



August 1999

# GP23-A

## Nongynecologic Cytologic Specimens: Collection and Cytopreparatory Techniques; Approved Guideline

This document provides recommended procedures for the collection, handling, transport, and processing of cytologic specimens from nongynecologic sources.

A guideline for global application developed through the Clinical and Laboratory Standards Institute consensus process.

# Clinical and Laboratory Standards Institute

*Setting the standard for quality in clinical laboratory testing around the world.*

The Clinical and Laboratory Standards Institute (CLSI) is a not-for-profit membership organization that brings together the varied perspectives and expertise of the worldwide laboratory community for the advancement of a common cause: to foster excellence in laboratory medicine by developing and implementing clinical laboratory standards and guidelines that help laboratories fulfill their responsibilities with efficiency, effectiveness, and global applicability.

## Consensus Process

Consensus—the substantial agreement by materially affected, competent, and interested parties—is core to the development of all CLSI documents. It does not always connote unanimous agreement, but does mean that the participants in the development of a consensus document have considered and resolved all relevant objections and accept the resulting agreement.

## Commenting on Documents

CLSI documents undergo periodic evaluation and modification to keep pace with advancements in technologies, procedures, methods, and protocols affecting the laboratory or health care.

CLSI's consensus process depends on experts who volunteer to serve as contributing authors and/or as participants in the reviewing and commenting process. At the end of each comment period, the committee that developed the document is obligated to review all comments, respond in writing to all substantive comments, and revise the draft document as appropriate.

Comments on published CLSI documents are equally essential, and may be submitted by anyone, at any time, on any document. All comments are addressed according to the consensus process by a committee of experts.

## Appeals Process

If it is believed that an objection has not been adequately addressed, the process for appeals is documented in the CLSI Standards Development Policies and Process document.

All comments and responses submitted on draft and published documents are retained on file at CLSI and are available upon request.

## Get Involved—Volunteer!

Do you use CLSI documents in your workplace? Do you see room for improvement? Would you like to get involved in the revision process? Or maybe you see a need to develop a new document for an emerging technology? CLSI wants to hear from you. We are always looking for volunteers. By donating your time and talents to improve the standards that affect your own work, you will play an active role in improving public health across the globe.

For further information on committee participation or to submit comments, contact CLSI.

Clinical and Laboratory Standards Institute  
950 West Valley Road, Suite 2500  
Wayne, PA 19087 USA  
P: 610.688.0100  
F: 610.688.0700  
[www.clsi.org](http://www.clsi.org)  
[standard@clsi.org](mailto:standard@clsi.org)

**NOTE:** This document is no longer being reviewed as part of the CLSI consensus process. However, because of its usefulness to segments of the health care community, it is available for its informational content.

## Nongynecologic Cytologic Specimens: Collection and Cytopreparatory Techniques; Approved Guideline

### Abstract

*Nongynecologic Cytologic Specimens: Collection and Cytopreparatory Techniques; Approved Guideline* (CLSI document GP23-A) was developed for use by clinical personnel responsible for the collection and processing of cytologic specimens. This guideline provides recommendations for the collection and handling of specimens from nongynecologic sources for transport to the cytology laboratory. Also included are procedures for processing the specimens (i.e., smear preparation, fixation, and staining) for cytologic evaluations. This document does not address issues related to the interpretation of the slide preparation.

Clinical and Laboratory Standards Institute (CLSI). *Nongynecologic Cytologic Specimens: Collection and Cytopreparatory Techniques; Approved Guideline*. CLSI document GP23-A (ISBN 1-56238-380-9). Clinical and Laboratory Standards Institute, 950 West Valley Road, Suite 2500, Wayne, Pennsylvania 19087 USA, 1999.

The Clinical and Laboratory Standards Institute consensus process, which is the mechanism for moving a document through two or more levels of review by the health care community, is an ongoing process. Users should expect revised editions of any given document. Because rapid changes in technology may affect the procedures, methods, and protocols in a standard or guideline, users should replace outdated editions with the current editions of CLSI documents. Current editions are listed in the CLSI catalog and posted on our website at [www.clsi.org](http://www.clsi.org). If your organization is not a member and would like to become one, and to request a copy of the catalog, contact us at: Telephone: 610.688.0100; Fax: 610.688.0700; E-Mail: [customerservice@clsi.org](mailto:customerservice@clsi.org); Website: [www.clsi.org](http://www.clsi.org).

