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SPECIFICATION

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Security management systems for the supply chain — Guidelines for the implementation of ISO 28000 —

Part 2: Guidelines for adopting ISO 28000 for use in medium and small seaport operations

*Systèmes de management de la sûreté pour la chaîne
d'approvisionnement — Lignes directrices pour la mise en application
de l'ISO 28000 —*

*Partie 2: Lignes directrices pour l'adoption de l'ISO 28000 lors de
l'utilisation dans les opérations portuaires petites et moyennes*



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Contents

Page

Foreword	v
Introduction.....	vi
1 Overview.....	1
1.1 Objective	1
1.2 Scope.....	1
1.3 Background.....	1
1.4 ISO 28000, 4.3.1 requirements for security risk assessment	2
1.5 Risk assessment requirements	3
1.5.1 General	3
1.5.2 Medium - small seaport risk assessment considerations.....	3
1.5.3 Intent.....	4
1.5.4 The process	4
1.5.5 Expected inputs.....	5
1.5.6 Expected output	5
1.5.7 Certification process.....	6
2 Supply chain seaport risk areas	6
2.1 General	6
2.2 Accidents - port operations.....	6
2.2.1 Nature of risk	6
2.2.2 Risk assessment	7
2.2.3 Mitigation strategies.....	7
2.2.4 Recovery guidelines.....	7
2.3 Criminal activity risks	7
2.3.1 Nature of risk	7
2.3.2 Risk assessment	8
2.3.3 Mitigation strategies.....	8
2.3.4 Recovery guidelines.....	9
2.4 Fire risks.....	9
2.4.1 Nature of risk	9
2.4.2 Risk assessment:	9
2.4.3 Mitigation strategies.....	10
2.4.4 Recovery guidelines.....	10
2.5 Stakeholder financial risks	10
2.5.1 Nature of risks	10
2.5.2 Risk assessment	11
2.5.3 Mitigation strategies.....	11
2.5.4 Recovery guidelines:	11
2.6 Labor related risks	11
2.6.1 Nature of risks	11
2.6.2 Risk assessment	12
2.6.3 Mitigation strategy.....	12
2.6.4 Recovery guidelines.....	12
2.7 Mechanical/equipment breakdown risks	12
2.7.1 Nature of risks	12
2.7.2 Risk assessment	13
2.7.3 Mitigation strategies.....	13
2.7.4 Recovery guidelines.....	13
2.8 Political and governmental risks	13
2.8.1 Nature of risks	13
2.8.2 Risk assessment	14
2.8.3 Mitigation strategies.....	14

This is a preview of "ISO/PAS 28004-2:2012". [Click here to purchase the full version from the ANSI store.](#)

2.8.4	Recovery guidelines.....	14
2.9	Terrorist risks.....	15
2.9.1	Nature of risks.....	15
2.9.2	Risk assessment.....	15
2.9.3	Mitigation strategy.....	15
2.9.4	Recovery guidelines.....	16
2.10	Weather related risks	16
2.10.1	Nature of risks.....	16
2.10.2	Risk assessment.....	17
2.10.3	Mitigation strategies.....	17
2.10.4	Recovery guidelines.....	17
3	Seaport security plan evaluation criteria and rating process.....	18
3.1	General.....	18
3.2	Security plan evaluation process and procedures	18
3.3	Evaluation criteria for assessing conformance.....	18
3.4	Use of ISO 20858 security evaluation and assessment procedures	19
3.5	Security plan assessment rating system	20

This is a preview of "ISO/PAS 28004-2:2012". [Click here to purchase the full version from the ANSI store.](#)

Foreword

ISO (the International Organization for Standardization) is a worldwide federation of national standards bodies (ISO member bodies). The work of preparing International Standards is normally carried out through ISO technical committees. Each member body interested in a subject for which a technical committee has been established has the right to be represented on that committee. International organizations, governmental and non-governmental, in liaison with ISO, also take part in the work. ISO collaborates closely with the International Electrotechnical Commission (IEC) on all matters of electrotechnical standardization.

International Standards are drafted in accordance with the rules given in the ISO/IEC Directives, Part 2.

The main task of technical committees is to prepare International Standards. Draft International Standards adopted by the technical committees are circulated to the member bodies for voting. Publication as an International Standard requires approval by at least 75 % of the member bodies casting a vote.

In other circumstances, particularly when there is an urgent market requirement for such documents, a technical committee may decide to publish other types of document:

- an ISO Publicly Available Specification (ISO/PAS) represents an agreement between technical experts in an ISO working group and is accepted for publication if it is approved by more than 50 % of the members of the parent committee casting a vote;
- an ISO Technical Specification (ISO/TS) represents an agreement between the members of a technical committee and is accepted for publication if it is approved by 2/3 of the members of the committee casting a vote.

