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The procedures used to develop this document and those intended for its further maintenance are described in the ISO/IEC Directives, Part 1. In particular, the different approval criteria needed for the different types of ISO documents should be noted. This document was drafted in accordance with the editorial rules of the ISO/IEC Directives, Part 2 (see www.iso.org/directives).

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For an explanation of the voluntary nature of standards, the meaning of ISO specific terms and expressions related to conformity assessment, as well as information about ISO’s adherence to the World Trade Organization (WTO) principles in the Technical Barriers to Trade (TBT) see www.iso.org/iso/foreword.html.

This document was prepared by Technical Committee ISO/TC 292, Security and resilience.

This second edition cancels and replaces the first edition (ISO 22301:2012), which has been technically revised. The main changes compared with the previous edition are as follows:

— ISO’s requirements for management system standards, which have evolved since 2012, have been applied;

— requirements have been clarified, with no new requirements added;

— discipline-specific business continuity requirements are now almost entirely within Clause 8;

— Clause 8 has been re-structured to provide a clearer understanding of the key requirements;

— a number of discipline-specific business continuity terms have been modified to improve clarity and to reflect current thinking.

Any feedback or questions on this document should be directed to the user's national standards body. A complete listing of these bodies can be found at www.iso.org/members.html.
Introduction

0.1 General

This document specifies the structure and requirements for implementing and maintaining a business continuity management system (BCMS) that develops business continuity appropriate to the amount and type of impact that the organization may or may not accept following a disruption.

The outcomes of maintaining a BCMS are shaped by the organization's legal, regulatory, organizational and industry requirements, products and services provided, processes employed, size and structure of the organization, and the requirements of its interested parties.

A BCMS emphasizes the importance of:

— understanding the organization's needs and the necessity for establishing business continuity policies and objectives;
— operating and maintaining processes, capabilities and response structures for ensuring the organization will survive disruptions;
— monitoring and reviewing the performance and effectiveness of the BCMS;
— continual improvement based on qualitative and quantitative measures.

A BCMS, like any other management system, includes the following components:

a) a policy;
b) competent people with defined responsibilities;
c) management processes relating to:
   1) policy;
   2) planning;
   3) implementation and operation;
   4) performance assessment;
   5) management review;
   6) continual improvement;
d) documented information supporting operational control and enabling performance evaluation.

0.2 Benefits of a business continuity management system

The purpose of a BCMS is to prepare for, provide and maintain controls and capabilities for managing an organization's overall ability to continue to operate during disruptions. In achieving this, the organization is:

a) from a business perspective:
   1) supporting its strategic objectives;
   2) creating a competitive advantage;
   3) protecting and enhancing its reputation and credibility;
4) contributing to organizational resilience;

b) from a financial perspective:
   1) reducing legal and financial exposure;
   2) reducing direct and indirect costs of disruptions;

c) from the perspective of interested parties:
   1) protecting life, property and the environment;
   2) considering the expectations of interested parties;
   3) providing confidence in the organization's ability to succeed;

d) from an internal processes perspective:
   1) improving its capability to remain effective during disruptions;
   2) demonstrating proactive control of risks effectively and efficiently;
   3) addressing operational vulnerabilities.

0.3 Plan-Do-Check-Act (PDCA) cycle

This document applies the Plan (establish), Do (implement and operate), Check (monitor and review) and Act (maintain and improve) (PDCA) cycle to implement, maintain and continually improve the effectiveness of an organization's BCMS.

This ensures a degree of consistency with other management systems standards, such as ISO 9001, ISO 14001, ISO/IEC 20000-1, ISO/IEC 27001 and ISO 28000, thereby supporting consistent and integrated implementation and operation with related management systems.

In accordance with the PDCA cycle, Clauses 4 to 10 cover the following components.

— Clause 4 introduces the requirements necessary to establish the context of the BCMS applicable to the organization, as well as needs, requirements and scope.

— Clause 5 summarizes the requirements specific to top management's role in the BCMS, and how leadership articulates its expectations to the organization via a policy statement.

— Clause 6 describes the requirements for establishing strategic objectives and guiding principles for the BCMS as a whole.

— Clause 7 supports BCMS operations related to establishing competence and communication on a recurring/as-needed basis with interested parties, while documenting, controlling, maintaining and retaining required documented information.

— Clause 8 defines business continuity needs, determines how to address them and develops procedures to manage the organization during a disruption.

— Clause 9 summarizes the requirements necessary to measure business continuity performance, BCMS conformity with this document, and to conduct management review.

— Clause 10 identifies and acts on BCMS nonconformity and continual improvement through corrective action.

0.5 Contents of this document

This document conforms to ISO’s requirements for management system standards. These requirements include a high level structure, identical core text and common terms with core definitions, designed to benefit users implementing multiple ISO management system standards.
This document does not include requirements specific to other management systems, though its elements can be aligned or integrated with those of other management systems.

This document contains requirements that can be used by an organization to implement a BCMS and to assess conformity. An organization that wishes to demonstrate conformity to this document can do so by:

— making a self-determination and self-declaration; or
— seeking confirmation of its conformity by parties having an interest in the organization, such as customers; or
— seeking confirmation of its self-declaration by a party external to the organization; or
— seeking certification/registration of its BCMS by an external organization.

Clauses 1 to 3 in this document set out the scope, normative references and terms and definitions that apply to the use of this document. Clauses 4 to 10 contain the requirements to be used to assess conformity to this document.

In this document, the following verbal forms are used:

a) "shall" indicates a requirement;
b) "should" indicates a recommendation;
c) "may" indicates a permission;
d) "can" indicates a possibility or a capability.

Information marked as "NOTE" is for guidance in understanding or clarifying the associated requirement. "Notes to entry" used in Clause 3 provide additional information that supplements the terminological data and can contain provisions relating to the use of a term.