First edition 2004-11-01

# Condition monitoring and diagnostics of machines — Prognostics —

Part 1: General guidelines

Surveillance et diagnostic des machines — Pronostic — Partie 1: Lignes directrices générales



Reference number ISO 13381-1:2004(E)

#### **PDF disclaimer**

This PDF file may contain embedded typefaces. In accordance with Adobe's licensing policy, this file may be printed or viewed but shall not be edited unless the typefaces which are embedded are licensed to and installed on the computer performing the editing. In downloading this file, parties accept therein the responsibility of not infringing Adobe's licensing policy. The ISO Central Secretariat accepts no liability in this area.

Adobe is a trademark of Adobe Systems Incorporated.

Details of the software products used to create this PDF file can be found in the General Info relative to the file; the PDF-creation parameters were optimized for printing. Every care has been taken to ensure that the file is suitable for use by ISO member bodies. In the unlikely event that a problem relating to it is found, please inform the Central Secretariat at the address given below.

© ISO 2004

All rights reserved. Unless otherwise specified, no part of this publication may be reproduced or utilized in any form or by any means, electronic or mechanical, including photocopying and microfilm, without permission in writing from either ISO at the address below or ISO's member body in the country of the requester.

ISO copyright office Case postale 56 • CH-1211 Geneva 20 Tel. + 41 22 749 01 11 Fax + 41 22 749 09 47 E-mail copyright@iso.org Web www.iso.org Published in Switzerland

# Contents

Forewo	ord	iv
Introduction		v
1	Scope	1
2	Normative references	1
3	Terms and definitions	1
4	Pre-requisite data required	2
5 5.1 5.2	Prognosis concepts Basic concepts Influence factors	3
5.3 5.4 5.5 5.6	Setting alert, alarm and trip (shut-down) limits Multiple parameter analysis Initiation criteria Prognosis of failure mode initiation	6 8 10
6 6.1 6.2	Failure and deterioration models used for prognostics Modelling concepts for failure mode behaviour Modelling types	12 12
7 7.1 7.2	Generic prognosis process Prognosis confidence levels Prognosis process	13
8	Prognosis report	15
Annex	A (normative) Condition monitoring flowchart	16
Annex B (normative) Example of the determination of the confidence level of a prognosis		17
	C (informative) Failure modelling techniques	
	Bibliography	

## Foreword

ISO (the International Organization for Standardization) is a worldwide federation of national standards bodies (ISO member bodies). The work of preparing International Standards is normally carried out through ISO technical committees. Each member body interested in a subject for which a technical committee has been established has the right to be represented on that committee. International organizations, governmental and non-governmental, in liaison with ISO, also take part in the work. ISO collaborates closely with the International Electrotechnical Commission (IEC) on all matters of electrotechnical standardization.

International Standards are drafted in accordance with the rules given in the ISO/IEC Directives, Part 2.

The main task of technical committees is to prepare International Standards. Draft International Standards adopted by the technical committees are circulated to the member bodies for voting. Publication as an International Standard requires approval by at least 75 % of the member bodies casting a vote.

Attention is drawn to the possibility that some of the elements of this document may be the subject of patent rights. ISO shall not be held responsible for identifying any or all such patent rights.

ISO 13381-1 was prepared by Technical Committee ISO/TC 108, *Mechanical vibration and shock*, Subcommittee SC 5, *Condition monitoring and diagnostics of machines*.

ISO 13381 consists of the following parts, under the general title *Condition monitoring and diagnostics of machines* — *Prognostics*:

#### — Part 1: General guidelines

Future parts are under preparation and are intended to outline modelling methods and techniques applicable to prognostics.

### Introduction

The complete process of machine condition monitoring consists of five distinct phases, as follows:

- detection of problems (deviations from normal conditions);
- diagnosis of the faults and their causes;
- prognosis of future fault progression;
- recommendation of actions;
- post-mortems.

As far as the prognosis of machine health is concerned (which demands prophecies of future machine integrity and deterioration), there can be no exactitude in the process requiring statistical or testimonial approaches to be adopted. Standardization in prognosis of machine health therefore embodies guidelines, approaches and concepts rather than procedures or standard methodologies.

Prognosis of future fault progressions requires foreknowledge of the probable failure modes, future duties to which the machine will/might be subjected, and a thorough understanding of the relationships between failure modes and operating conditions. This can demand the collection of previous duty and cumulative duty parameters, along with condition and performance parameters, prior to extrapolations, projections and forecasts.

Also, there are a growing number of models for damage initiation and damage progression. Prognosis processes need to accommodate these and future analytical damage models.

As computing power increases and multiple parameter analysis becomes a reality, the ability to predict the initiation of a failure mode is not inconceivable if the initiation criteria, expressed as a set of parameter values for a given mode, are known as well as their future behaviour for a given set of conditions.