ANSI/NISO Z39.23-1997 (Revision of ANSI/NISO Z39.23-1990)

ISSN: 1041-5653

Standard Technical Report Number Format and Creation

Abstract: In order to improve access to technical reports and assist in bringing order and uniformity to that form of technical literature, this standard specifies the format for a Standard Technical Report Number (STRN). It describes how and where the number should be assigned and used, and calls for a central authority to coordinate and monitor such assignments. The STRN consists of two groups of characters: the first indicates the issuing organization and includes the optional subdivisions or series, and the second provides a sequential number. Provision has been made for the year of publication as a recommended part of the sequential number.

An American National Standard Developed by the National Information Standards Organization

Approved October 8, 1996 by the American National Standards Institute



Bethesda, Maryland, U.S.A.

Published by NISO Press 4733 Bethesda Avenue Suite 300 Bethesda, MD 20814

Copyright ©1997 by the National Information Standards Organization All rights reserved under International and Pan-American Copyright Conventions. No part of this book may be reproduced or transmitted in any form or by any means, electronic or mechanical, including photocopy, recording, or any information storage or retrieval system, without prior permission in writing from the publisher. All inquiries should be addressed to NISO Press, 4733 Bethesda Avenue, Suite 300, Bethesda, MD 20814.

Printed in the United States of America

ISSN: 1041-5653 National Information Standards series ISBN: 1-880124-30-0

This paper meets the requirements of ANSI/NISO Z39.48-1992 (Permanence of Paper).

Library of Congress Cataloging-in-Publication Data

National Information Standards Organization (U.S.)

Standard technical report number format and creation : an American national standard / developed by the National Information Standards Organization; approved October 8, 1996 by the American National Standards Institute.

p. cm. -- (National information standards series, ISSN 1041-5653) "ANSI/NISO Z39.23-1997." "(Revision of ANSI/NISO Z39.23-1990)." ISBN 1-880124-30-0 (alk. paper) 1. Standard Technical Report Numbers—Standards—United States. I. American National Standards Institute. II. Title. III. Series. T11.N354 1997 96-53246 025.3'436—dc21 CIP

ANSI/NISO Z39.23-1997

Contents

Foreword iv	
1.	Purpose, Scope, and Use1
2.	Referenced Standards1
3.	Definitions1
4.	Creating the Standard Technical Report Number24.1Report Code24.2Sequential Group24.3Group Separator24.4Subdivider24.5Local Suffix24.6Country Code2
5.	Formatting of the Standard Technical Report Number25.1ISRN35.2Report Code35.3Group Separator35.4Sequential Group35.5Local Suffix4
	Characteristics of the Standard Technical Report Number46.1Permanence46.2Placement46.3ISRN Label as Prefix4
7.	Application47.1 Maintenance Agency47.2 Assignment and Dissemination4
Aŗ	opendix Designation of Maintenance Agency5
Figures Figure 1 Format of the Standard Technical Report Number	

Foreword

(This foreword is not part of the American National Standard for Standard Technical Report Number Format and Creation, ANSI/NISO Z39.23-1997. It is included for information only.)

This standard, ANSI/NISO Z39.23-1997, is intended for use with both publicly distributed and in-house technical reports. Its numbering format is flexible so that it can meet the diversified needs of different groups in either computer or manual operations. The standard should be used in conjunction with ANSI/NISO Z39.18-1995, Scientific and Technical Reports—Elements, Organization, and Design.

ANSI/NISO Z39.23-1997 was originally developed in 1974. It was first revised in 1983 by Subcommittee 32 on Technical Report Numbering of American National Standards Committee Z39, which in 1983 became the National Information Standards Organization. The standard was further revised in 1990 to provide more latitude in constructing the report number by allowing for more characters, greater variability of separators, and coordination of volume and set numbers. This most recent revision expands the sequential group to 16 characters to accommodate a four-digit year identifier.

Suggestions for improving this standard are welcome. They should be sent to the National Information Standards Organization, 4733 Bethesda Avenue, Suite 300, Bethesda, MD 20814, 301-654-2512.

