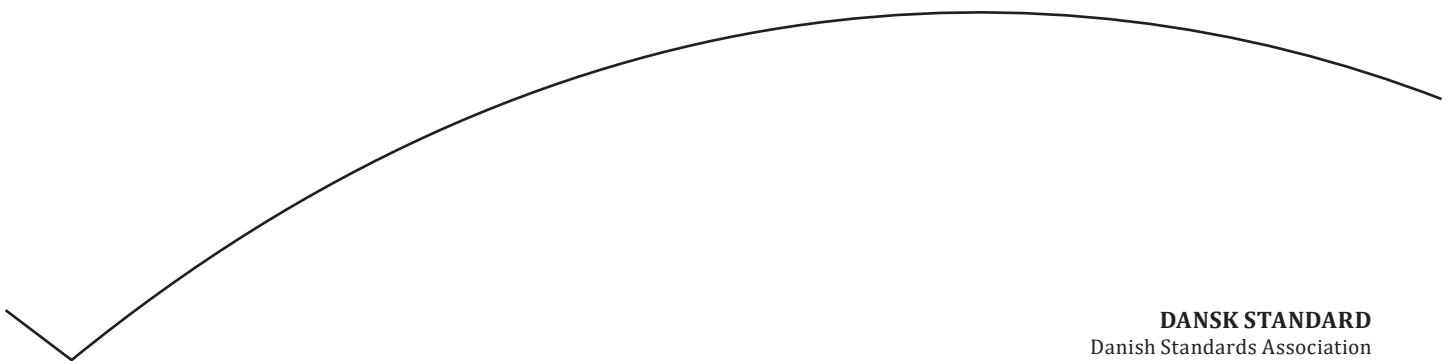


Informationsteknologi – Kunstig intelligens – AI-systemers livscyklusprocesser

Information technology – Artificial intelligence –
AI system life cycle processes



DANSK STANDARD
Danish Standards Association

Göteborg Plads 1
DK-2150 Nordhavn

Tel: +45 39 96 61 01
dansk.standard@ds.dk
www.ds.dk

This is a preview of DS/ISO/IEC 5338:2023. [Click here to purchase the full version from the ANSI store.](#)

DS projekt: M364615

ICS: 35.020

Første del af denne publikations betegnelse er:

DS/ISO/IEC, hvilket betyder, at det er en international standard, der har status som dansk standard.

Denne publikations overensstemmelse er:

IDT med: ISO/IEC 5338:2023

DS-publikationen er på engelsk.

I tilfælde af redaktionelle fejl i DS-publikationen kan der skrives til:

editorial-mistakes@ds.dk

ADVARSEL: DS-publikationer revideres over tid. Derudover kan sådanne publikationer ændres ved rettelserblade og/eller tillæg. Der kan også udgives rettelserblade, der udelukkende angår oversættelsen af en publikation. Det er derfor vigtigt at sikre sig, at man benytter en gældende udgave, medmindre fx lovgivning kræver andet. Den enkelte publikations status fremgår af <https://webshop.ds.dk/>. Her kan man desuden tilmelde sig en gratis notifikationsservice og følge en udgivet DS-publikations udvikling ved at klikke på "Følg standarden".

En oversigt over forskellige DS-publikationstyper og -betegnelser findes her:

<https://www.ds.dk/publikationstyper>.

First edition
2023-12

Information technology — Artificial intelligence — AI system life cycle processes

*Technologies de l'information — Intelligence artificielle —
Processus de cycle de vie des systèmes d'IA*



Reference number
ISO/IEC 5338:2023(E)

© ISO/IEC 2023



COPYRIGHT PROTECTED DOCUMENT

© ISO/IEC 2023, Published in Switzerland

All rights reserved. Unless otherwise specified, no part of this publication may be reproduced or utilized otherwise in any form or by any means, electronic or mechanical, including photocopying, or posting on the internet or an intranet, without prior written permission. Permission can be requested from either ISO at the address below or ISO's member body in the country of the requester.

