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*NSF International Standard /
American National Standard*

NSF/ANSI 401 - 2019

Drinking Water Treatment Units -
Emerging Compounds /
Incidental Contaminants



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Chair, Joint Committee on Drinking Water Treatment Units
c/o NSF International
789 North Dixboro Road, PO Box 130140
Ann Arbor, Michigan 48113-0140 USA
Phone: (734) 769-8010 Fax: (734) 769-0109
Email: info@nsf.org
Web: www.nsf.org

NSF/ANSI 401 – 2019

NSF International Standard /
American National Standard
for Drinking Water Treatment Units –
**Drinking Water Treatment Units –
Emerging Compounds / Incidental Contaminants**

Standard Developer
NSF International

Designated as an ANSI Standard
September 5, 2019
American National Standards Institute

Prepared by
The NSF Joint Committee on Drinking Water Treatment Units

Recommended for adoption by
The NSF Council of Public Health Consultants

Adopted by
NSF International
August 2014

Revised September 2016
Revised March 2019

Revised June 2017
Revised March 2020

Revised March 2018

Published by
NSF International
PO Box 130140, Ann Arbor, Michigan 48113-0140, USA

For ordering copies or for making inquiries with regard to this Standard, please reference the designation "NSF/ANSI 401 – 2019".

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Foreword²

The purpose of this Standard is to establish minimum requirements for materials, design and construction, and performance of drinking water treatment systems that are designed to reduce emerging compounds in public or private water supplies, such as endocrine disrupting compounds (EDCs), and pharmaceutical personal care products (PPCPs).

While standards have existed to evaluate treatment products designed to reduce a wide range of potential compounds and contaminants in drinking water, there have been none for emerging compounds. These compounds have an increasing awareness among consumers and a desired demonstration of reduction among product manufacturers causing the need for proper methods of test and criteria.

In 2008, a task group was formed and charged with making recommendations to the Joint Committee regarding the feasibility of producing a standard (or standards) for EDCs and PPCPs. A subtask group was also formed in 2008 and charged with surveying data on target compounds and classes of compounds and to evaluate the state of analytical capabilities for these compounds.

The initial list of contaminants included in this Standard represent identified EDC and PPCP compounds that have been identified in published studies as occurring with the highest frequency, and/or at the highest levels of those compounds identified and studied. While occurring at levels well below any known or measured health effect, the mere presence of these compounds in drinking water has resulted in increased concern on the part of consumers. It is anticipated that with the advancement of science additional compounds or classes of compounds will be identified that will result in similar levels of concern for consumers. It is envisioned that NSF/ANSI 401 can become the repository for these contaminants.

It has been discussed that should future toxicological studies and/or research determine that any of the compounds in this Standard pose health risks at the concentrations in this Standard, that the claims should be removed from this Standard and reestablished in the appropriate health effects standard (e.g., NSF/ANSI 53).

This edition of the Standard contains the following revisions:

Issue 14

This revision corrects an error in Table 5.1 Structural integrity testing requirements.

The Interpretations Annex contains responses to interpretation requests. The responses will be published in each version of the Standard until such time that the interpretation response is no longer applicable.

² The information contained in this Foreword is not part of this American National Standard (ANS) and has not been processed in accordance with ANSI's requirements for an ANS. Therefore, this Foreword may contain material that has not been subjected to public review or a consensus process. In addition, it does not contain requirements necessary for conformance to the Standard.

This revision also includes an editorial update to the names of the Annexes within. The Annexes are being changed from alpha characters to numeric, preceded by a 'Normative' or 'Informative'. The Annexes have also been reordered so the Normative Annexes appear first, followed by the Informative Annexes. The table below shows the previous name of the Annex with the corresponding new name of the Annex:

Annexes	
Previously known as:	Now known as:
Annex A	Informative Annex 1 (I-1)
Annex B	Normative Annex 1 (N-1)
Annex C	Normative Annex 2 (N-2)
Annex D	Normative Annex 3 (N-3)
Annex E	Normative Annex 4 (N-4)

This Standard was developed by the NSF Joint Committee on Drinking Water Treatment Units using the consensus process described by the American National Standards Institute.

This Standard and the accompanying text are intended for voluntary use by certifying organizations, regulatory agencies, and/or manufacturers as a basis of providing assurances that adequate health protection exists for covered products.

Suggestions for improvement of this Standard are welcome. This Standard is maintained on a Continuous Maintenance schedule and can be opened for comment at any time. Comments should be sent to: Chair, Joint Committee on Drinking Water Treatment Units at standards@nsf.org, or c/o NSF International, Standards Department, PO Box 130140, Ann Arbor, Michigan 48113-0140, USA.

NSF/ANSI Standard for Drinking Water Treatment Units – Drinking Water Treatment Units – Emerging Compounds / Incidental Contaminants

1 General

1.1 Purpose

It is the purpose of this Standard to establish minimum requirements for materials, design and construction, and performance of drinking water treatment systems that are designed to reduce specific emerging compounds / incidental contaminants in public or private water supplies, such as pharmaceutical, personal care products, and endocrine disrupting compounds. This Standard also specifies the minimum product literature and labeling information that a manufacturer shall supply to authorized representatives and system owners as well as the minimum service-related obligations that the manufacturer shall extend to system owners.

1.2 Scope

The point-of-use (POU) and point-of-entry (POE) systems addressed by this Standard are designed to be used for the reduction of specific substances that may be present in drinking water (public or private), considered to be microbiologically safe, and of known quality. Systems covered under this Standard are intended to reduce substances that are at very low, yet measurable concentrations, but not at definitive concentrations of known health concern. These substances may be soluble or particulate in nature, but their presence, even at very low concentrations, may influence public acceptance / perception of the drinking water quality. The systems addressed by this Standard are not intended for reducing these specific substances at higher concentrations that may have a known acute or chronic health effect. It is recognized that a system may be effective in reducing one or more of the emerging compounds / incidental contaminants listed in this Standard, but systems are not required to control all. Systems with manufacturer claims that include components or functions covered under other NSF or NSF/ANSI Standards or criteria shall conform to the applicable requirements therein. Systems covered by this Standard are not intended to be used with drinking water that is microbiologically unsafe or of unknown quality without adequate disinfection before or after the system.

NOTE — Systems that are compliant with NSF/ANSI 55 Class A or other standards that cover technologies to treat microbiologically unsafe water (e.g., US EPA *Guide Standard and Protocol for Testing Microbiological Water Purifiers*, or NSF P231) are examples of demonstrating adequate disinfection before or after the system.

1.3 Alternate materials, designs, and construction

While specific materials, designs, and construction may be stipulated in this Standard, systems that incorporate alternate materials, designs, and construction may be acceptable when it is verified that such systems meet the applicable requirements stated herein.

1.4 Chemical and mechanical reduction performance claims

1.4.1 All NSF/ANSI 401 performance claims shall be verified and substantiated by test data generated under the requirements of NSF/ANSI 401.