This is a preview of "CLSI GP23-A". [Click here to purchase the full version from the ANSI store.](#)

August 1999

NCCLS

GP23-A  
ISBN 1-56238-380-9  
ISSN 0273-3099

August 1999

---

## Nongynecologic Cytologic Specimens: Collection and Cytopreparatory Techniques; Approved Guideline

Volume 19 Number 14

Kenneth D. McClatchey, M.D., D.D.S.  
Nina Dhurandhar, M.D.  
Leza Gallo, M.D.  
Gary W. Gill, C.T. (ASCP), CFIAC  
Daniel F.I. Kurtycz, M.D.  
Karen Plowden, C.T.(ASCP)  
Gail Radcliffe, Ph.D.  
Carol Trew, C.T.(ASCP), M.Ed.



CLINICAL AND  
LABORATORY  
STANDARDS  
INSTITUTE®

This publication is protected by copyright. No part of it may be reproduced, stored in a retrieval system, or transmitted in any form or by any means (electronic, mechanical, photocopying, recording, or otherwise) without written permission from NCCLS, except as stated below.

NCCLS hereby grants permission to reproduce limited portions of this publication for use in laboratory procedure manuals at a single site, for interlibrary loan, or for use in educational programs provided that multiple copies of such reproduction shall include the following notice, be distributed without charge, and, in no event, contain more than 20% of the document's text.

Reproduced with permission, from NCCLS publication GP23-A— *Nongynecologic Cytologic Specimens: Collection and Cytopreparatory Techniques; Approved Guideline*. Copies of the current edition may be obtained from NCCLS, 940 West Valley Road, Suite 1400, Wayne, Pennsylvania 19087-1898 USA.

Permission to reproduce or otherwise use the text of this document to an extent that exceeds the exemptions granted here or under the Copyright Law must be obtained from NCCLS by written request. To request such permission, address inquiries to the Executive Director, NCCLS, 940 West Valley Road, Suite 1400, Wayne, Pennsylvania 19087-1898 USA.

Copyright ©1999. The National Committee for Clinical Laboratory Standards.

### **Suggested Citation**

(NCCLS. *Nongynecologic Cytologic Specimens: Collection and Cytopreparatory Techniques; Approved Guideline*. NCCLS document GP23-A [ISBN 1-56238-380-9]. NCCLS, 940 West Valley Road, Suite 1400, Wayne, Pennsylvania 19087-1898 USA, 1999.)

### **Proposed Guideline**

November 1997

### **Approved Guideline**

August 1999

ISBN 1-56238-380-9  
ISSN 0273-3099

## Committee Membership

### Area Committee on General Laboratory Practices

**Donald A. Dynek, M.D.**  
Chairholder

**Pathology Medical Services, P.C.**  
Lincoln, Nebraska

**Stephen J. Sarewitz, M.D.**  
Vice Chairholder

**Valley Medical Center**  
Renton, Washington

### Subcommittee on Nongynecologic Specimens

**Kenneth D. McClatchey, M.D., D.D.S.**  
Chairholder

**Loyola University Medical Center**  
Maywood, Illinois

Nina Dhurandhar, M.D.

Tulane University Medical Center  
New Orleans, Louisiana

Leza Gallo, M.D.

Quest Diagnostics, Inc.  
Teterboro, New Jersey

Daniel F.I. Kurtycz, M.D.

University of Wisconsin  
Madison, Wisconsin

Karen Plowden, C.T.(ASCP)

The Johns Hopkins Hospital  
Baltimore, Maryland

Gail E. Radcliffe, Ph.D.

Radcliffe Consulting Group  
Worcester, Massachusetts

Carol Trew, C.T.(ASCP), M.Ed.

St. Joseph Hospital  
Parkersburg, West Virginia

### Advisors

Kim Geisinger, M.D.

North Carolina Baptist Hospital  
Winston-Salem, North Carolina

Gary W. Gill, C.T.(ASCP), CFIAC

Wilmington, Delaware

Michael R. Henry, M.D., MIAC

National Naval Medical Center  
Bethesda, Maryland

John A. Maksem, M.D.

Mercy Hospital Medical Center  
Des Moines, Iowa

Mary Sidaway, M.D.

George Washington Hospital  
Washington, DC

Mark Suhrland, M.D.

Montefiore Medical Center  
Bronx, New York

Matt Zarka, M.D.

