First edition 2003-03-01

# Statistical methods — Guidelines for the evaluation of conformity with specified requirements —

### Part 1:

## **General principles**

Méthodes statistiques — Lignes directrices pour l'évaluation de la conformité à des exigences spécifiques —

Partie 1: Principes généraux



#### **PDF** disclaimer

This PDF file may contain embedded typefaces. In accordance with Adobe's licensing policy, this file may be printed or viewed but shall not be edited unless the typefaces which are embedded are licensed to and installed on the computer performing the editing. In downloading this file, parties accept therein the responsibility of not infringing Adobe's licensing policy. The ISO Central Secretariat accepts no liability in this area.

Adobe is a trademark of Adobe Systems Incorporated.

Details of the software products used to create this PDF file can be found in the General Info relative to the file; the PDF-creation parameters were optimized for printing. Every care has been taken to ensure that the file is suitable for use by ISO member bodies. In the unlikely event that a problem relating to it is found, please inform the Central Secretariat at the address given below.

#### © ISO 2003

All rights reserved. Unless otherwise specified, no part of this publication may be reproduced or utilized in any form or by any means, electronic or mechanical, including photocopying and microfilm, without permission in writing from either ISO at the address below or ISO's member body in the country of the requester.

ISO copyright office
Case postale 56 • CH-1211 Geneva 20
Tel. + 41 22 749 01 11
Fax + 41 22 749 09 47
E-mail copyright@iso.org
Web www.iso.org

Published in Switzerland

Contents		Page
Fore	eword	iv
Intro	oduction	<b>v</b>
1	Scope	1
2	Normative references	1
3	Terms and definitions	2
4	Specification of requirements	3
5	Uncertainty of results	5
6	Assessing conformity to requirements	5
7	Reporting the result of the conformity assessment	9
Anne	ex A (informative) Examples of entities and quantifiable characteristics	10
Anne	ex B (informative) Examples	11
Ribliography		15

#### **Foreword**

ISO (the International Organization for Standardization) is a worldwide federation of national standards bodies (ISO member bodies). The work of preparing International Standards is normally carried out through ISO technical committees. Each member body interested in a subject for which a technical committee has been established has the right to be represented on that committee. International organizations, governmental and non-governmental, in liaison with ISO, also take part in the work. ISO collaborates closely with the International Electrotechnical Commission (IEC) on all matters of electrotechnical standardization.

International Standards are drafted in accordance with the rules given in the ISO/IEC Directives, Part 2.

The main task of technical committees is to prepare International Standards. Draft International Standards adopted by the technical committees are circulated to the member bodies for voting. Publication as an International Standard requires approval by at least 75 % of the member bodies casting a vote.

Attention is drawn to the possibility that some of the elements of this document may be the subject of patent rights. ISO shall not be held responsible for identifying any or all such patent rights.

ISO 10576-1 was prepared by Technical Committee ISO/TC 69, *Applications of statistical methods*, Subcommittee SC 6, *Measurement methods and results*.

#### Introduction

Conformity testing is a systematic examination of the extent to which an entity conforms to a specified criterion. The objective is to provide assurance of conformity, either in the form of a supplier's declaration, or of a third party certification (see ISO/IEC Guide 2, 1996). A specification is usually formulated as a single limiting value, LV, or as a set of (upper and lower) limiting values for a measurable characteristic. When the specification refers, e.g. to health-related characteristics, the limiting values are sometimes termed *threshold limit value* TLV, or *permissible exposure limits*, PEL.

Whenever conformity testing involves measurement or sampling uncertainty, it is common practice to invoke elements from the theory of statistical hypothesis testing to provide a formal procedure. With the knowledge of the measurement procedure and of its behaviour with regard to the uncertainty of its outcomes it is possible to estimate and minimize the risk of making erroneous declarations of conformity or non-conformity to the specifications. An operational way of formulating requirements of assurance is to require that whenever an entity has been declared to be conforming, this status should not be altered by subsequent measurements on the entity, even using more precise measurements (e.g. a better measurement method or technology). Or, in terms of risks, the risk of (erroneously) declaring a non-conforming entity to be conforming shall be small. Consequently, it is necessary to tolerate a (large) risk that an entity, which only marginally conforms, will fail to be declared as conforming. Applying a two-stage procedure instead of a one-stage procedure will in general decrease this risk.

When a test for non-conformity is performed, similar considerations are valid.

In this part of ISO 10576, this issue is addressed in respect of the construction of specifications and the testing of output from production or service processes for conformity and non-conformity with specifications.

The problems of how to determine the relevant components of uncertainty and how to estimate them will be addressed in a future ISO 10576-2.

Because of the apparent similarity to acceptance sampling procedures, it is sometimes seen that acceptance sampling plans are used in conformity testing activities. Acceptance sampling and conformity testing activities both utilize elements of hypothesis testing (see e.g. ISO 2854<sup>[2]</sup>). It is, however, important to realise that the objectives of the two activities are fundamentally different and in particular the two activities imply different approaches to the risk involved (see ISO 2854<sup>[2]</sup>) and Holst<sup>[9]</sup>).