This standard was processed and approved for submittal to ANSI by the National Information Standards Organization. It was balloted by the NISO Voting Members March 23, 1996 - June 28, 1996. It will next be reviewed in 2002. NISO approval of this standard does not necessarily imply that all Voting Members voted for its approval. At the time it approved this standard, NISO had the following Voting Members:

NISO Voting Members

3M

- Richard W. Lindahl Robert L. Dreger (Alt) Gerald G. Marsolek (Alt)
- American Association of Law Libraries Andrew Laurence

American Chemical Society Robert S. Tannehill, Jr. Leon R. Blauvelt (Alt)

- American Library Association Carlen Ruschoff
- American Society for Information Science Mark H. Needleman
- American Society of Indexers Patricia S. Kuhr Marie Kascus (Alt)
- American Theological Library Association Myron B. Chace
- Ameritech Library Services, Academic Division John Kolman
- Amoco Corporation Randy R. Reddemann

Apple Computer, Inc. Janet Vratny Rita Brennan (Alt)

Armed Forces Medical Library Diane Zehnpfennig Beth Knapke (Alt)

Art Libraries Society of North America Thomas E. Young Penney DePas (Alt) Association of Information and Dissemination Centers Bruce H. Kiesel Association for Information and Image Management Judy Kilpatrick Association of Jewish Libraries Pearl Berger David Gilner (Alt) Association of Research Libraries Duane E. Webster Bell Labs M.E. Brennan CASPR. Inc. Norman Kline Brian Lomeli (Alt) CARL Corporation Ward Shaw College Center for Library Automation J. Richard Madaus Ann Armbrister (Alt) Data Research Associates, Inc. Michael J. Mellinger James Michael (Alt) Data Research Users Group, Inc. Beth F. Anderson **EBSCO Information Services** Sandra H. Hurd Mary Beth Vanderpoorten (Alt) Elsevier Science Incorporated John Mancia Norman Paskin (Alt) The Faxon Company Alan Nordman

ANSI/NISO Z39.23-1997

Greg Pronevitz (Alt)

OhioLINK Follett D. Jeffrey Blumenthal David Barber Michael Marchuck (Alt) PALINET Gaylord Information Systems James E. Rush James English Readmore Academic Services William Schickling (Alt) Sandra I. Gurshman GCA Research Institute Amira Aaron (Alt) Christopher Ziener The Research Libraries Group, Inc. Norman Scharpf (Alt) Wayne Davison Geac Computers, Inc. Kathy Bales (Alt) Simon Kendall R. R. Bowker B.J. Mitchell (Alt) Emery Koltay IBM R. R. Donnelley & Sons, Co. Tryg Ager Sidney P. Marland III IEEF SilverPlatter Information , Inc. Anthony J. Ferraro Peter Ciuffetti Indiana Cooperative Library Services Authority Barbara Bishop Millard Johnson Society of American Archivists Janice Cox (Alt) Lynn Lady Bellardo Information Access Company Society for Technical Communication **Delores** Meglio **Connie Bibus** Victoria Gray (Alt) Kevin Burns (Alt) Innovative Interfaces, Inc. Special Libraries Association Gerald M. Kline Sandra Westall (Alt) Marjorie Hlava SUNY/OCLC Knight-Ridder Information, Inc. Liz Lane **Richard Boulderstone** David Loy (Alt) UMI Blake Ratcliffe Lexis-Nexis Peter Ryall Jim Tumolo (Alt) Library Binding Institute U.S. Department of the Army, Headquarters Sally Grauer Paula E. Vincent Library of Congress U.S. Department of Commerce, National Institute of Winston Tabb Standards and Technology, Office of Information Services Paul Vassallo Sally H. McCallum (Alt) Jeff Harrison (Alt) Medical Library Association Katherine Hughes U.S. Department of Defense, Defense Technical Information Carla J. Funk (Alt) Center Gretchen A. Schlag MINITEX Claire Tozier (Alt) Anita Anker Branin William DeJohn (Alt) U.S. Department of Energy, Office of Scientific and **Technical Information** Music Library Association Mary Hall Lenore Coral Nancy Hardin (Alt) Geraldine Ostrove (Alt) U.S. National Commission on Libraries and Information National Agricultural Library Pamela Q. J. Andre Science Gary K. McCone (Alt) Peter R. Young National Archives and Records Administration VTLS, Inc. Alan Calmes Vinod Chachra National Federation of Abstracting and Information Services West Publishing Company John Schnepp Andy Desmond Forrest Rhoads (Alt) National Library of Medicine Lois Ann Colaianni Winnebago Software OCLC, Inc. Bob Engen Carol Blagsvedt (Alt) Donald J. Muccino OHIONET The H.W. Wilson Company Michael P. Butler George I. Lewicky

FOREWORD

Ann Case (Alt)

This is a preview of "ANSI/NISO Z39.23-199...". Click here to purchase the full version from the ANSI store.