University of Vermont  
Burlington, Vermont

Beth Ann Wise, M.T.(ASCP), M.S.Ed.  
*Staff Liaison*

NCCLS  
Wayne, Pennsylvania

Patrice E. Polgar  
*Editor*

NCCLS  
Wayne, Pennsylvania

Donna M. Wilhelm  
*Assistant Editor*

NCCLS  
Wayne, Pennsylvania



**ACTIVE MEMBERSHIP (as of 1 July 1999)**

**Sustaining Members**

Abbott Laboratories  
 American Association for  
 Clinical Chemistry  
 Bayer Corporation  
 Beckman Coulter, Inc.  
 Becton Dickinson and Company  
 bioMérieux, Inc.  
 College of American  
 Pathologists  
 Dade Behring Inc.  
 Nippon Becton Dickinson Co,  
 Ltd.  
 Ortho-Clinical Diagnostics, Inc.  
 Pfizer Inc  
 Roche Diagnostics, Inc.

**Professional Members**

American Academy of Family  
 Physicians  
 American Association of  
 Bioanalysts  
 American Association of Blood  
 Banks  
 American Association for  
 Clinical Chemistry  
 American Association for  
 Respiratory Care  
 American Chemical Society  
 American Medical Technologists  
 American Public Health  
 Association  
 American Society for Clinical  
 Laboratory Science  
 American Society of  
 Hematology  
 American Society for  
 Microbiology  
 American Society of  
 Parasitologists, Inc.  
 American Type Culture  
 Collection, Inc.  
 Asociación Española Primera de  
 Socorros (Uruguay)  
 Asociacion Mexicana de  
 Bioquímica Clínica A.C.  
 Assn. of Public Health  
 Laboratories  
 Assoc. Micro. Clinici Italiani-  
 A.M.C.L.I.  
 Australasian Association of  
 Clinical Biochemists  
 British Society for Antimicrobial  
 Chemotherapy

Canadian Society for Medical  
 Laboratory Science—Société  
 Canadienne de Science de  
 Laboratoire Médical  
 Canadian Society of Clinical  
 Chemists  
 Clinical Laboratory Management  
 Association  
 College of American  
 Pathologists  
 College of Medical Laboratory  
 Technologists of Ontario  
 College of Physicians and  
 Surgeons of Saskatchewan  
 Commission on Office  
 Laboratory Accreditation  
 Danish Society of Clinical  
 Chemistry  
 Fundacion Bioquímica de la  
 Provincia (Argentina)  
 International Association of  
 Medical Laboratory  
 Technologists  
 International Council for  
 Standardization in  
 Haematology  
 International Federation of  
 Clinical Chemistry  
 International Society for  
 Analytical Cytology  
 Italian Society of Clinical  
 Biochemistry  
 Japan Society of Clinical  
 Chemistry  
 Japanese Committee for Clinical  
 Laboratory Standards  
 Joint Commission on  
 Accreditation of Healthcare  
 Organizations  
 National Academy of Clinical  
 Biochemistry  
 National Society for  
 Histotechnology, Inc.  
 Ontario Medical Association  
 Laboratory Proficiency Testing  
 Program  
 Ordre professionnel des  
 technologistes médicaux du  
 Québec  
 RCPA Quality Assurance  
 Programs PTY Limited  
 Sociedade Brasileira de Analises  
 Clinicas  
 Sociedade Brasileira de  
 Patologia Clínica  
 Sociedad Espanola de Quimica  
 Clinica  
 VKCN (The Netherlands)

**Government Members**

Armed Forces Institute of  
 Pathology  
 Association of Public Health  
 Laboratory Directors  
 BC Centre for Disease Control  
 Centers for Disease Control and  
 Prevention  
 Chinese Committee for Clinical  
 Laboratory Standards  
 Commonwealth of Pennsylvania  
 Bureau of Laboratories  
 Department of Veterans Affairs  
 Deutsches Institut für Normung  
 (DIN)  
 FDA Center for Devices and  
 Radiological Health  
 FDA Division of Anti-Infective  
 Drug Products  
 Health Care Financing  
 Administration  
 Iowa State Hygienic Laboratory  
 Massachusetts Department of  
 Public Health Laboratories  
 Michigan Department of Public  
 Health  
 National Association of Testing  
 Authorities - Australia  
 National Center of Infectious  
 and Parasitic Diseases  
 (Bulgaria)  
 National Institute of Standards  
 and Technology  
 Ohio Department of Health  
 Oklahoma State Department of  
 Health  
 Ontario Ministry of Health  
 Saskatchewan Health-  
 Provincial Laboratory  
 South African Institute for  
 Medical Research  
 Swedish Institute for Infectious  
 Disease Control

**Industry Members**

AB Biodisk  
 Abbott Laboratories  
 AccuMed International, Inc.  
 Accumetrics, Inc.  
 Amersham Pharmacia Biotech  
 Ammirati Regulatory Consulting  
 Assessor  
 AstraZeneca  
 Avocet Medical, Inc.  
 Bayer Corporation - Elkhart, IN

