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## **Information technology — Security techniques — Information security management guidelines for financial services**

*Technologies de l'information — Techniques de sécurité — Lignes directrices pour le management de la sécurité de l'information pour les services financiers*

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## Foreword

ISO (the International Organization for Standardization) is a worldwide federation of national standards bodies (ISO member bodies). The work of preparing International Standards is normally carried out through ISO technical committees. Each member body interested in a subject for which a technical committee has been established has the right to be represented on that committee. International organizations, governmental and non-governmental, in liaison with ISO, also take part in the work. ISO collaborates closely with the International Electrotechnical Commission (IEC) on all matters of electrotechnical standardization.

International Standards are drafted in accordance with the rules given in the ISO/IEC Directives, Part 2.

The main task of technical committees is to prepare International Standards. Draft International Standards adopted by the technical committees are circulated to the member bodies for voting. Publication as an International Standard requires approval by at least 75 % of the member bodies casting a vote.

In exceptional circumstances, when a technical committee has collected data of a different kind from that which is normally published as an International Standard ("state of the art", for example), it may decide by a simple majority vote of its participating members to publish a Technical Report. A Technical Report is entirely informative in nature and does not have to be reviewed until the data it provides are considered to be no longer valid or useful.

Attention is drawn to the possibility that some of the elements of this document may be the subject of patent rights. ISO shall not be held responsible for identifying any or all such patent rights.

ISO/IEC TR 27015 was prepared by Joint Technical Committee ISO/IEC JTC 1, *Information technology*, Subcommittee 27, *IT Security techniques*.

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## Introduction

Continuous developments in information technology have led to an increased reliance by organizations providing financial services on their assets processing information. Consequently, management, customers and regulators have heightened expectations regarding an effective information security protection of these assets and of processed information.

Whereas ISO/IEC 27001:2005 and ISO/IEC 27002:2005 address information security management and controls, they do so in a generalised form.

Organizations providing financial services have specific information security needs and constraints within their respective organization or while performing financial transactions with business partners, which require a high level of reliance between involved stakeholders.

This technical report is a supplement to ISO/IEC 27000 family of International Standards for use by organizations providing financial services. In particular, the guidance contained in this technical report complements and is in addition to information security controls defined in ISO/IEC 27002:2005.

The term "financial services" should be understood as services in the management, investment, transfer, or lending of money which could be provided by organizations offering their fiscal expertise rather than selling physical products (i.e. anyone in the "business of money").

In addition to the implementation of both ISO/IEC 27001:2005 and ISO/IEC 27002:2005, by using this technical report, organizations providing financial services may establish a higher level of trust within their organization, with customers and with business partners, in particular, when it can be demonstrated that they have adopted sector-specific guidance for information security management.

This technical report reflects the state of art and is not intended for certification purposes.