FOREWORD

ANSI/NISO Z39.23-1997

NISO Board of Directors

At the time NISO approved this standard, the following individuals served on its Board of Directors:

Michael J. McGill, Chairperson University of Michigan Medical Center

Joel H. Baron, Vice-Chair/Chair-Elect Dawson Holdings PLC

Michael J. Mellinger, Immediate Past Chairperson Data Research Associates, Inc.

Patricia R. Harris, Executive Director National Information Standards Organization

Directors Representing Libraries Nolan F. Pope University of Wisconsin - Madison

Clifford Lynch University of California

Lennie Stovel Research Libraries Group, Inc. Directors Representing Information Services Howard Turtle West Publishing Company

John Kolman Ameritech Library Services, Academic Division

Vinod Chachra VTLS, Inc.

Directors Representing Publishing Marjorie Hlava Access Innovations, Inc

Robert C. Badger Springer-Verlag NY, Inc.

Elizabeth Bole Eddison Inmagic, Inc.

Standards Committee AN

The following individuals served on Standards Committee AN at the time this standard was approved:

Mary Hall, Chair U.S. Department of Energy, Office of Scientific and Technical Information

Ione Auston National Library of Medicine

Gopalakrishnan Nair U.S. Department of Defense, Defense Technical Information Center Suzanne Feindt National Technical Information Service

John Wilson National Aeronautics and Space Administration

ANSI/NISO Z39.23-1997

Standard Technical Report Number Format and Creation

1. Purpose, Scope, and Use

The purpose of this standard is to provide a uniform format for the creation of unique but compatible technical report numbers. The use of this standard will enable issuing organizations to assign their report numbers so that the numbers will be compatible in format with those assigned by others. Indexing services will be able to provide lists of technical reports by number without confusion. Similarly, libraries, information centers, and other technical report users will be able to identify, locate, and easily organize report literature according to a consistent and accepted pattern. The standard will also enable users to cite reports efficiently and accurately.

The Standard Technical Report Number (STRN) shall be used with all technical reports, including those produced in nonprint media. The report number shall appear in an upper corner on both the cover and title page and on the spine of a bound report if space permits so that a user will not have to remove the report from a shelf to read the number. A report number is composed of an alphanumeric report code (2-16 characters), a 2-character group separator, and a sequential group of 1-16 characters indicating the year, sequence of report issuance, and identifying characters for supplements, revisions, drafts, etc., as appropriate. The report number shall appear on all copies of each report.

2. Referenced Standards

This standard is intended for use in conjunction with the following American National Standards. When this standard is superseded by a revision approved by the American National Standards Institute, consult the revision.

- ANSI/NISO Z39.18-1995, Scientific and Technical Reports — Elements, Organization, and Design.
- ISO 10444:1994, Information and Documentation International Standard Technical Report Number (ISRN).
- ISO 3166:1993, Information and Documentation Codes for the Representation of Names of Countries.

3. Definitions

Country Code. A code added to a **Standard Technical Report Number** to indicate country of publication. The code is optional and is not part of the Standard Technical Report Number (see 4.6). **Group Separator.** A double hyphen (--) used to separate the **report code** from the **sequential group** in the Standard Technical Report Number.

ISRN. The identifying label used as a prefix to the Standard Technical Report Number. It is not part of the Standard Technical Report Number. The label stands for International Standard Report Number.

Local Suffix. An optional field that permits the issuing organization or corporate entity to add information. It is not a part of the Standard Technical Report Number.

Report Code. The first portion of the Standard Technical Report Number. It designates the issuing organization or corporate entity and, in some cases, a series or a special series issued cooperatively by two or more organizations.

Scientific and Technical Report (hereafter referred to as "report"). A document that conveys the results of basic or applied research and support decisions based on those results. A report includes the ancillary information necessary for interpreting, applying, and replicating the results or techniques of an investigation. The primary purposes of such a report are to disseminate the results of scientific and technical research and to recommend action.

A report has a unique, issuer-supplied report number and may have a contract or grant number and an accession or acquisition number. A report also exhibits some or all of the following characteristics:

- 1. Its readership may be limited, its distribution may be limited or restricted, and its contents may include classified, proprietary, or copyrighted information.
- 2. It may be written for an individual or organization as a contractual requirement to recount a total research story, including full discussions of unsuccessful approaches.
- 3. It is not usually published or made available through the commercial publishing trade; it is often available through a nonprofit governmental entity (for example, the National Technical Information Service or the Government Printing Office).¹

¹ Z39.18-1995, Scientific and Technical Reports—Elements, Organization, and Design