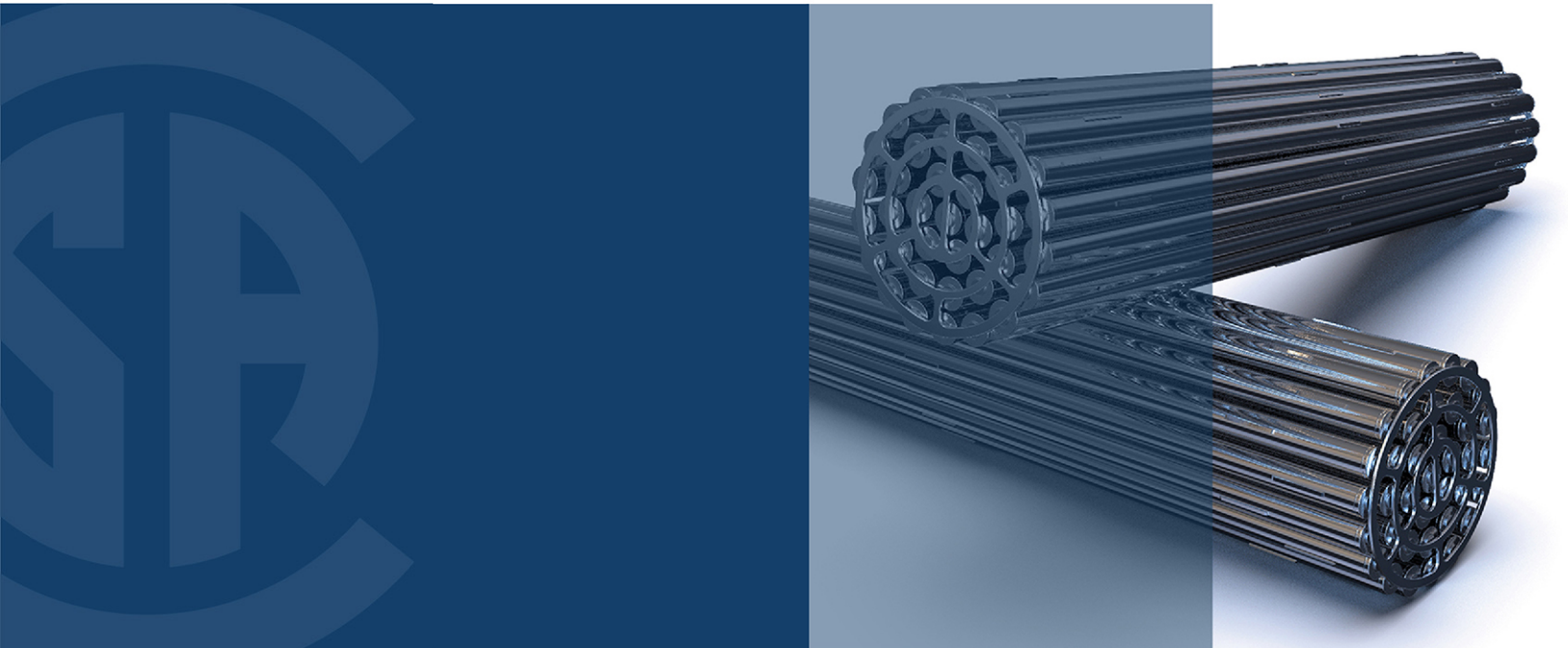




# **Quality assurance program requirements for the supply of items and services for nuclear power plants, Category 4**



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## *CSA N299.4:19 December 2019*

**Title:** *Quality assurance program requirements for the supply of items and services for nuclear power plants, Category 4*

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*CSA N299.4:19*

***Quality assurance program  
requirements for the supply of items  
and services for nuclear power  
plants, Category 4***



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*Published in December 2019 by CSA Group  
A not-for-profit private sector organization  
178 Rexdale Boulevard, Toronto, Ontario, Canada M9W 1R3*

*To purchase standards and related publications, visit our Online Store at [store.csagroup.org](https://store.csagroup.org)  
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*ISBN 978-1-4883-2399-7*

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# Contents

Technical Committee on Management Systems for Nuclear Facilities 3

Subcommittee on Quality Assurance Program Requirements for Supply of Items and Services for Nuclear Power Plants 5

