2



NSF®

NSF International Standard / American National Standard

NSF/ANSI 40 - 2012

Residential Wastewater Treatment Systems



NSF International, an independent, not-forprofit, non-governmental organization, is dedicated to being the leading global provider of public health and safety-based risk management solutions while serving the interests of all stakeholders.

> This Standard is subject to revision. Contact NSF to confirm this revision is current.

Users of this Standard may request clarifications and interpretations, or propose revisions by contacting:

> Chair, Joint Committee on Wastewater Technology c/o NSF International 789 North Dixboro Road, P.O. Box 130140 Ann Arbor, Michigan 48113-0140 USA Phone: (734) 769-8010 Telex: 753215 NSF INTL FAX: (734) 769-0109 E-mail: info@nsf.org Web: http://www.nsf.org

NSF/ANSI 40 - 2012

NSF International Standard/ American National Standard for Wastewater Technology —

Residential wastewater treatment systems

Standard Developer **NSF International**

NSF International Board of Directors

Designated as an ANSI Standard August 28, 2012 **American National Standards Institute** Prepared by The NSF Joint Committee on Wastewater Technology

Recommended for Adoption by **The NSF Council of Public Health Consultants**

Adopted by The NSF Board of Directors November 1970

Revised November 1978 Revised May 1983 Revised 1990 Revised May 1996 Revised January 1999 Revised July 2000 Revised November 2004 Revised August 2005 Revised January 2009 Revised November 2010 Revised August 2012

Published by

NSF International PO Box 130140, Ann Arbor, Michigan 48113-0140, USA

For ordering copies or for making inquiries with regard to this Standard, please reference the designation "NSF/ANSI 40 – 2012."

Copyright 2012 NSF International Previous Editions © 2011, 2009, 2005, 2004, 2000, 1999, 1996, 1990, 1983, 1978, 1970

Unless otherwise specified, no part of this publication may be reproduced or utilized in any form or by any means, electronic or mechanical, including photocopying and microfilm, without permission in writing from NSF International.

Printed in the United States of America.

Disclaimers¹

NSF, in performing its functions in accordance with its objectives, does not assume or undertake to discharge any responsibility of the manufacturer or any other party. The opinions and findings of NSF represent its professional judgment. NSF shall not be responsible to anyone for the use of or reliance upon this Standard by anyone. NSF shall not incur any obligation or liability for damages, including consequential damages, arising out of or in connection with the use, interpretation of, or reliance upon this Standard.

NSF Standards provide basic criteria to promote sanitation and protection of the public health. Provisions for mechanical and electrical safety have not been included in this Standard because governmental agencies or other national standards-setting organizations provide safety requirement.

Participation in NSF Standards development activities by regulatory agency representatives (federal, local, state) shall not constitute their agency's endorsement of NSF or any of its Standards.

Preference is given to the use of performance criteria measurable by examination or testing in NSF Standards development when such performance criteria may reasonably be used in lieu of design, materials, or construction criteria.

The illustrations, if provided, are intended to assist in understanding their adjacent standard requirements. However, the illustrations may not include **all** requirements for a specific product or unit, nor do they show the only method of fabricating such arrangements. Such partial drawings shall not be used to justify improper or incomplete design and construction.

Unless otherwise referenced, the annexes are not considered an integral part of NSF Standards. The annexes are provided as general guidelines to the manufacturer, regulatory agency, user, or certifying organization.

¹ The information contained in this Disclaimer is not part of this American National Standard (ANS) and has not been processed in accordance with ANSI's requirements for an ANS. As such, this Disclaimer may contain material that has not been subjected to public review or a consensus process. In addition, it does not contain requirements necessary for conformance to the Standard.

This page is intentionally blank.

Contents

1	General	.1 .1 .1	
2	Normative references	. 2	
3	Definitions	. 2	
4	Materials	.3 .3 .3	
5	Design and construction 5.1 Exposed surfaces 5.2 Structural integrity 5.3 Infiltration and exfiltration resistance 5.4 Noise 5.5 Mechanical components 5.6 Electrical components 5.7 Access ports 5.8 Failure sensing and signaling equipment 5.9 Flow design 5.10 Dataplate and service label	.4 .4 .4 .4 .4 .4 .5 .5	
6	Product literature 6.1 Owner's manual 6.2 Additional product literature	. 6	
7	Other documentation	. 8	
8	Performance testing and evaluation	. 8 . 9 10 11	
9	Final report	14	
Annex A			

