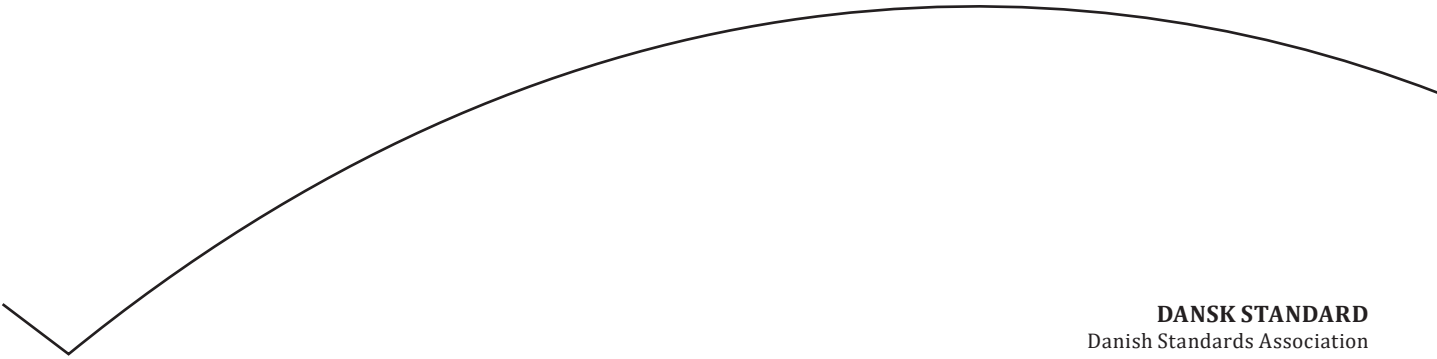


Informationsteknologi – Kunstig intelligens (AI) – Mål for og metoder til forklaring og fortolkning af maskinlæringsmodeller og AI-systemer

Information technology – Artificial intelligence – Objectives and approaches for explainability and interpretability of machine learning (ML) models and artificial intelligence (AI) systems



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Information technology — Artificial intelligence — Objectives and approaches for explainability and interpretability of machine learning (ML) models and artificial intelligence (AI) systems

Technologies de l'information — Intelligence artificielle — Objectifs et approches pour l'explicabilité et l'interprétabilité des modèles d'apprentissage automatique (AA) et des systèmes d'intelligence artificielle (IA)

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Foreword	v
Introduction	vi
1 Scope	1
2 Normative references	1
3 Terms and definitions	1
4 Symbols and abbreviated terms	5
5 Overview	6
6 Stakeholders' objectives	6
6.1 General	6
6.2 AI user	7
6.3 AI developer	7
6.4 AI product or service provider	7
6.5 AI platform provider	8
6.6 AI system integrator	8
6.7 Data provider	8
6.8 AI evaluator	8
6.9 AI auditor	8
6.10 AI subject	8
6.11 Relevant authorities	8
6.11.1 Policy makers	8
6.11.2 Regulators	8
6.11.3 Other authorities	9
7 Explainability considerations throughout the AI system life cycle	9
7.1 General	9
7.2 Inception	10
7.3 Design and development	10
7.3.1 General	10
7.3.2 Development of the explainability component	10
7.3.3 Explainability's contribution to development	11
7.4 Verification and validation	11
7.4.1 General	11
7.4.2 Evaluation of the explainability component	11
7.4.3 Explainability's contribution to evaluation	13
7.5 Deployment	14
7.5.1 General	14
7.5.2 Deployment of the explainability component	14
7.5.3 Explainability's contribution to deployment	14
7.6 Operation and monitoring	14
7.7 Continuous validation	14
7.8 Re-evaluation	14
7.9 Retirement	15
8 Property taxonomy of explainability methods and approaches	15
8.1 General	15
8.2 Properties of explanation needs	16
8.2.1 General	16
8.2.2 Expertise profile of the targeted audience	16
8.2.3 Frame activity of interpretation or explanation	17
8.2.4 Scope of information	17
8.2.5 Completeness	17
8.2.6 Depth	18
8.2.7 Reasoning path	18
8.2.8 Implicit and explicit explanations	19

This is a preview of DS/ISO/IEC TS 6254:2025. [Click here to purchase the full version from the ANSI store.](#)

