ISSN: 1041-5653

ANSI/NISO Z39.14-1997

Revision of ANSI Z39.14-1979 (R1987)

Guidelines for Abstracts

Abstract: Guidance is presented for authors and editors preparing abstracts that represent the content of texts reporting on the results of experimental work or descriptive or discursive studies. Suggestions for the placement of abstracts within publications or other media are given, along with recommendations for abstracting specific documents. Types of abstracts and their content are described. Also included are suggestions on the style of abstracts and a list of selected readings on the subject of abstracting. Examples of abstracts are appended.

An American National Standard

Developed by the

National Information Standards Organization

Approved November 27, 1996 by the American National Standards Institute



Bethesda, Maryland, U.S.A.

Published by NISO Press 4733 Bethesda Avenue Bethesda, MD 20814

Copyright ©1997 by the National Information Standards Organization

All rights reserved under International and Pan-American Copyright Conventions. No part of this book may be reproduced or transmitted in any form or by any means, electronic or mechanical, including photocopy, recording, or any information storage or retrieval system, without prior permission in writing from the publisher. All inquiries should be addressed to NISO Press, 4733 Bethesda Avenue, Bethesda, MD 20814.

Printed in the United States of America

ISSN: 1041-5653 National Information Standards series

ISBN: 1-880124-31-9

 ∞

This paper meets the requirements of ANSI/NISO Z39.48-1992 (Permanence of Paper).

Library of Congress Cataloging-in-Publication Data

National Information Standards Organization (U.S.)

Guidelines for abstracts.

p. cm. — (National information standards series, ISSN 1041-5653)

"An American national standard developed by the National Information Standards Organization, approved November 27, 1996 by the American National Standards Institute."

"ANSI/NISO Z39.14-1997."

ISBN 1-880124-31-9

1. Abstracting—Standards—United States. I. American National Standards Institute. II. Title. III. Series.

Z695.9.N355 1997

025.4'028-dc21

97-10459

ANSI/NISO Z39.14-1997

Contents

For		d	
1.	Intro	oduction	1
	1.1	Purpose	1
		Scope	
2.	Referenced Standards		
3.		nitions	
4.	Purp	oose, Location, and Authorship	2
	4.1	Purpose	2
	4.2	Location	2
		Authorship	
5.	Reco	ommendations for Specific Documents	. 2
	5.1	Journals	. 2
	5.2	Monographs, Books, Proceedings, and Technical Reports	. 2
		Restricted-Access Documents	
		Patents	
6.	Тур	es of Abstracts and Their Content	. <i>3</i>
		Informative Abstracts Indicative Abstracts	
		Content Elements	
	0.3	6.3.1 Purpose	
		6.3.2 Methodology	. 3
		6.3.3 Results	. 3
		6.3.4 Conclusions	
		6.3.5 Collateral and Other Information	
7	Ctul	Style	
/.	7 1	Length	. 4
	7.2	Paragraphing and Structured Abstracts	. 4
	7.3	Complete Sentences	. 4
	7.4	First Sentences	. 4
		Use of Active Verbs	
	7.6	Terminology	. 4
	7.7	Nontextual Materials	
	7.8	Treatment of Added Details	. 5
Re	ferenc	ces	. 5
Sel	ected.	Readings	. 5
Ar	pend	ix	
· - r	Exa	mples of Abstracts	. 7
	I.	Informative Abstracts	. 7
	II.	Indicative Abstracts	. 9
	III.	Indicative-Informative Abstracts	
	IV.	Abstracts of Monographs and Chapters	11
	V.	Less Common Types of Abstracts	$\frac{12}{12}$
	VI.	Varying the Order of Elements	12
	VII	Position of the Bibliographic Citation for Abstracts in Access Services	10

Foreword

(This foreword is not part of the American National Standard Guidelines for Abstracts, ANSI/NISO Z39.14-1997. It is included for information only.)

The growing volume of documents or texts containing information that warrants abstracting makes a well-prepared abstract increasingly important. Basic content must be quickly identifiable, both by readers of the primary literature and by users of access services (sometimes also referred to as secondary, database, or abstracting and indexing services). Authors and editors can help users to readily identify content by beginning a primary document or text with a meaningful title and a well-prepared abstract. Indeed, authors must bear in mind that many people will selectively read no more than these components of their writings.