ISO copyright office
Ch. de Blandonnet 8 • CP 401
CH-1214 Vernier, Geneva, Switzerland
Tel. +41 22 749 01 11
Fax +41 22 749 09 47
copyright@iso.org
www.iso.org

This is a preview of DS/ISO/IEC 5338:2023. [Click here to purchase the full version from the ANSI store.](#)

Contents

Page

Foreword	v
Introduction	vi
1 Scope.....	1
2 Normative references	1
3 Terms and definitions.....	1
4 Abbreviated terms	2
5 Key concepts	2
5.1 General	2
5.2 AI system concepts.....	4
5.3 AI system life cycle model.....	4
5.4 Process concepts.....	7
5.4.1 Criteria for processes	7
5.4.2 Description of processes.....	7
5.4.3 Conformance.....	8
6 AI System life cycle processes	8
6.1 Agreement processes	8
6.1.1 Acquisition process	8
6.1.2 Supply process.....	8
6.2 Organizational project-enabling processes.....	9
6.2.1 Life cycle model management process	9
6.2.2 Infrastructure management process	9
6.2.3 Portfolio management process	9
6.2.4 Human resource management process	10
6.2.5 Quality management process.....	10
6.2.6 Knowledge management process	11
6.3 Technical management processes.....	11
6.3.1 Project planning process.....	11
6.3.2 Project assessment and control process	12
6.3.3 Decision management process.....	13
6.3.4 Risk management process.....	13
6.3.5 Configuration management process	15
6.3.6 Information management process	16
6.3.7 Measurement process	16
6.3.8 Quality assurance process.....	16
6.4 Technical processes	17
6.4.1 Business or mission analysis process.....	17
6.4.2 Stakeholder needs and requirements definition process.....	18
6.4.3 System requirements definition process	19
6.4.4 System architecture definition process	20
6.4.5 Design definition process	20
6.4.6 System analysis process	20
6.4.7 Knowledge acquisition process	20
6.4.8 AI data engineering process	21
6.4.9 Implementation process	24
6.4.10 Integration process	26
6.4.11 Verification process.....	26
6.4.12 Transition process.....	27
6.4.13 Validation process.....	28
6.4.14 Continuous validation process	29
6.4.15 Operation process.....	30
6.4.16 Maintenance process.....	31
6.4.17 Disposal process	33

This is a preview of DS/ISO/IEC 5338:2023. [Click here to purchase the full version from the ANSI store.](#)

Annex A (informative) Observations based on use cases in ISO/IEC TR 2403034

Bibliography38

This is a preview of DS/ISO/IEC 5338:2023. Click [here](#) to purchase the full version from the ANSI store.

Foreword

ISO (the International Organization for Standardization) and IEC (the International Electrotechnical Commission) form the specialized system for worldwide standardization. National bodies that are members of ISO or IEC participate in the development of International Standards through technical committees established by the respective organization to deal with particular fields of technical activity. ISO and IEC technical committees collaborate in fields of mutual interest. Other international organizations, governmental and non-governmental, in liaison with ISO and IEC, also take part in the work.

The procedures used to develop this document and those intended for its further maintenance are described in the ISO/IEC Directives, Part 1. In particular, the different approval criteria needed for the different types of document should be noted. This document was drafted in accordance with the editorial rules of the ISO/IEC Directives, Part 2 (see www.iso.org/directives or www.iec.ch/members_experts/refdocs).

ISO and IEC draw attention to the possibility that the implementation of this document may involve the use of (a) patent(s). ISO and IEC take no position concerning the evidence, validity or applicability of any claimed patent rights in respect thereof. As of the date of publication of this document, ISO and IEC had not received notice of (a) patent(s) which may be required to implement this document. However, implementers are cautioned that this may not represent the latest information, which may be obtained from the patent database available at www.iso.org/patents and <https://patents.iec.ch>. ISO and IEC shall not be held responsible for identifying any or all such patent rights.