8.3.2	Numeric	19
8.3.3	Visual	19
8.3.4	Textual	20
8.3.5	Structured	20
8.3.6	Example-based	20
8.3.7	Interactive exploration tools	20
8.4	Technical approaches towards explainability	20
8.4.1	General	20
8.4.2	Empirical analysis	21
8.4.3	Post hoc interpretation	21
8.4.4	Inherently interpretable components	21
8.4.5	Architecture- and task-driven explainability	22
8.5	Technical constraints of the explainability method	22
8.5.1	General	22
8.5.2	Genericity of the method	22
8.5.3	Transparency requirements	23
8.5.4	Display requirements	23
9	Approaches and methods to explainability	23
9.1	General	23
9.2	Empirical analysis methods	24
9.2.1	General	24
9.2.2	Fine-grained evaluation	25
9.2.3	Error analysis	25
9.2.4	Analysis-oriented datasets	25
9.2.5	Ablation	26
9.2.6	Known trends	26
9.3	Post hoc methods	27
9.3.1	Local	27
9.3.2	Global	32
9.4	Inherently interpretable components	36
9.4.1	General	36
9.4.2	Legible models	37
9.4.3	Meaningful models	39
9.4.4	Models with explicit knowledge	41
9.5	Architecture- and task-driven methods	43
9.5.1	General	43
9.5.2	Informative features	43
9.5.3	Rich and auxiliary inputs	44
9.5.4	Multi-step processing	44
9.5.5	Rich outputs	45
9.5.6	Rationale-based processing	46
9.5.7	Rationale generation as auxiliary output	46
9.6	Data explanation	47
Annex A (informative)	Extent of explainability and interaction with related concepts	48
Annex B (informative)	Illustration of methods' properties	51
Annex C (informative)	Concerns and limitations	61
Bibliography		65

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When AI systems are used to help make decisions that affect people's lives, it is important that people understand how those decisions are made. Achieving useful explanations of the behaviour of AI systems and their components is a complex task. Industry and academia are actively exploring emerging methods for enabling explainability, as well as scenarios and reasons why explainability can be required.

Due to the multitude of stakeholders and communities contributing to this effort, the field is suffering from a certain terminological inconsistency. Most notably, the methods to provide such explanations of the behaviour of an AI system are discussed under the banner of "explainability", "interpretability", (sometimes even other terms like "transparency"), raising the question of how these terms relate to each other. This document aims to provide practical guidance for stakeholders regarding compliance with regulatory requirements labelled one way or another. With this goal in mind, it uses the umbrella term "explainability" and provides a non-exhaustive taxonomy and list of approaches that stakeholders can use to comply with regulatory requirements.

While the overarching goal of explainability is to evaluate the trustworthiness of AI systems, at different stages of the AI system life cycle, diverse stakeholders can have more specific objectives in support of the goal. To illustrate this point, several examples are provided. For developers, the goal can be improving the safety, reliability and robustness of an AI system by making it easier to identify and fix bugs. For users, explainability can help to decide how much to rely on an AI system by uncovering potential sources or existence of unwanted bias or unfairness. For service providers, explainability can be essential for demonstrating compliance with legal requirements. For policy makers, understanding the capabilities and limitations of different explainability methods can help to develop effective policy frameworks that best address societal needs while promoting innovation. Explanations can also help to design interventions to improve business outcomes.

This document describes the applicability and the properties of existing approaches and methods for improving explainability of machine learning (ML) models and AI systems. This document guides stakeholders through the important considerations involved with selection and application of such approaches and methods.

While methods for explainability of ML models can play a central role in achieving the explainability of AI systems, other methods such as data analytics tools and fairness frameworks can contribute to the understanding of AI systems' behaviour and outputs. The description and classification of such complementary methods are out of scope for this document.

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Information technology — Artificial intelligence — Objectives and approaches for explainability and interpretability of machine learning (ML) models and artificial intelligence (AI) systems

1 Scope

This document describes approaches and methods that can be used to achieve explainability objectives of stakeholders with regard to machine learning (ML) models and artificial intelligence (AI) systems' behaviours, outputs and results. Stakeholders include but are not limited to, academia, industry, policy makers and end users. It provides guidance concerning the applicability of the described approaches and methods to the identified objectives throughout the AI system's life cycle, as defined in ISO/IEC 22989.

2 Normative references

The following documents are referred to in the text in such a way that some or all of their content constitutes requirements of this document. For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments) applies.

ISO/IEC 22989:2022, *Information technology — Artificial intelligence — Artificial intelligence concepts and terminology*