Preface 7

**0 Introduction 8**

0.1 Background 8

0.2 Category series 8

**1 Scope 11**

**2 Reference publications 12**

**3 Definitions 13**

**4 General requirements 17**

4.1 General 17

4.2 Customer's responsibilities 17

4.3 Management responsibilities 18

4.4 Independent verification 18

4.5 Software 18

4.5.1 Software employed in work methods or tools 18

4.5.2 Embedded software 19

4.5.3 Design analysis software 19

4.6 Safety culture 19

4.7 Use of experience 19

4.8 Counterfeit, fraudulent, and suspect items (CFSIs) 19

**5 QA program documentation 19**

**6 QA program descriptions 19**

**7 QA program elements 19**

7.1 Management review 19

7.2 Indoctrination, training, and qualification 20

7.2.1 Indoctrination 20

7.2.2 Training 20

7.2.3 Qualification 20

7.3 Tender and contract review 20

7.4 Design 20

7.5 Documentation 21

7.6 Procurement 21

7.6.1 Selection 21

7.6.2 Use of customer's approved suppliers 21

7.6.3 Subcontract requirements 22

|          |  |           |
|----------|--|-----------|
| 7.6.4    | Reviews  | 22        |
| 7.6.5    | Inspection, surveillance, and audit of sub-suppliers | 22        |
| 7.6.6    | Amendments to subcontracts                           | 22        |
| 7.7      | Verification planning                                | 22        |
| 7.8      | Verification activities                              | 22        |
| 7.9      | Verification status                                  | 22        |
| 7.10     | Measuring and testing equipment (M&TE)               | 22        |
| 7.11     | Identification and traceability                      | 23        |
| 7.11.1   | Identification                                       | 23        |
| 7.11.2   | Traceability   | 24        |
| 7.12     | Handling and storage                                 | 24        |
| 7.13     | Production   | 24        |
| 7.14     | Special processes                                    | 24        |
| 7.15     | Packaging and shipping                               | 24        |
| 7.16     | Records  | 24        |
| 7.16.1   | General requirements                                 | 24        |
| 7.16.2   | Generation of records                                | 25        |
| 7.16.3   | Maintenance and storage of records                   | 25        |
| 7.17     | Nonconformances                                      | 25        |
| 7.17.1   | General nonconformance requirements                  | 25        |
| 7.18     | Corrective action                                    | 26        |
| 7.19     | Customer-supplied items and services                 | 26        |
| 7.20     | Statistical techniques                               | 26        |
| 7.21     | Quality audits                                       | 26        |
| <b>8</b> | <b>CSA N299 dedication requirements</b>              | <b>26</b> |

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|                       |  |    |
|-----------------------|--|----|
| Annex A (normative)   | — Category selection                                 | 27 |
| Annex B (informative) | — Guidance on measuring and test equipment           | 36 |
| Annex C (informative) | — Counterfeit, fraudulent, and suspect items (CFSIs) | 39 |
| Annex D (informative) | — Risk evaluation                                    | 41 |
| Annex E (informative) | — Records  | 42 |

# ***Technical Committee on Management Systems for Nuclear Facilities***

|                          |  |                   |
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| <b>G. Lamarre</b>    | Canadian Nuclear Safety Commission (CNSC),<br>Ottawa, Ontario, Canada  | <i>Non-voting</i>      |
| <b>V. Raees</b>      | Technical Standards and Safety Authority,<br>Ajax, Ontario, Canada<br><i>Category: Government and/or Regulatory Authority</i>    |                        |
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| <b>P. Schultz</b>    | Canadian Nuclear Safety Commission,<br>Ottawa, Ontario, Canada   | <i>Non-voting</i>      |
| <b>C. Sellers</b>    | Campbellcroft, Ontario, Canada   | <i>Non-voting</i>      |
| <b>P. L. Wiggins</b> | NB Power Corporation,<br>Lepreau, New Brunswick, Canada<br><i>Category: Owner/Operator/Producer</i>                              |                        |
| <b>P. Young</b>      | Scarborough, Ontario, Canada<br><i>Category: General Interest</i>  |                        |
| <b>M. Khan</b>       | CSA Group,<br>Toronto, Ontario, Canada   | <i>Project Manager</i> |

# ***Subcommittee on Quality Assurance Program Requirements for Supply of Items and Services for Nuclear Power Plants***

|                     |   |              |
|---------------------|---|--------------|
| <b>C. Sellers</b>   | Campbellcroft, Ontario, Canada  | <i>Chair</i> |
| <b>N. Boraso</b>    | CANDU Owners Group Inc.,<br>Toronto, Ontario, Canada                  |              |
| <b>J. Brown</b>     | BWXT Nuclear Energy Canada Inc.,<br>Peterborough, Ontario, Canada     |              |
| <b>R. Burns</b>     | Kinectrics Inc.,<br>Toronto, Ontario, Canada                          |              |
| <b>M. Cagnetta</b>  | Framatome,<br>Kincardine, Ontario, Canada                             |              |
| <b>S. Ciobanu</b>   | Framatome,<br>Pickering, Ontario, Canada                              |              |
| <b>E. Clavel</b>    | Clavel Quality Consulting,<br>Mississauga, Ontario, Canada            |              |
| <b>S. Demeter</b>   | Kinectrics Inc.,<br>Toronto, Ontario, Canada                          |              |
| <b>S. Gujral</b>    | Tetra Tech,<br>Pickering, Ontario, Canada                             |              |
| <b>S. D. Harris</b> | Ontario Power Generation,<br>Oshawa, Ontario, Canada                  |              |
| <b>P. Karsten</b>   | Karsten Nuclear Quality Services Inc.,<br>Kincardine, Ontario, Canada |              |
| <b>J. Lopez</b>     | Bruce Power LP,<br>Tiverton, Ontario, Canada                          |              |
| <b>T. Mason</b>     | Black & McDonald Ltd.,<br>Pickering, Ontario, Canada                  |              |