Bayer Corporation - Middletown, VA  
 Bayer Corporation - Tarrytown, NY  
 Bayer Corporation - West Haven, CT  
 Bayer Medical Ltd.  
 Beckman Coulter, Inc.  
 Beckman Coulter, Inc. Primary Care Diagnostics  
 Beckman Coulter K.K. (Japan)  
 Becton Dickinson and Company  
 Becton Dickinson Biosciences  
 Becton Dickinson Consumer Products  
 Becton Dickinson Immunocytometry Systems  
 Becton Dickinson Italia S.P.A.  
 Becton Dickinson VACUTAINER Systems  
 bioMérieux, Inc.  
 Biometrology Consultants  
 Bio-Rad Laboratories, Inc.  
 Biotest AG  
 Bristol-Myers Squibb Company  
 Canadian Reference Laboratory Ltd.  
 CASCO•NERL Diagnostics  
 Checkpoint Development Inc.  
 Chiron Diagnostics Corporation - International Operations  
 Chiron Diagnostics Corporation - Reagent Systems  
 Clinical Lab Engineering  
 COBE Laboratories, Inc.  
 Combact Diagnostic Systems Ltd.  
 Community Medical Center (NJ)  
 Control Lab (Brazil)  
 Cosmetic Ingredient Review  
 Cubist Pharmaceuticals  
 Cytometrics, Inc.  
 Dade Behring Inc. - Deerfield, IL  
 Dade Behring Inc. - Glasgow, DE  
 Dade Behring Inc. - Marburg, Germany  
 Dade Behring Inc. - Miami, FL  
 Dade Behring Inc. - Sacramento, CA  
 Dade Behring Inc. - San Jose, CA  
 DAKO A/S  
 Diagnostic Products Corporation  
 DiaSorin  
 Eiken Chemical Company, Ltd.  
 Enterprise Analysis Corporation  
 Fort Dodge Animal Health  
 Gen-Probe  
 Glaxo-Wellcome, Inc.  
 Greiner Mediatech, Inc.

Health Systems Concepts, Inc.  
 Helena Laboratories  
 Hycor Biomedical Inc.  
 I-STAT Corporation  
 Instrumentation Laboratory  
 Integ, Inc.  
 International Technidyne Corporation  
 Johnson City Medical Center  
 Kendall Sherwood-Davis & Geck  
 Labtest Diagnostica S.A.  
 LifeScan, Inc. (a Johnson & Johnson Company)  
 LifeSign, LLC  
 Lilly Research Laboratories  
 Medical Device Consultants, Inc.  
 Medical Laboratory Automation Inc.  
 MediSense Products (Div. Of Abbott Laboratories)  
 Medtronic Perfusion Systems  
 Merck & Company, Inc.  
 Nabi  
 Neometrics Inc.  
 Nichols Institute Diagnostics (Div. of Quest Diagnostics, Inc.)  
 Nissui Pharmaceutical Co., Ltd.  
 Nippon Becton Dickinson Co., Ltd.  
 Norfolk Associates, Inc.  
 OBC Associates  
 Olympus Corporation  
 Optical Sensors, Inc.  
 Organon Teknika Corporation  
 Ortho-Clinical Diagnostics, Inc. (England)  
 Ortho-Clinical Diagnostics, Inc. (Raritan, NJ)  
 Ortho-Clinical Diagnostics, Inc. (Rochester, NY)  
 Oxoid Inc.  
 Oxoid LTD (U.K.)  
 Pfizer Inc  
 Pharmacia & Upjohn  
 Procter & Gamble  
 Pharmaceuticals, Inc.  
 The Product Development Group  
 Quintiles, Inc.  
 Radiometer America, Inc.  
 Radiometer Medical A/S  
 David G. Rhoads Associates, Inc.  
 Rhône-Poulenc Rorer  
 Roche Diagnostics GmbH  
 Roche Diagnostics, Inc.  
 Roche Diagnostic Systems (Div. Hoffmann-La Roche Inc.)