In addition to the need for authors to write good abstracts for increasingly selective reading, it is also desirable for them to write abstracts that access services can reproduce with little or no change, copyright permitting. Always important to users of traditional access publications, abstracts have also proved to be of considerable importance to users of electronic bibliographic services such as online searching and selective dissemination of information (SDI) alerting, including systems employing full-text search. Abstracts that are well-prepared by authors ensure the accuracy of content and avoid unnecessary duplication of intellectual effort. As the quality of abstracts increases, so does the number of abstracts that can be directly employed by these access services, and thus the quality of the services for users.

This standard is the second revision of the American National Standard for Writing Abstracts, ANSI Z39.14-1971, which was prepared by Subcommittee 6 of the then-American National Standards Committee on Standardization in the Field of Library Work, Documentation, and Related Publishing Practices, Z39 (now NISO). The first revision of ANSI Z39.14-1971 was issued as ANSI Z39.14-1979.

This current revision is based on several comments received in 1992 from NISO members during their review of ANSI Z39.14-1979. It incorporates helpful changes and additional examples from ISO 214-1976, the International Standard on Abstracts for Publications and Documentation.

The International Standard was developed between 1971 and 1975 by an ad hoc Working Group of ISO/TC 46, headed by the chairman of Z39/Subcommittee 6. It was largely based on ANSI Z39.14-1971.

It is pertinent to review briefly here how the original edition, ANSI Z39.14-1971, was prepared. Subcommittee 6 was appointed in January 1969 to complete the task of drafting a standard on writing abstracts, an assignment begun by two previous subcommittees. The new subcommittee drew heavily on the work of its predecessors and on a guide prepared by the International Union of Pure and Applied Physics, the American Institute of Physics, and UNESCO. The subcommittee members were chosen for their expertise in the writing and editing of papers, journals, and reports; the preparation of abstracts, including their computer searching; and the teaching of abstracting. Thus, members represented both discipline and mission orientations, and were involved in the communication of knowledge in such diverse fields as education, psychology, chemistry, physics, and biology.

Copies of the draft of the standard were sent to groups working on national and international standards on abstracting, to all members of the Z39 Committee, and to many individuals and groups known to be concerned with the writing of abstracts. The draft was then extensively revised to take into account the more than 50 substantive comments that were received.

In the years since this standard was first issued authors and editors in many primary publications have followed its principles. In the same period, its principles have also effected changes in the practices of major access services.

The current revision committee has focused on the differences in form and content between informative and indicative abstracts; the topics of structural abstracts, electronic abstracts, information retrieval, and the content of abstracts; and on renaming the standard. Additionally, the committee has expanded the list of selected readings on the subject of abstracting and added new examples of abstracts.

This standard was processed and approved for submittal to ANSI by the National Information

(continued)

FOREWORD ANSI/NISO Z39.14-1997

Standards Organization. It was balloted by the NISO Voting Members April 30, 1996–July 31, 1996. It will next be reviewed in 2002. Suggestions for improving this standard should be sent to the National Information Standards Organization,

4733 Bethesda Avenue, Bethesda, MD 20814, telephone (301) 654-2512. NISO approval of this standard does not necessarily imply that all members voted for its approval. At the time it approved this standard, NISO had the following members:

NISO Voting Members

3M

Richard W. Lindahl

Robert L. Dreger (Alt)

Gerald G. Marsolek (Alt)

American Association of Law Libraries

Andrew Laurence

American Chemical Society

Robert S. Tannehill, Jr.

Leon R. Blauvelt (Alt)

American Library Association

Carlen Ruschoff

American Society for Information Science

Mark H. Needleman

American Society of Indexers

Patricia S. Kuhr

Marie Kascus, (Alt)

American Theological Library Association

Myron B. Chace

Ameritech Library Services, Academic Division

John Kolman

Amoco Corporation

Randy R. Reddemann

Apple Computer, Inc.

