



IPC-HDBK-850

Guidelines for Design, Selection and Application of Potting Materials and Encapsulation Processes Used for Electronics Printed Circuit Board Assembly

Developed by the Potting and Encapsulation Task Group (5-33f) of the Cleaning and Coating Committee (5-30) of IPC

Users of this publication are encouraged to participate in the development of future revisions.

Contact:

IPC
3000 Lakeside Drive, Suite 309S
Bannockburn, Illinois
60015-1249
Tel 847 615.7100
Fax 847 615.7105

Table of Contents

1 SCOPE	1	3.3.6 Q-Resonance	23
1.1 Introduction	1	3.3.7 Dielectric Constant and Dissipation Factor	23
1.2 Purpose	1	3.4 Processing Characteristics	23
1.3 Scope	1	3.4.1 Viscosity	23
1.4 Terms and Definitions	1	3.4.2 Thixotropy	24
1.4.1 Material Terms and Definitions	2	3.4.3 Curing Exotherms	25
1.4.2 Application Terms and Definitions	4	3.4.4 Work Time (Pot Life)	25
2 APPLICABLE DOCUMENTS	14	3.5 Selecting a P/E Material	25
2.1 ASTM	14	3.5.1 Select with End Environment in Mind	25
2.2 IPC Standards	14	3.5.2 Design for Encapsulation Application	25
2.3 Joint Industry Standard	14	3.5.3 Design Philosophy	26
2.4 Military Standards	14	3.6 Qualifying a P/E Material	27
2.5 Underwriters Laboratories	15	3.6.1 Vendor's Data Sheet	27
2.6 British Standards (DSTAN, UK Defense Standardization)	15	3.6.2 Compatibility with Process Materials	27
2.7 IEC Standards	15	3.6.3 Residues Related to PCB and Components	27
2.8 Federal Standards	15	3.6.4 Component Material Types	28
3 P/E MATERIALS	15	4 ELECTRICAL CONSIDERATIONS	30
3.1 Chemistry Types	15	4.1 High Voltage (HV)/High Current (HC)	30
3.1.1 Polyurethane and Polysulfide	15	4.2 RF and Microwave	31
3.1.2 Epoxy	16	4.3 High Speed Digital	31
3.1.3 Acrylics	16	4.4 Controlled Impedance	31
3.1.4 Silicone	17	4.5 EMI/ESD	31
3.1.5 UV/Visible Light Cure	17	4.5.1 EMI (Electromagnetic Interference)	31
3.1.6 Others Chemistry Types	17	4.5.2 ESD (Electrostatic Discharge)	31
3.2 Discussion of Properties	17	4.6 Encapsulation Coverage	31
3.2.1 Chemical Resistance	17	4.7 Masking	31
3.2.2 Thermal Characteristics	18	4.8 Drawings and Design Guidelines	31
3.2.3 Outgassing	18	4.9 Chemical Susceptibility Testing	31
3.2.4 Shrinkage/Residual Stress	19	4.10 Accelerated Aging Tests	32
3.2.5 Adhesion	19	4.11 Validating a P/E Material	32
3.2.6 Coefficient of Thermal Expansion (CTE)	20	4.12 Discussion of Material Properties and Dependence on Processing Methods	32
3.2.7 Hardness	20	4.12.1 Encapsulation Properties	32
3.2.8 Green Strength	20	4.12.2 Appearance/Color	32
3.2.9 Young's Modulus	20	4.12.3 Dielectric Properties	32
3.2.10 Glass Transition Temperature (T_g)	20	4.12.4 Dielectric Withstanding Voltage	32
3.3 Electrical	23	4.12.5 Dielectric Insulation Resistance	32
3.3.1 Insulation Characteristics	23	4.12.6 Dielectric Q-Resonance	32
3.3.2 Dielectric Properties	23	4.12.7 Dielectric Constant and Dissipation Factor	32
3.3.3 Dielectric Withstanding Voltage (DWV)	23	4.12.8 Thermal Properties	33
3.3.4 Insulation Resistance	23	4.12.9 Thermal Stability	33
3.3.5 Moisture and Insulation Resistance (M and IR)	23	4.12.10 Flammability	34
		4.12.11 Flexibility	34