Roche Laboratories (Div. Hoffmann-La Roche Inc.)  
 The R.W. Johnson  
 Pharmaceutical Research Institute  
 Sanofi Diagnostics Pasteur  
 Sarstedt, Inc.  
 SARL Laboratoire Carron (France)  
 Schering Corporation  
 Schleicher & Schuell, Inc.  
 Second Opinion  
 SenDx Medical, Inc.  
 Showa Yakuhin Kako Company, Ltd.  
 SmithKline Beecham Corporation  
 SmithKline Beecham, S.A.  
 Streck Laboratories, Inc.  
 Sysmex Corporation (Japan)  
 Sysmex Corporation (Long Grove, IL)  
 The Toledo Hospital (OH)  
 Vetoquinol S.A.  
 Vysis, Inc.  
 Wallac Oy  
 Warner-Lambert Company  
 Wyeth-Ayerst  
 Xyletech Systems, Inc.  
 YD Consultant

### Trade Associations

Association of Medical Diagnostic Manufacturers  
 Health Industry Manufacturers Association  
 Japan Association Clinical Reagents Ind. (Tokyo, Japan)  
 Medical Industry Association of Australia

### Associate Active Members

20th Medical Group (Shaw AFB, SC)  
 67th CSH Wuerzburg, GE (NY)  
 121st General Hospital (CA)  
 Acadiana Medical Laboratories, LTD (LA)  
 Advocate Laboratories (IL)  
 The Aga Khan University Medical Center (Pakistan)  
 Allegheny General Hospital (PA)  
 Allegheny University of the Health Sciences (PA)  
 Allina Laboratories (MN)  
 Alton Ochsner Medical Foundation (LA)  
 Anzac House (Australia)

Associated Regional & University Pathologists (UT)	Fresno Community Hospital and Medical Center	Lewis-Gale Medical Center (VA)
Aurora Consolidated Laboratories (WI)	GDS Technology, Inc (IN)	Libero Instituto Univ. Campus BioMedico (Italy)
Baptist St. Anthony's Health Network (TX)	Grady Memorial Hospital (GA)	Loma Linda University Medical Center (CA)
Baystate Medical Center (MA)	Greater Southeast Community Hospital (DC)	Los Angeles County and USC Medical Center (CA)
Brazileiro De Promocao (Brazil)	Guthrie Clinic Laboratories (PA)	Louisiana State University Medical Center
Bristol Regional Medical Center (TN)	Halifax Medical Center (FL)	Lutheran Hospital (WI)
Brookdale Hospital Medical Center (NY)	Harris Methodist Fort Worth (TX)	Main Line Clinical Laboratories, Inc. (PA)
Brooke Army Medical Center (TX)	Harris Methodist Northwest (TX)	Massachusetts General Hospital
Brooks Air Force Base (TX)	Hartford Hospital (CT)	MDS Metro Laboratory Services (Burnaby, BC, Canada)
Broward General Medical Center (FL)	Hays Pathology Laboratories, P.A. (KS)	MDS-Sciex (Concord, ON, Canada)
Calgary Laboratory Services (Calgary, AB, Canada)	Headwaters Health Authority (High River, AB, Canada)	Medical College of Virginia Hospital
Cardinal Glennon Children's Hospital (MO)	Health Alliance Laboratory (OH)	Melrose-Wakefield Hospital (MA)
Central Kansas Medical Center	Health Network Lab (PA)	Memorial Medical Center (LA)
Champlain Valley Physicians Hospital (NY)	Health Sciences Centre (Winnipeg, MB, Canada)	Memorial Medical Center (IL)
Children's Hospital (LA)	Heartland Health System (MO)	Mercy Health System (PA)
Children's Hospital Medical Center (Akron, OH)	Hinsdale Hospital ((L)	Mercy Hospital (NC)
Clendo Lab (Puerto Rico)	Hoag Memorial Hospital Presbyterian (CA)	Methodist Hospital (TX)
CLSI Laboratories (PA)	Holmes Regional Medical Center (FL)	Methodist Hospital Indiana
Colorado Mental Health Institute at Pueblo	Holy Spirit Hospital (PA)	Methodist Hospitals of Memphis (TN)
Columbia Tulsa Regional Medical Center (OK)	Holzer Medical Center (OH)	Mid Michigan Medical Center - Midland
Commonwealth of Kentucky CompuNet Clinical Laboratories (OH)	Hospital for Sick Children (Toronto, ON, Canada)	Milton S. Hershey Medical Center (PA)
Consolidated Laboratory Services (CA)	Huddinge University Hospital (Sweden)	Mississippi Baptist Medical Center
Danville Regional Medical Center (VA)	Hunter Area Pathology Service (Australia)	Monte Tabor-Centro Italo-Brazileiro De Promocao (Brazil)
Dean Medical Center (WI)	Hurley Medical Center (MI)	Montreal Children's Hospital (Canada)
Detroit Health Department (MI)	Instituto Scientifico HS. Raffaele (Italy)	Mount Sinai Hospital (NY)
Duke University Medical Center (NC)	International Health Management Associates, Inc. (IL)	National University Hospital (Singapore)
Durham Regional Hospital (NC)	Intermountain Health Care Laboratory Services (UT)	Naval Surface Warfare Center (IN)
Duzen Laboratories (Turkey)	Jacobi Medical Center (NY)	Nebraska Health System
Dynacare Laboratories - Eastern Region (Ottawa, ON, Canada)	John Randolph Hospital (VA)	New Britain General Hospital (CT)
E.A. Conway Medical Center (LA)	Johns Hopkins Medical Institutions (MD)	New England Medical Center Hospital (MA)
East Texas Medical Center	Johnson City Medical Center (IN)	The New York Blood Center
Elmhurst Memorial Hospital (IL)	Kaiser Permanente (CA)	The New York Hospital Medical Center of Queens
Emory University Hospital (GA)	Kenora-Rainy River Regional Laboratory Program (Dryden, Ontario, Canada)	New York State Department of Health
Fairfax Hospital (VA)	Klinicni Center (Slovenia)	NorDx (ME)
Fairview-University Medical Center (MN)	LabCorp (NC)	North Carolina Laboratory of Public Health
Foothills Hospital (Calgary, AB, Canada)	Laboratoire de Santé Publique du Quebec (Canada)	North Coast Clinical Laboratory, Inc. (OH)
Fox Chase Cancer Center (PA)	Laboratório Fleury S/C Ltda. (Brazil)	Northridge Hospital Medical Center (CA)
Fresenius Medical Care/Life Chem (NJ)	Lancaster General Hospital (PA)	
	Langley Air Force Base (VA)	