Annex B	B1
B.1 Marking the product	B1
B.2 Listing certified companies	B1
B.3 Annual audits	B1
B.4 Testing	B1
B.5 Corrective action	B2
B.6 Enforcement	B2
B.7 Administrative review	B2
B.8 Appeals	B2
B.9 Complaints	
B.10 Ådvertising	B2
B.11 Records	B2
B.12 Public notice	B3
B.13 Confidentiality	B3
•	

Foreword²

The purpose of this Standard is to establish minimum materials, design and construction, and performance testing and evaluation requirements for residential wastewater treatment systems. This Standard specifies minimum literature requirements to be supplied by manufacturers to authorized representatives and owners. Minimum service related obligations for manufacturers to extend to owners are also specified.

This Standard (NSF/ANSI 40-2012) version includes the following changes:

Issue 20 – The purpose of this ballot was to harmonize the alkalinity parameters in NSF/ANSI 40 to that of NSF/ANSI 245 – Nitrogen Reduction. This change appears in 8.2.1.

Issue 25 – The purpose of this ballot was to make the language relating to failure sensing equipment in the wastewater standards consistent as well as update it regarding the testing procedure. This change appears in 5.8.

This Standard was developed by the NSF Joint Committee on Wastewater Technology using the consensus process described by the American National Standards Institute.

ANSI prohibits the inclusion of commercial terms and conditions, such as manufacturers' warranties and guarantees, in product standards. However, the NSF Joint Committee on Wastewater Technology has historically believed strongly that all certifiers of NSF/ANSI 40 systems should have certification program policies that contain several key elements, including requirements for warranties. It is the Joint Committee's belief that these key elements provide valuable assurance of long-term performance as well as protection of public health and the environment. To emphasize the Joint Committee's convictions on this issue, two annexes, which are not part of this Standard, are included for informational purposes and guidance. These annexes are intended to establish a uniform program by which products meeting the scope of this Standard should be certified. Annex A is a sample warranty, and Annex B provides the key elements of a certification program. At NSF, both annexes have been adopted as certification program policies.

Suggestions for improvement of this Standard are welcome. Comments should be sent to Chair, Joint Committee on Wastewater Technology, c/o NSF International, Standards Department as <u>standards@nsf.org</u>, or PO Box 130140, Ann Arbor, Michigan 48113-0140, USA.

² The information contained in this Foreword is not part of this American National Standard (ANS) and has not been processed in accordance with ANSI's requirements for an ANS. As such, this Foreword may contain material that has not been subjected to public review or a consensus process. In addition, it does not contain requirements necessary for conformance to the Standard.

This page is intentionally blank.

© 2012 NSF

NSF/ANSI 40 - 2012

NSF/ANSI Standard for Wastewater Treatment Systems —

Residential wastewater treatment systems

1 General

1.1 Purpose

The purpose of this Standard is to establish minimum materials, design and construction, and performance requirements for residential wastewater treatment systems. This standard also specifies the minimum literature that manufacturers shall supply to authorized representatives and owners as well as the minimum service-related obligations that manufacturers shall extend to owners.

1.2 Scope

This Standard contains minimum requirements for residential wastewater treatment systems having rated treatment capacities between 1514 L/day (400 gal/day) and 5678 L/day (1500 gal/day). Management methods for the treated effluent discharged from residential wastewater treatment systems are not addressed by this Standard.

System components covered under other NSF or NSF/ANSI standards or criteria shall also comply with the requirements therein. This Standard shall in no way restrict new system designs, provided such designs meet the minimum specifications described herein.

1.3 Alternate materials, design, and construction

While specific materials, designs, and constructions may be stipulated in this Standard, systems that incorporate alternate materials, designs, or constructions may be acceptable when it is verified that such systems meet the applicable requirements.

1.4 Performance classification

For the purpose of this Standard, systems are classified according to the chemical, biological, and physical characteristics of their effluents as determined by the performance testing and evaluations described herein.

All systems within a manufacturer's model series may be classified according to the performance testing and evaluation of the system with the smallest hydraulic capacity within the series. Performance testing and evaluation of larger systems within the series (having hydraulic treatment capacities within the scope of this Standard) may not be necessary provided that the dimensions, hydraulics, mixing and filtering capabilities, and other applicable design characteristics are proportionately equivalent to the evaluated system.