An ISO/PAS or ISO/TS is reviewed after three years in order to decide whether it will be confirmed for a further three years, revised to become an International Standard, or withdrawn. If the ISO/PAS or ISO/TS is confirmed, it is reviewed again after a further three years, at which time it must either be transformed into an International Standard or be withdrawn.

Attention is drawn to the possibility that some of the elements of this document may be the subject of patent rights. ISO shall not be held responsible for identifying any or all such patent rights.

ISO/PAS 28004-2 was prepared by Technical Committee ISO/TC 8, *Ships and marine technology*.

ISO/PAS 28004 consists of the following parts, under the general title *Security management systems for the supply chain — Guidelines for the implementation of ISO 28000*:

- *Part 2: Guidelines for adopting ISO 28000 for use in medium and small seaport operations*
- *Part 3: Additional specific guidance for adopting ISO 28000 for use by medium and small businesses (other than marine ports)*
- *Part 4: Additional specific guidance on implementing ISO 28000 if compliance with ISO 28001 is a management objective*

Introduction

"ISO 28000:2007, *Specification for security management systems for the supply chain*", and the guidance contained in ISO 28004, have been developed in response to the need for a recognizable supply chain management system evaluation criteria (validation process) against which their security management systems can be assessed and certified for determining conformance with ISO 28000 and ISO 28004. The guidance currently contained in ISO 28004 is designed to assist organizations adopting ISO 28000. Because the types of organizations that can use ISO 28000 are vast, the guidance provided in ISO 28004 is general in nature. As a result, some smaller organizations have had difficulty in defining the scope of measures needed to address each of the requirements established in ISO 28000. Therefore, the purpose of this part of ISO/PAS 28004 is to provide guidance and amplifying information that can be used by Medium and Small seaports to assist them in defining the scope of validation and verification measures needed to comply with the security provisions specified in ISO 28000 and ISO 28004.

ISO 28000 requires that stakeholder organizations evaluate the capabilities of their security protection management plans and procedures through periodic reviews, testing, post-incident reports, and training exercises to measure the effectiveness of their installed security protection systems and methods. It is critical to the overall continued end-to-end safety of the supply chain that stakeholder organizations ensure the transportation industry that they have sufficient safeguards in place to protect the integrity of the supply chain while those goods are under their direct control. The failure by one of the stakeholder organizations to protect the supply chain from any one of the global threats and operational risks can severely impact the integrity of the system and erode the confidence of those who depend on the secure transportation of their valuable goods.

The Medium and Small seaport stakeholder organizations are an integral part of the supply transportation system and will be required to conduct these performance capabilities reviews and verify to the transportation industry that they are in conformance with relevant legislation and regulations, industry best practices and conformance with its own security policy and objectives based on the identified threats and risks to their operations. The information contained in this part of ISO/PAS 28004 provides guidance and criteria for evaluating the quality of the seaport security management plans developed in accordance with ISO 28000 to protect the integrity of the supply chain. The amplifying information is designed to enhance, but not alter, the general guidance currently specified in ISO 28004. No alterations to ISO 28004, other than the addition of supplements, will be undertaken.

Relationship with ISO Relevant Technical Standards

There are several established and pending related ISO technical standards that when coupled with this part of ISO/PAS 28004, provide additional guidance and instructions for the seaport operators for establishing their security management plans and evaluating the capability of those plans to protect the integrity of the supply chain cargo while under their direct control. These standards, ISO 20858, ISO 28001, ISO 28002, ISO 28003, including ISO 28004 are referenced in this part of ISO/PAS 28004 and in order to provide specific guidance steps to Operators. The relevance of these standards to ISO 28000 is presented in the following Table.

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ISO Technical Standard	Technical Description
ISO 28004-1	Provides guidance to certifying bodies on assessing conformance of an organization with the requirements of ISO 28000
ISO 20858	Provides a professional interpretation of the IMO ISPS for port facility security and guidance for evaluating the Port security management plans and installed operational procedures.
ISO 28001	Provides security requirements addresses the core security requirements of the World Customs Organization (WCO) Authorized Economic Operator Program
ISO 28002	Provides guidance on establishing a policy to enhance the resilience of an organization's supply chain
ISO 28003	Provides guidance to certifying bodies on assessing conformance of an organization with the requirements of ISO 28000

Disclaimer

This part of ISO/PAS 28004 does not purport to include all necessary provisions of a contract between supply chain operators, suppliers and stakeholders. Users are responsible for its correct application. Conformance with this part of ISO/PAS 28004 does not of itself confer immunity from legal obligations.