North Shore University Hospital (NY)	SARL Laboratoire Carron (France)	University of Michigan
Northwestern Memorial Hospital (IL)	San Francisco General Hospital (CA)	University of the Ryukyus (Japan)
Ohio State University Hospitals	Seoul Nat'l University Hospital (Korea)	University of Texas Medical School at Houston
Olin E. Teague Medical Center (TX)	Shanghai Center for the Clinical Laboratory (China)	University of Virginia Medical Center
Our Lady of Lourdes Hospital (NJ)	Shands Healthcare (FL)	University of Washington
Our Lady of the Resurrection Medical Center (IL)	SmithKline Beecham Clinical Laboratories (GA)	UPMC Bedford Memorial (PA)
Pathology and Cytology Laboratories, Inc. (KY)	SmithKline Beecham Clinical Laboratories (WA)	USAF Medical Center (OH)
Permanente Medical Group (CA)	South Bend Medical Foundation (IN)	UZ-KUL Medical Center (Belgium)
Presbyterian Hospital of Dallas (TX)	Southern California Permanente Medical Group	VA (Dayton) Medical Center (OH)
Providence Health System (OR)	South Western Area Pathology Service (Australia)	VA (Denver) Medical Center (CO)
Providence Medical Center (WA)	Speciality Laboratories, Inc. (CA)	VA (Kansas City) Medical Center (MO)
Queen Elizabeth Hospital (Prince Edward Island, Canada)	Stanford Health Services (CA)	VA Outpatient Clinic (OH)
Queensland Health Pathology Services (Australia)	Stormont-Vail Regional Medical Center (KS)	VA (San Diego) Medical Center (CA)
Quintiles Laboratories, Ltd. (GA)	Sun Health-Boswell Hospital (AZ)	VA (Tuskegee) Medical Center (AL)
Regions Hospital	Sunrise Hospital and Medical Center (NV)	Vejle Hospital (Denmark)
Research Medical Center (MO)	Sutter Health (CA)	Viridae Clinical Sciences, Inc. (Vancouver, BC, Canada)
Riyadh Armed Forces Hospital (Saudi Arabia)	Timmins & District Hospital (Timmons, ON, Canada)	ViroLogic, Inc. (CA)
Robert F. Kennedy Medical Center (CA)	Tri-City Medical Center (CA)	Waikato Hospital (New Zealand)
Saint Mary's Regional Medical Center (NV)	Tripler Army Medical Center (HI)	Walter Reed Army Institute of Research (MD)
Santa Clara Valley Medical Center (CA)	Trumbull Memorial Hospital (OH)	Warde Medical Laboratory (MI)
St. Alexius Medical Center (ND)	Tulane Medical Center Hospital & Clinic (LA)	Warren Hospital (NJ)
St. Anthony Hospital (CO)	Twin Lake Regional Medical Center	Washoe Medical Center (NV)
St. Boniface General Hospital (Winnipeg, Canada)	UCSF Medical Center (CA)	Watson Clinic (FL)
St. Francis Medical Center (CA)	UNC Hospitals (NC)	Williamsburg Community Hospital (VA)
St. John Hospital and Medical Center (MI)	Unilab Clinical Laboratories (CA)	Wilford Hall Medical Center (TX)
St. John Regional Hospital (St. John, NB, Canada)	University of Alabama - Birmingham Hospital	Wilson Memorial Hospital (NY)
St. Joseph Hospital (NE)	University of Alberta Hospitals (Canada)	Winchester Hospital (MA)
St. Joseph's Hospital - Marshfield Clinic (WI)	University of Chicago Hospitals (IL)	Winn Army Community Hospital (GA)
St. Luke's Hospital (PA)	University Hospital (IN)	Wishard Memorial Hospital (IN)
St. Luke's Regional Medical Center (IA)	University Hospital (Gent) (Belgium)	Yonsei University College of Medicine (Korea)
St. Luke's-Roosevelt Hospital Center (NY)	University Hospital (London, Ontario, Canada)	York Hospital (PA)
St. Mary Hospital (NJ)	University Hospital of Cleveland (OH)	Zale Lipshy University Hospital (TX)
St. Mary Medical Center (CA)	The University Hospitals (OK)	
St. Mary Medical Center (IN)	University of Medicine & Dentistry, NJ University Hospital	
St. Mary of the Plains Hospital (TX)		