4.12.12 Abrasion Resistance	34	6.7 Nuclear Biological Chemical Warfare Environment	42
4.12.13 Hydrolytic Stability	34	7 LONG TERM RELIABILITY AND TESTING	42
4.12.14 Permeability	34	7.1 Failure Mechanism	42
4.12.15 Chemical Compatibility and Chemical Resistance	35	7.1.1 Wear/Abrasion	42
4.12.16 Chemical Resistance	35	7.1.2 Loss of Transparency/Discoloration	42
4.12.17 Biological Compatibility	35	7.1.3 Cracking	42
4.12.18 Vapor Resistance	35	7.1.4 Loss of Adhesion	42
4.12.19 Corrosion Resistance	35	7.1.5 Bubbles	43
4.12.20 Fungus Resistance	35	7.1.6 Blistering	43
4.12.21 UV Stability	36	7.1.7 Charring	43
4.12.22 Radiation Resistance	36	7.1.8 Degradation	43
4.12.23 Outgassing	36	7.1.9 Chemical Attack	43
5 REWORK AND REPAIR	36	7.2 Accelerated Testing	43
5.1 Removal Methods	36	7.2.1 Test Parameters	43
5.1.1 Chemical	36	7.2.2 Examples of Tests	44
5.1.2 Mechanical Abrasion	37	8 EQUIPMENT CONSIDERATIONS	44
5.1.3 Media Blasting	37	8.1 Mixing	44
5.1.4 Dry Ice Abrasion	37	8.1.1 Static Mix	44
5.1.5 Thermal Degradation	37	8.1.2 Dynamic Mixing	44
5.1.6 Laser	37	8.1.3 Spinning Mixers	44
5.1.7 Plasma	37	8.1.4 Pin Mixers	44
5.1.8 Combination Rework Methods	38	8.2 Dispensing	44
5.2 Cleaning after Stripping	38	8.2.1 Highly Filled Materials	44
5.3 Re-Encapsulation	38	8.2.2 Abrasivity of Fillers	45
5.4 Environmental, Health and Safety Rework and Repair Considerations	39	8.2.3 Filler Selection	45
6 END USE ENVIRONMENT	39	8.2.4 Maintenance Considerations	45
6.1 Outdoor Environment	39	8.2.5 Polyurethanes Containing Isocyanates	45
6.1.1 Ultraviolet (UV) Radiation	39	8.2.6 Displacement System Size	45
6.1.2 Humidity	39	9 DESIGN CONSIDERATIONS IN P/E	45
6.1.3 Pollutant Gases	39	9.1 Intended Function in the End Use Environment	45
6.1.4 Ozone	39	9.2 Residual Stress Effects on Components	45
6.1.5 Acid Rain	40	9.3 Sleeving	45
6.1.6 Marine and Coastal Environment	40	9.4 LEDs	45
6.2 Automotive	40	9.5 Inappropriate Uses of P/E Materials	46
6.3 Avionics Environment	41	9.6 Part Geometries	46
6.3.1 Aircraft on the Ground	41	10 ENCLOSURE CONSIDERATIONS	
6.3.2 Equipment Outside the Pressure Containment Compartment During Operations	41	10.1 Component Density	46
6.3.3 Equipment Inside the Pressure Containment Compartment During Operations	41	10.2 Clearances	46
6.4 Space Environment	41	10.3 Vent Holes	46
6.5 Medical Environment	41	10.4 Surface Texture/Surface Energy of Enclosures/Substrates	46
6.6 Geothermal Environment	41	11 PREPARATION FOR P/E	46
		11.1 Material Storage	46

