism for moving a document through two or more levels of review by the health care community, is an ongoing process. Users should expect revised editions of any given document. Because rapid changes in technology may affect the procedures, methods, and protocols in a standard or guideline, users should replace outdated editions with the current editions of CLSI documents. Current editions are listed in the CLSI catalog and posted on our website at [www.clsi.org](http://www.clsi.org). If your organization is not a member and would like to become one, and to request a copy of the catalog, contact us at: Telephone: 610.688.0100; Fax: 610.688.0700; E-Mail: [customerservice@clsi.org](mailto:customerservice@clsi.org); Website: [www.clsi.org](http://www.clsi.org).



CLINICAL AND  
LABORATORY  
STANDARDS  
INSTITUTE®

Copyright ©2012 Clinical and Laboratory Standards Institute. Except as stated below, any reproduction of content from a CLSI copyrighted standard, guideline, companion product, or other material requires express written consent from CLSI. All rights reserved. Interested parties may send permission requests to [permissions@clsi.org](mailto:permissions@clsi.org).

CLSI hereby grants permission to each individual member or purchaser to make a single reproduction of this publication for use in its laboratory procedure manual at a single site. To request permission to use this publication in any other manner, e-mail [permissions@clsi.org](mailto:permissions@clsi.org).

### **Suggested Citation**

CLSI. *How to Construct and Interpret an Error Grid for Quantitative Diagnostic Assays; Approved Guideline*. CLSI document EP27-A. Wayne, PA: Clinical and Laboratory Standards Institute; 2012.

### **Proposed Guideline**

July 2009

### **Approved Guideline**

September 2012

ISBN 1-56238-853-3 (Print)  
ISBN 1-56238-854-1 (Electronic)  
ISSN 1558-6502 (Print)  
ISSN 2162-2914 (Electronic)

## Committee Membership

### Consensus Committee on Evaluation Protocols

**James F. Pierson-Perry**  
**Chairholder**

**Siemens Healthcare Diagnostics**  
**Newark, Delaware, USA**

Rex Astles, PhD, FACB, DABCC  
Centers for Disease Control and  
Prevention  
Atlanta, Georgia, USA

Jonathan Guy Middle, PhD  
University Hospital Birmingham  
NHS Trust  
Birmingham, United Kingdom

**Mitchell G. Scott, PhD**  
**Vice-Chairholder**

**Barnes-Jewish Hospital**  
**Washington University School of**  
**Medicine**  
**St. Louis, Missouri, USA**

Jeffrey R. Budd, PhD  
Beckman Coulter  
Chaska, Minnesota, USA

James H. Nichols, PhD, DABCC,  
FACB  
Vanderbilt University School of  
Medicine  
Nashville, Tennessee, USA

Karl De Vore  
Bio-Rad Laboratories, Inc.  
Irvine, California, USA

Gene Pennello, PhD  
FDA Center for Devices and  
Radiological Health  
Silver Spring, Maryland, USA

### Document Development Committee on How to Construct an Error Grid

**S. Nandagopalan, PhD**

**Chairholder**  
**LifeScan, Inc.**  
**Milpitas, California, USA**

W. Gregory Miller, PhD  
Virginia Commonwealth University  
Richmond, Virginia, USA

Luann Ochs, MS  
*Senior Vice President – Operations*

R. Neill Carey, PhD, FACB  
Peninsula Regional Medical Center  
Salisbury, Maryland, USA

Gene Pennello, PhD  
FDA Center for Devices and  
Radiological Health  
Silver Spring, Maryland, USA

Ron S. Quicho  
*Staff Liaison*

Jacob B. Levine, MBA  
Siemens Healthcare Diagnostics  
Tarrytown, New York, USA

**Staff**  
Clinical and Laboratory Standards  
Institute  
Wayne, Pennsylvania, USA

Megan P. Larrisey, MA  
*Editor*

Ryan J. Torres  
*Assistant Editor*

### Acknowledgment

CLSI and the Consensus Committee on Evaluation Protocols gratefully acknowledge the following volunteers for their important contributions to the development of this document:

Anders Kallner, MD, PhD  
Karolinska Hospital  
Stockholm, Sweden

Jan S. Krouwer, PhD  
Krouwer Consulting  
Sherborn, Massachusetts,  
USA

Ann F. Stankiewicz, PhD  
Roche Diagnostics  
Operations  
Indianapolis, Indiana, USA