**OFFICERS**

William F. Koch, Ph.D.,  
President  
National Institute of Standards  
and Technology

F. Alan Andersen, Ph.D.,  
President Elect  
Cosmetic Ingredient Review

Robert F. Moran, Ph.D.,  
FCCM, FAIC  
Secretary  
mvi Sciences

Donna M. Meyer, Ph.D.,  
Treasurer  
CHRISTUS Health

A. Samuel Koenig, III, M.D.,  
Past President  
Family Medical Care

John V. Bergen, Ph.D.,  
Executive Director

**BOARD OF DIRECTORS**

Sharon S. Ehrmeyer, Ph.D.  
University of Wisconsin

Robert L. Habig, Ph.D.  
Becton Dickinson and Company

Thomas L. Hearn, Ph.D.  
Centers for Disease Control and  
Prevention

Gerald A. Hoeltge, M.D.  
The Cleveland Clinic Foundation

Elizabeth D. Jacobson, Ph.D.  
FDA Center for Devices and  
Radiological Health

Carolyn D. Jones, J.D., M.P.H.  
Health Industry Manufacturers  
Association

Hartmut Jung, Ph.D.  
Roche Diagnostics GmbH

Tadashi Kawai, M.D., Ph.D.  
International Clinical Pathology  
Center

Barbara G. Painter, Ph.D.  
Bayer Corporation

Marianne C. Watters,  
M.T.(ASCP)  
Parkland Health & Hospital  
System

Ann M. Willey, Ph.D.  
New York State Department of  
Health

Judith A. Yost, M.A.,  
M.T. (ASCP)  
Health Care Financing  
Administration



## Contents

Abstract.....	i
Committee Membership .....	v
Active Membership.....	vii
Foreword .....	xv
1 Introduction .....	1
2 Scope .....	1
3 Preparation of the Patient, Collection and Handling of the Specimen .....	1
3.1 General Techniques.....	3
3.2 Body Fluids .....	3
3.3 Site-Specific Techniques .....	4
4 Specimen Handling and Transport .....	8
4.1 Specimen Identification and Labeling .....	8
4.2 Liquid Specimen Transport .....	8
4.3 Slide Submission.....	9
5 Procedure Manual .....	9
6 Turnaround Time .....	9
7 Requisition Form .....	9
7.1 Submission of Form .....	9
7.2 Demographic Information .....	9
8 Specimen Processing.....	11
8.1 Accession Number .....	11
8.2 Date and Time Stamp.....	11
8.3 Specimen Condition on Receipt.....	11
8.4 Specimen Rejection Criteria .....	11
9 Cytopreparation of Specimens .....	12
9.1 Anticoagulation .....	12
9.2 Specimen Concentration .....	12
9.3 Adhesion .....	13
9.4 Fixation and Staining.....	14
9.5 Erythrocyte Lysis .....	15
9.6 Mucolysis .....	16
10 Quality Control and Quality Assurance Programs.....	16
References .....	17

Appendix. Determination of Specimen Cellularity .....	18
Summary of Comments and Subcommittee Responses .....	19
Related NCCLS Publications .....	23



## Foreword

Modern cytopathologic techniques, when carried out by a healthcare practitioner on a cooperative patient and followed by "quality controlled" laboratory techniques, can produce results with high diagnostic accuracy. Adequate patient preparation and education are required.