|                         |   |                        |
|-------------------------|---|------------------------|
| <b>L. Payne</b>         | Énergie NB Power,<br>Maces Bay, New Brunswick, Canada                 |                        |
| <b>M. Pletosu</b>       | Ontario Power Generation Inc.,<br>Pickering, Ontario, Canada          |                        |
| <b>D. Sperling</b>      | Sperling Quality and Project Services,<br>Burlington, Ontario, Canada |                        |
| <b>A. Wagland</b>       | WAG QA Services Canada Inc.,<br>Waterloo, Ontario, Canada             |                        |
| <b>G. B. Waterhouse</b> | Vermeer Engineering,<br>Whitby, Ontario, Canada                       |                        |
| <b>P. Wong</b>          | Canadian Nuclear Safety Commission (CNSC),<br>Ottawa, Ontario, Canada |                        |
| <b>M. Khan</b>          | CSA Group,<br>Toronto, Ontario, Canada                                | <i>Project Manager</i> |

# Preface

This is the second edition of CSA N299.4, *Quality assurance program requirements for the supply of items and services for nuclear power plants, Category 4*. It supersedes the previous edition published in 2016.

The CSA N299 series of Standards defines quality assurance program requirements for the provision of items and services for nuclear power plants when specified in the contract between the customer and the supplier.

The most significant updates to this edition include

- a) the addition of requirements on dedication in Clause [8](#). However, dedication is not applicable to Category 4;
- b) the addition of Annex [C](#) to provide guidance on counterfeit, fraudulent, and suspect items (CFSIs);
- c) the addition of Annex [D](#) to provide guidance on risk evaluation; and
- d) the addition of Annex [E](#) to provide guidance on records.

This Standard has also been restructured and reordered for better readability.

Users of this Standard are reminded that civilian nuclear facilities in Canada are subject to the provisions of the *Nuclear Safety and Control Act* and its *Regulations*.

This Standard was prepared by the Subcommittee on Quality Assurance Program Requirements for Supply of Items and Services for Nuclear Power Plants, under the jurisdiction of the Technical Committee on Management Systems for Nuclear Facilities and the Strategic Steering Committee on Nuclear Standards, and has been formally approved by the Technical Committee.

## Notes:

- 1) *Use of the singular does not exclude the plural (and vice versa) when the sense allows.*
- 2) *Although the intended primary application of this Standard is stated in its Scope, it is important to note that it remains the responsibility of the users of the Standard to judge its suitability for their particular purpose.*
- 3) *This Standard was developed by consensus, which is defined by CSA Policy governing standardization — Code of good practice for standardization as “substantial agreement. Consensus implies much more than a simple majority, but not necessarily unanimity”. It is consistent with this definition that a member may be included in the Technical Committee list and yet not be in full agreement with all clauses of this Standard.*
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  - b) *relevant clause, table, and/or figure number;*
  - c) *wording of the proposed change; and*
  - d) *rationale for the change.*

# *CSA N299.4:19*

## *Quality assurance program requirements for the supply of items and services for nuclear power plants, Category 4*

### **0 Introduction**

#### **0.1 Background**

The CSA Z299 series of Standards (referred to collectively as “CSA Z299”) was selected by Ontario Hydro and AECL in the 1970s as the quality assurance standard for the procurement of items and services for their nuclear facilities. As a result, the CSA Z299 Standards were embedded in the design bases of all nuclear power stations and some utility-owned nuclear facilities licensed in Canada, and continue to be used. These Standards were initially developed from Ontario Hydro quality standards and contained many of the requirements that were in force at that time. When the CSA N286 series of Standards were developed in the late 1970s, they referenced CSA Z299 as the recommended quality assurance standard for items and services. CSA Z299 was a commercial standard used broadly both nationally and internationally, and it was the pre-cursor to development of the ISO 9000 series of Standards. With the development of ISO 9001 in 1994, ISO 9001 became the commercial quality standard that was generally adopted by industry. CSA Z299 was no longer supported by the Technical Committee in charge of CSA Z299, and it was eventually withdrawn.

Internationally, there have been mixed approaches to creating industry-specific QA standards, such as augmenting ISO 9001 or creating completely new standards. CSA Z299 has not been issued since 1985 and needed to be updated to reflect current needs. To fulfill this need, nuclear utilities have developed, through a joint CANDU Owners Group (COG) project, a set of graded standards that align with the withdrawn CSA Z299 series so that the impact to the design basis and content transition to the new standards is minimized. These graded standards were used as the seed documents for the new CSA N299 series of Standards, which incorporates operating experience and current best practices and harmonizes, to the extent possible, with other standards (both national and international).

#### **0.2 Category series**

This is the fourth in a series of four Standards for the four quality assurance program categories (Category 1 to Category 4). See Figure [1](#) for a summary of this series of Standards and applicable elements.

This Standard was developed in response to industry’s need for a quality assurance standard for items and services supplied to nuclear power plants.