Anthony Killeen, MD, PhD  
University of MN Medical  
Center-Fairview  
Minneapolis, Minnesota,  
USA

Stellan Lindberg, MSc  
HemoCue AB  
Angelholm, Sweden

Marina V. Kondratovich,  
PhD  
FDA Center for Devices and  
Radiological Health  
Silver Spring, Maryland,  
USA

Thomas Smith  
Ortho-Clinical Diagnostics,  
Inc.  
Rochester, New York, USA



**Contents**

Abstract.....i

Committee Membership..... iii

Foreword..... vii

1 Scope.....1

2 Introduction.....1

    2.1 Error Grids History .....2

    2.2 Different Uses of Error Grids .....4

3 Standard Precautions.....5

4 Terminology.....5

    4.1 A Note on Terminology .....5

    4.2 Definitions .....5

    4.3 Abbreviations and Acronyms .....7

5 Basic Concepts and Procedure.....7

    5.1 Overview.....7

    5.2 Candidate Measurement Procedure .....8

    5.3 Comparative Measurement Procedure.....9

    5.4 Calibration and Quality Control.....9

    5.5 The Zones .....9

    5.6 Considerations for Zone Placement.....10

    5.7 Sources for Information on Clinical Requirements for Zones.....11

    5.8 Locating the Zones.....12

    5.9 Goals or Acceptance Criteria.....14

    5.10 Evaluating a Candidate Measurement Procedure .....15

6 Examples of Constructing Error Grids.....18

    6.1 Consensus Approach.....18

    6.2 Literature-based Approach.....21

References.....24

Appendix. Calculating 95% Confidence Intervals.....25

The Quality Management System Approach.....28

Related CLSI Reference Materials .....29





## **Foreword**

Error grids are well known for performance evaluations of blood glucose monitors, but otherwise are little used. This guideline explains the usefulness of error grids to inform users about the clinical consequences of differences in results between a candidate and a comparative measurement procedure.

Guidance is provided on how to construct an error grid, how to locate the error grid zones based on the clinical errors that may be associated with differences in results between two quantitative laboratory measurement procedures, and how to estimate the proportions of differences in results that should be assigned to each zone. The concepts are illustrated with examples.

## **Key Words**

Allowable total error, error grid, limits of erroneous results



## **How to Construct and Interpret an Error Grid for Quantitative Diagnostic Assays; Approved Guideline**

### **1 Scope**

This document explains how to construct and use error grids to evaluate the clinical acceptability of quantitative diagnostic measurement procedures based on the potential harm that may be caused by erroneous results.

This document is intended for use by developers of measurement procedures—including laboratory-developed tests—and by clinical laboratories.

### **2 Introduction**

An error grid is a simple nonparametric graphical tool for interpreting data from an experiment comparing a candidate measurement procedure to a comparative measurement procedure when testing the same group of patient samples. An error grid interprets the data in terms of the severity of potential harm to a patient from diagnostic or therapeutic errors that may be caused by differences between the results obtained by the two measurement procedures.

The error grid displays the data on an X-Y plot, where X = comparative measurement procedure and Y = candidate measurement procedure. The plot is further divided into zones that show how much error is problematic at different concentrations. It separates the magnitude of errors into a hierarchy—small errors may be tolerated with minimal risk to the patient, whereas large errors are likely to cause patient harm. Thus, there are limits that bound a region of allowable errors, called Zone A, where it is desirable to contain most of the data (see the light gray area in Figure 1); limits that bound another region of unacceptably large errors, called Zone C, where there should be no data (see the dark gray area in Figure 1); and the intermediate region representing moderate errors, called Zone B (see the white area in Figure 1), where it is acceptable to have a small percentage of the data. The error grid evaluates the candidate measurement procedure in terms of both the percentage of results within the desirable range and the percentage of unacceptably large errors.

An error grid analysis is most appropriate for interpreting the agreement of results from two measurement procedures using many patient samples, eg, approximately 100 or more. When there are relatively fewer patient samples, eg, approximately 40 or less, there is less confidence in the interpretation of the data and error grids may not be appropriate.