Janet Vratney

Rita Brennan (Alt)

Armed Forces Medical Library

Diane Zehnpfennig

Beth Knapke (Alt)

Art Libraries Society of North America

Thomas E. Young

Penney DePas (Alt)

Association of Information and Dissemination Centers

Bruce H. Kiesel

Association for Information and Image Management

Judy Kilpatrick

Association of Jewish Libraries

Pearl Berger

David Gilner (Alt)

Association of Research Libraries

Duane E. Webster

Bell Labs

M. E. Brennan

CASPR, Inc.

Norman Kline

Brian Lomeli (Alt)

CARL Corporation

Ward Shaw

College Center for Library Automation

J. Richard Madaus

Ann Armbrister (Alt)

Data Research Associates, Inc.

Michael J. Mellinger

James Michael (Alt)

Data Research Users Group, Inc.

Beth F. Anderson

EBSCO Information Services

Sandra H. Hurd

Mary Beth Vanderpoorten (Alt)

Elsevier Science Incorporated

John Mancia

Norman Paskin (Alt)

The Faxon Company

Alan Nordman

Follett

D. Jeffrey Blumenthal

Michael Marchuk (Alt)

Gaylord Information Systems

James English

William Schickling (Alt)

GCA Research Institute

Christopher Ziener

Norman Scharpf (Alt)

Geac Computers, Inc.

Simon Kendall

B. J. Mitchell (Alt)

IBM Corporation

Tryg Ager

IEEE

Anthony J. Ferraro

Indiana Cooperative Library Services Authority

Millard Johnson

Janice Cox (Alt)

Information Access Company

Delores Meglio

Victoria Gray (Alt)

Innovative Interfaces, Inc.

Gerald M. Kline

Sandra Westall (Alt)

Knight-Ridder Information, Inc.

Richard Boulderstone

David Loy (Alt)

Lexis-Nexis

Peter Ryall

Library Binding Institute

Sally Grauer

ANSI/NISO Z39.14-1997

FOREWORD

Library of Congress Winston Tabb

Sally H. McCallum (Alt)

Medical Library Association Katherine Hughes Carla J. Funk (Alt)

MINITEX

Anita Anker Branin William DeJohn (Alt)

Music Library Association

Lenore Coral

Geraldine Ostrove (Alt)

National Agricultural Library Pamela Q. J. Andre Gary K. McCone (Alt)

National Archives and Records Administration Alan Calmes

National Federation of Abstracting and Information Services

John Schnepp

National Library of Medicine Lois Ann Colaianni

OCLC, Inc.

Donald J. Muccino

OHIONET

Michael P. Butler Greg Pronevitz (Alt)

OhioLINK David Barber

PALINET

James E. Rush

Readmore Academic Services Sandra J. Gurshman Amira Aaron (Alt)

The Research Libraries Group, Inc.

Wayne Davison Kathy Bales (Alt)

R. R. Bowker Emery Koltay

R. R. Donnelley & Sons, Co. Sidney P. Marland III

SilverPlatter Information, Inc.

Peter Ciuffetti Barbara Bishop (Alt) SIRS, Inc.

Leonardo Lazo Harry Kaplanian (Alt)

Society of American Archivists

Lynn Lady Bellardo

Society for Technical Communication

Connie Bibus Kevin Burns (Alt)

Special Libraries Association

Marjorie Hlava

SUNY/OCLC

Liz Lane

UMI

Blake Ratcliffe Jim Tumolo (Alt)

U.S. Department of the Army, Headquarters

Paula E. Vincent

U.S. Department of Commerce, National Institute of Standards and Technology, Office of Information Services Paul Vassallo

Jeff Harrison (Alt)

U.S. Department of Defense, Defense Technical Information Center

Gretchen A. Schlag Claire Tozier (Alt)

U.S. Department of Energy, Office of Scientific and Technical Information

Mary Hall Nancy Hardin (Alt)

U.S. National Commission on Libraries and Information

Science

Peter R. Young

VTLS, Inc.

Vinod Chachra

West Publishing Company

Andy Desmond Forrest Rhoads (Alt)

Winnebago Software

Bob Engen

Carol E. Blagsvedt (Alt)

The H.W. Wilson Company George I. Lewicky

Ann Case (Alt)

(Foreword continued)

FOREWORD ANSI/NISO Z39.14-1997

NISO Board of Directors

At the time NISO approved this standard, the following individuals served on its Board of Directors:

Michael J. McGill, Chair

University of Michigan Medical Center

Joel H. Baron, Vice Chair/Chair-elect

Dawson Holdings PLC

Michael J. Mellinger, Immediate Past Chair

Data Research Associates, Inc.