The emphasis on cytopathologic techniques of nongynecologic specimens is on effectiveness and quality. This guideline is directed specifically at specimen collection and processing. If the predictive value of a nongynecologic cytology specimen can be increased, a major achievement in medical care and cost effectiveness will have been accomplished.

This "user" guideline is the beginning of a process of improvement in the nongynecologic smear production chain. The intent is to provide guidance for this process up to and including the preparation of the nongynecologic cytologic specimen, in order to provide a specimen suitable for accurate diagnosis.

## Standard Precautions

Because it is often impossible to know what might be infectious, all human blood specimens are to be treated as infectious and handled according to "standard precautions." Standard precautions are new guidelines that combine the major features of "universal precautions and body substance isolation" practices. Standard precautions cover the transmission of any pathogen and thus are more comprehensive than universal precautions which are intended to apply only to transmission of blood-borne pathogens. Standard precaution and universal precaution guidelines are available from the U.S. Centers for Disease Control and Prevention (Guideline for Isolation Precautions in Hospitals, *Infection Control and Hospital Epidemiology*, CDC, Vol 17;1:53-80.), [MMWR 1987;36(suppl 2S):2S-18S] and (MMWR 1988;37:377-382, 387-388). For specific precautions for preventing the laboratory transmission of blood-borne infection from laboratory instruments and materials; and recommendations for the management of blood-borne exposure, refer to NCCLS document M29—*Protection of Laboratory Workers from Instrument Biohazards and Infectious Disease Transmitted by Blood, Body Fluids, and Tissue*.

## Key Words

Cytologic specimen collection, nongynecologic cytology



## **Nongynecologic Cytologic Specimens: Collection and Cytopreparatory Techniques; Approved Guideline**

### **1 Introduction**

The primary purpose of cytologic examination of body fluid samples is to detect malignancy, but the method is also appropriate for the detection of inflammatory or infectious disorders. Reliable cytodiagnosis of body fluids depends on sufficient patient history and excellent technical slide preparations — at the very least. To that end, this document provides guidance for a number of clinical and laboratory procedures for the collection and processing of body fluid specimens. Followed skillfully and properly, these techniques will provide an indispensable foundation for high diagnostic accuracy.

While using the following guideline, the laboratorian and clinician are cautioned to remember that the diagnostic technique is being done for the patient. Most people preparing for a physical examination of any type experience anxiety over the possibility of an abnormal finding. Since body fluid procurement often involves some sort of invasive maneuver, the situation can be even more distressing. There are many ways to decrease the anxiety surrounding the procedure. A comfortable setting with pleasing surroundings helps to allay fear. Courteous, well-trained personnel and an efficient system for handling patients also help to decrease apprehension. A thoughtful, concerned healthcare provider who takes time to explain the procedure, answers questions, and interacts with the patient in a professional manner can do a lot to decrease the anxiety of a patient about to undergo a procedure for the sake of obtaining a cellular sample.

Cytologic samples are collected and prepared by a variety of methods, all of which have an effect on cytomorphology. The goals of standardizing specimen collection and processing are to minimize unwanted artifacts; and to obtain, well distributed, well-preserved, and well-stained cells that can be sharply imaged lending themselves to accurate diagnoses.

### **2 Scope**

This document provides relevant information about various aspects of the performance of nongynecologic cytologic specimen preparation in order to evaluate and assess disease processes. It considers both immediate processing and complex handling. It is recognized that new developments in the art may augment or supplant the suggestions provided herein.

### **3 Preparation of the Patient, Collection and Handling of the Specimen<sup>1,2</sup>**

The life cycle of the nongynecologic cytology specimen begins with the test request and ends when a cytologic diagnosis is reported to the ordering physician and documented (see Figure 1).

For optimal cytologic preparations, patients should be adequately prepared and the specimens should be properly collected. The methods for patient preparations will vary according to the sampling site. The following sections address common specimens requiring patient preparation. Standard aseptic technique should be followed. Copies of procedural protocols should be present wherever the procedures are performed as well as at the cytology laboratory.

In the practice of nongynecologic cytology, samples are derived from:

- cerebrospinal tract
- gastrointestinal tract
- joint spaces
- ocular area
- pericardium
- peritoneum
- pleura
- respiratory tract
- skin and mucosal samples
- urinary tract
- breast/nipple
- breast secretions.