11.2	Substrate Preparation	46	13.10.1	Exotherm	56
11.3	Surface Residues and Impact on P/E	47	13.11	Shrinkage	56
11.3.1	Residual Fluxes	47	13.12	Premature Surface Cure/Solvent Entrapment	56
11.3.2	Adhesives	47	13.13	Exceeding Cure Recommendations	57
11.3.3	Primers	47	14	APPLICATION PROCESS MONITORING	57
11.3.4	Priming for Acrylics	48	14.1	Inspection Guidelines	57
11.3.5	Priming for Urethane	48	14.2	Monitoring a P/E Process	57
11.3.6	Priming for Polysulfide	48	14.2.1	Workmanship	57
11.3.7	Plasma Treatment	48	14.2.2	Oven Profiling	57
11.3.8	Mechanical Etching	49	14.2.3	Volumetric Shot Size	57
11.4	Masking	49	14.2.4	Weight	57
11.4.1	Types of Masks	49	14.2.5	Hardness	57
11.4.2	Manual vs. Automated Masking	50	15	ENVIRONMENTAL, HEALTH AND SAFETY PROCESSING CONSIDERATIONS	58
11.5	Preheats	50	15.1	Environmental Health and Safety Viscosity Adjustment	58
11.6	Molds and Containers	50	15.2	Curing Ventilation Considerations	58
11.6.1	Waxes	50	15.3	Workplace Considerations	58
11.6.2	Porous Containers	50	15.4	Exotherms Dependent on Volume of Material	58
11.6.3	Mold Release Agents	50	16	INHIBITION	58
11.7	Mixing and Preparing Materials	50	16.1	Interfacial Inhibition	59
11.7.1	Hand Mixing (Cups)	50	16.2	Mild Inhibition	59
11.7.2	Automated Mixing on the Fly	52	16.3	Gross Inhibition	59
11.7.3	Proper Mix Ratio	52	16.4	Location of Inhibition	59
11.7.4	Vacuum Degassing of Mixed Materials	52	16.5	Causes of Inhibition	59
12	DISPENSING	53	16.6	Compatibility Check List	59
12.1	Pouring	53	16.7	Adhesion	60
12.1.1	Positive Displacement Piston Metering	54	16.8	Solder Mask/Substrate	60
12.1.2	Injection Molding	54	16.9	Components	60
12.1.3	Dipping	54	16.10	Surface Finishes	60
12.1.4	Brushing	54	16.11	Cleanliness	60
12.1.5	Spraying	54	16.12	Interlayer Adhesion	61
12.1.6	Dispensing Under Vacuum	54	17	POTTING/COATING OVER ENCAPSULANTS	61
12.2	After Dispensing	54	17.1	Mixed Hardness Systems	61
12.2.1	Degassing the Assembly	54	17.2	Interlayer Adhesion	61
12.2.2	Wait Periods and Exotherms	54	17.3	Compatibility with Process Materials	61
13	CURE MECHANISMS	55	18	MATERIALS RELATED TO LEAD FREE PROCESSING THAT AFFECT P/E	62
13.1	Heat Cure	55	18.1	Materials that Leach from Substrates at Higher Temperature	62
13.2	Heat Accelerable	55	18.2	Changes in Surface Energy of Substrates	62
13.3	Vacuum Bake	55	18.3	Glycols from Solder Masks	62
13.4	Under Pressure	55	18.4	Flux/Paste Residues are More Aggressive	62
13.5	Humidity	55			
13.6	Room Temperature Cure	55			
13.7	UV/Visible Light	55			
13.8	Catalytic Cure	56			
13.9	Cure Process Considerations	56			
13.10	Cure By-Products	56			

19 REWORK AND REPAIR PROCESSES	62
19.1 De-Masking	62
19.2 Rework and Repair Procedures	62
19.3 ESD/EOS Controls	62

20 REGULATIONS

20.1 RoHS	63
20.2 REACH	63
20.3 TSCA	63

APPENDIX A MSDS**APPENDIX B Reference Documents****Figures**

Figure 1-1 Potting Material in a Syringe	2
Figure 1-2 Injecting Potting Material onto a Module (Encapsulation)	2
Figure 1-3 Encapsulation Curing in Oven	2
Figure 1-4 Encapsulation of Pins Inside Connector Shell	2
Figure 1-5 Example of a Silica Filler being added to Epoxy as a Percentage by Weight	3
Figure 1-6 Cut-Away View of Glob Top Wire Bond Encapsulation (Image Courtesy of Loctite)	3
Figure 1-7 Variety of Pigments	3
Figure 1-8 Example of Encapsulated CCA Inside of Container (Potting Shell)	4
Figure 1-9 Results of Poor Adhesion	4
Figure 1-10 Dispensing assembly with AOI camera on the left. (Image Courtesy of GDP Global)	5
Figure 1-11 Backfilled Connector	5
Figure 1-12 Cure Oven	5
Figure 1-13 Assembly which has been through the Dam and Fill process (Image courtesy of ELANTAS PDG)	6
Figure 1-14 Unit which is capable of degassing an assembly	6
Figure 1-15 Bell Jar Vacuum Pump (Used for evacuating air bubbles from mixed epoxies prior to or after encapsulation)	7
Figure 1-16 Dip Coating Machine (Image Courtesy of Specialty Coating Systems)	7
Figure 1-17 Dispensing Via Manual Syringe	7
Figure 1-18 Dispensing Via Air Assisted Caulking Gun	7
Figure 1-19 Dispensing Via Time/Pressure Controlled Manual Dispenser (Image Courtesy of Nordson EFD)	7
Figure 1-20 Dispensing via Fully Automatic X-Y-Z Axis Computer Controlled Systems (Image Courtesy of GPD Global)	8
Figure 1-21 Examples of Dispensing Valves (Image Courtesy of Nordson EFD)	8