Patricia R. Harris, Executive Director

National Information Standards Organization

Directors Representing Libraries

Nolan Pope

University of Wisconsin-Madison

Clifford Lynch

University of California

Lenny Stovel

Research Libraries Group, Inc.

Directors Representing Information Services

Howard Turtle

West Publishing Company

John Kolman

Ameritech Library Services, Academic Division

Vinod Chachra

VTLS, Inc.

Directors Representing Publishing

Marjorie Hlava

Access Innovations, Inc

Robert C. Badger

Springer-Verlag NY, Inc.

Elizabeth Bole Eddison

Inmagic, Inc.

Standards Committee AG

Standards Committee AG on Guidelines for Abstracts had the following members at the time this standard was approved:

Edward T. Cremmins, Chair

Timothy Craven

Graduate School of Library and Information Science, The University of Western Ontario

Eileen Dolan

John Wiley & Sons

Boris Jakim

American Institute of Aeronautics & Astronautics

Roger L. Moody

Chemical Abstracts Service

Adam Philippidis

IEEE

Michael Rinehart

BHA/Bibliography of the History of Art, Getty Art

History Information Program

Guidelines for Abstracts

1. Introduction

In this standard, the term abstract signifies a brief, objective representation of the contents of a primary document or an oral presentation. The term abstract should not be confused with the related but distinct terms: annotation, extract, summary, and synoptic (see Section 3, Definitions).

Superscript numbers are keyed to the references listed on page 5.

1.1 Purpose

This standard is intended to guide authors and the staffs of access services in preparing abstracts of maximum usefulness.

1.2 Scope

The recommendations of this standard apply to all abstracts whether written by the author(s) of a document or by anyone else, and whether they accompany the document, appear in access publications or services, or as separately published representations of formal oral presentations.

2. Referenced Standards

This standard is intended to be used in conjunction with the following standards. When these standards are superseded by revisions, the revisions shall apply.

ANSI Z39.5-1985, Abbreviation of Titles and Publications

ANSI/NISO Z39.18-1995, Scientific and Technical Reports—Elements, Organization, and Design.

3. Definitions

Abstract—A brief and objective representation of a **document** or an oral presentation.

Access publication or service—A print- or computer-based collection of **abstracts** and bibliographic references that serve as alerting or retrospective access keys, or both, to original documents.

Annotation—Brief explanation of a document or its contents, usually added as a note to clarify a title.

Controlled vocabulary—A list of terms that may be used for indexing.¹

Critical abstract—Uncommon form of abstract that contains evaluative comments on the significance of the material abstracted or the style of its presentation. The comments are written by abstractors who are usually subject-area specialists. See Example V-A in the Appendix.

Descriptor—A **term** chosen as the preferred representation for a concept or feature in an index.¹

Document—An item, printed or otherwise, that is amenable to abstracting; applicable not only to written and printed materials in paper or microform versions (e.g., books, journals, maps, diagrams), but also to nonprint media (e.g., machinereadable records, transparencies, audiotapes, videotapes) and, by extension, to three-dimensional objects or realia (e.g., museum objects and specimens).²

Electronic abstract—One that is contained in an electronic publication.

Extract—One or more portions of a document selected to represent the whole.

Free-text search—Information retrieval search using natural-language terms appearing in documents or their descriptions.¹

Identifier—A proper name (or its abbreviation) of a person, institution, place, object, operation or process, optionally treated as a type of term distinct from **descriptor**.¹

Keyword—A word occurring in the natural language of a document or its surrogate that is considered significant for indexing and retrieval.

Slanted abstract—One designed to represent a particular portion of, or a particular perspective on, a document for the benefit of a specialized audience. See Example V-B in the Appendix.

Structured abstract—An abstract that is arranged according to prescribed headings. See Example I-I in the Appendix.

Summary—A brief restatement within a document (usually at the end) of its salient findings