Any trade name used in this document is information given for the convenience of users and does not constitute an endorsement.

For an explanation of the voluntary nature of standards, the meaning of ISO specific terms and expressions related to conformity assessment, as well as information about ISO's adherence to the World Trade Organization (WTO) principles in the Technical Barriers to Trade (TBT) see www.iso.org/iso/foreword.html. In the IEC, see www.iec.ch/understanding-standards.

This document was prepared by Joint Technical Committee ISO/IEC JTC 1, *Information technology*, Subcommittee SC 42, *Artificial intelligence*.

Any feedback or questions on this document should be directed to the user's national standards body. A complete listing of these bodies can be found at www.iso.org/members.html and www.iec.ch/national-committees.

This is a preview of DS/ISO/IEC 5338:2023. [Click here to purchase the full version from the ANSI store.](#)

Introduction

Artificial intelligence (AI) systems in the fields of computer vision and image recognition, natural language processing, fraud detection, automated vehicles, predictive maintenance and planning have achieved remarkable successes. To build and maintain an AI system, it is an efficient approach to extend the life cycle processes for a traditional software system to include AI-specific life cycle characteristics.

An example of such a specific characteristic of an AI system life cycle is where a system employs machine learning (ML) using training data and it becomes necessary to retrain the ML model using new training data that is more representative of current production data.

[ISO/IEC/IEEE 12207](#) describes software life cycle processes and [ISO/IEC/IEEE 15288](#) describes system life cycle processes. While these life cycle processes are broadly applicable to AI systems, they require the introduction of new processes and the modification of existing processes to accommodate the characteristics of AI systems. This document extends the current generic life cycle process International Standards to make them applicable for AI systems so that the AI system life cycle can benefit from established models and existing practices. Some AI systems are in use in areas which are related to safety, such as health care or traffic control. Such safety critical AI systems need special attention and considerations as described in [ISO/IEC TR 5469](#) [5].

Integrating the AI system life cycle into existing processes delivers efficiency gains, better adoption of AI and mutual understanding among AI system stakeholders as defined in [ISO/IEC 22989](#). Such an integrated life cycle approach embraces the fact that AI systems typically are a combination of AI-specific elements and traditional elements such as source code and databases.

This document provides further details on AI system life cycle processes as discussed in [ISO/IEC 42001](#) [18].

This is a preview of DS/ISO/IEC 5338:2023. Click [here](#) to purchase the full version from the ANSI store.

Information technology — Artificial intelligence — AI system life cycle processes

1 Scope

This document defines a set of processes and associated concepts for describing the life cycle of AI systems based on machine learning and heuristic systems. It is based on [ISO/IEC/IEEE 15288](#) and [ISO/IEC/IEEE 12207](#) with modifications and additions of AI-specific processes from [ISO/IEC 22989](#) and [ISO/IEC 23053](#).

This document provides processes that support the definition, control, management, execution and improvement of the AI system in its life cycle stages. These processes can also be used within an organization or a project when developing or acquiring AI systems. When an element of an AI system is traditional software or a traditional system, the software life cycle processes in [ISO/IEC/IEEE 12207](#) and the system life cycle processes in [ISO/IEC/IEEE 15288](#) can be used to implement that element.

2 Normative references

The following documents are referred to in the text in such a way that some or all of their content constitutes requirements of this document. For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments) applies.

[ISO/IEC/IEEE 15288:2023](#), *Systems and software engineering — System life cycle processes*

[ISO/IEC/IEEE 12207:2017](#), *Systems and software engineering — Software life cycle processes*

[ISO/IEC 22989:2022](#), *Information technology — Artificial intelligence — Artificial intelligence concepts and terminology*

[ISO/IEC 23053](#), *Framework for Artificial Intelligence (AI) Systems Using Machine Learning (ML)*