Figure 1-22 Potted Assembly	8
Figure 1-23 Glob-Topped Assembly (Image Courtesy of Loctite)	9
Figure 1-24 Cured Witness Sample of Epoxy (1cc)	9
Figure 1-25 Shore D Durometer Being Used to Measure Hardness of Witness Sample (Image Courtesy of Check Line)	9
Figure 1-26 Conveyor	10
Figure 1-27 Metered Mixer. This system will dispense a two-part epoxy mixed to the desired (set) ratio. Shown with the reservoir covers removed for clarity.	10
Figure 1-28 Examples of Reservoirs	11
Figure 1-29 Examples of Static Mixer Tubes (Image Courtesy of Nordson EFD)	12
Figure 1-30 Vacuum Encapsulation	12
Figure 1-31 Vacuum Pump	13
Figure 1-32 Example of a Scale	13
Figure 1-33 Close Up of One Section of a Work Cell	13
Figure 3-1 Chemical Structures for the 4,4 Isomer of MDI (also found as the 2,4 Isomer) and the 2,4 Isomer of TDI (also present as the 2,6 Isomer)	15
Figure 3-2 Lap Shear	19
Figure 3-3 Young's Modulus Stress/Strain Curve	20
Figure 3-4 Sample DSC	21
Figure 3-5 Sample TMA	22
Figure 3-6 Viscometer (Cone and Plate)	24
Figure 3-7 Rotating Spindle Viscometer	24
Figure 8-1 Filters	45
Figure 10-1 Populated Assembly	46
Figure 11-1 Flux Residue	47
Figure 11-2 Mix Cup with 2-Part, Unmixed Epoxy	50
Figure 11-3 Mixing Spatulas	51
Figure 11-4 Mixing with a Spatula	51
Figure 11-5 Static Mixing Tubes (Image Courtesy of Nordson EFD)	51
Figure 11-6 Bulk Reservoirs	52
Figure 11-7 Degassing of Material	52
Figure 12-1 Dip Coating an Assembly (Image Courtesy of Specialty Coating Systems)	54
Figure 13-1 Example of an Oven Capable of Vacuum Degassing	55
Figure 13-2 Typical UV Curing System (Image Courtesy of Dymax Corporation)	55
Figure 14-1 Scale	57

Tables

Table 3-1 Thermal Conductivity	18
Table 6-1 Temperature Classification of Automotive Industry Class	40
Table 16-1 Material Compatibility (some known sources of inhibition)	59

Guidelines for Design, Selection and Application of Potting Materials and Encapsulation Processes Used for Electronics Printed Circuit Board Assembly

1 SCOPE

1.1 Introduction Potting and Encapsulation is a very broad topic and can include anything from toys to high power applications. There is no clear industry-wide definition that would decipher distinct differences between either. This document will cover known terminology associated with this process as related only to electronic printed circuit board assembly and protection.

Encapsulation is used in conjunction with various types of assemblies and components, e.g., printed circuit assemblies (PCA), connectors, transformers, etc. The designer and the users of encapsulation for electronics applications must be aware of the properties of various types of encapsulation and their interactions with assemblies and components in order to protect them in the end-use environment for the design-life of the end item. This document has been written to assist the designers and users of encapsulation in understanding the characteristics of various encapsulation types, as well as the factors that can modify those characteristics when the encapsulation is applied. Understanding and accounting for these materials can ensure the reliability and function of electronics.

1.2 Purpose The terms “potting” and “encapsulation” (P/E) can be confusing terms and be interpreted to mean many things in various industry assembly processes.

The purpose of this handbook is to assist the individuals who must either make choices regarding encapsulation or who must work in encapsulation operations and to provide guidelines for the design, selection, and application of Potting and Encapsulation as it pertains to electronic components and printed board assembly only.

1.3 Scope For the purpose of this document potting can be thought of as the “liquid material” and encapsulation can be interpreted as the application process and cure. Please keep in mind however that the terms potting and encapsulation are commonly interchanged with each other in a variety of electronic protection processes.

Encapsulation, for the purpose of this document, is defined as a potting material, e.g., epoxy, silicone, urethane that is applied in a liquid state and subsequently processed (i.e., cured) to form a rigid or rubber-like state.

Processing characteristics and curing mechanisms are dependent on the encapsulation chemistries used. The desired performance characteristics of an encapsulation depend on the application and must be considered when selecting encapsulation materials and encapsulation processes. Users are urged to consult the suppliers for detailed technical data.

This guide enables a user to select an encapsulant based on industry experience and pertinent considerations. It is the responsibility of the user to determine the suitability, via appropriate testing, of the selected encapsulation and application method for a particular end use application.

Encapsulation may have several functions depending on the type of application. The most common are to:

- Inhibit current leakage and short circuit due to humidity and contamination from service environment.
- Inhibit corrosion.
- Improve fatigue life of solder joints to leadless packages.
- Inhibit arcing and corona, in particular for high voltage applications.
- Provide mechanical support and to prevent damages due to mechanical shock and vibration.
- Provide a mitigation method for the growth of tin-whiskers.

1.4 Terms and Definitions All terms and definitions used throughout this handbook are in accordance with IPC-T-50. Definitions noted with an asterisk (*) are quoted from IPC-T-50. Other specific terms and definitions, essential for the discussion of the subject, are provided below.